

# Elements of theory of Heavy Fermion superconductors

(NHMFL Winter school, Jan.2013)

Cooper effect and BCS → SC order parameter → Parity → Spin-orbit  
interaction → Lattice group representations → Energy spectrum

Classes: crystalline classes → magnetic classes → the formal approach and  
simple examples → superconducting classes → Landau functional →  
two- and three - dimensional representations

Magnetic moments → energy spectrum → difference between the symmetry  
and topologically stable zeroes

Summary

(Literature)

## The Cooper paper, 1956

Consider two interacting particles  $\vec{p}_1 + \vec{p}_2 = 0$   $\Psi(\vec{r}_1 - \vec{r}_2) \rightarrow \Psi(\vec{p})$

$$[p^2 / m - E]\Psi(\vec{p}) = -\int V(\vec{p}, \vec{p}')\Psi(\vec{p}') [d^3 \vec{p}' / (2\pi)^3]$$

Let  $V(\vec{p}, \vec{p}') = V \rightarrow$  with the notation  $\Psi(\vec{p}) \equiv \Phi / [p^2 / m - E] \Rightarrow$

$$\Phi = -V\Phi \int \frac{p'^2 dp' d\Omega}{(2\pi)^3} \left( \frac{1}{p'^2 / m - E} \right) \quad \text{i.e., the integral converges at large } p$$

In 3D to form a bound state one needs a finite  $V$  !

! Cooper: not so for two electrons near the Fermi surface

$$\Phi = -V\Phi \int \frac{p'^2 dp' d\Omega}{(2\pi)^3} \left( \frac{1}{p'^2 / m - E} \right) \Rightarrow |V| \Phi \int v(E_F) d\xi \left( \frac{1}{2\xi + \varepsilon} \right) \propto |V| / 2 | \Phi v(E_F) \ln(\bar{\omega} / \varepsilon)$$

$$E = 2E_F - \varepsilon; \xi = v_F(p - p_F); \varepsilon > 0$$

! integrated over  $\xi \subseteq \{0, \bar{\omega}\}$  One finds:  $\varepsilon = \bar{\omega} \exp\{-[2 / g v(E_F)]\}$  ( $g = |V|$ )

The solution always exists! The Fermi surface is unstable with respect to pairing at the arbitrary weak attractive interaction !

(BCS, 1958)

the e-e interaction:

$$\hat{H}_{\text{int}} = \frac{1}{2} \sum_{\mathbf{k}, \mathbf{k}', \mathbf{q}} V_{\alpha\beta; \lambda\mu}(\mathbf{k}, \mathbf{k}') \hat{a}_{-\mathbf{k}+\mathbf{q}/2, \alpha}^+ \hat{a}_{\mathbf{k}+\mathbf{q}/2, \beta}^+ \hat{a}_{\mathbf{k}'+\mathbf{q}/2, \lambda} \hat{a}_{-\mathbf{k}'+\mathbf{q}/2, \mu}$$

$$G_{\alpha\beta}(\mathbf{k}; \tau_1 - \tau_2) = -\{\hat{T}_{\tau}(\hat{a}_{\mathbf{k}, \alpha}(\mathbf{k}, \tau_1) \hat{a}_{\mathbf{k}, \beta}^+(\mathbf{k}, \tau_2))\}$$

Now

$$\sum_{\mathbf{k}} \langle \hat{a}_{\mathbf{k}, \alpha} \hat{a}_{-\mathbf{k}, \beta} \rangle \neq 0 : N/2$$

(Gor'kov, 1958)

$$\sum_{\mathbf{k}} \langle \hat{a}_{\mathbf{k}, \alpha}^+ \hat{a}_{-\mathbf{k}, \beta}^+ \rangle \neq 0 : N/2$$

The anomalous functions:

$$F_{\alpha, \beta}(\mathbf{k}; \tau_1 - \tau_2) = \{\hat{T}_{\tau}(\hat{a}_{\mathbf{k}, \alpha}(\tau_1) \hat{a}_{-\mathbf{k}, \beta}(\tau_2))\}$$

$$F_{\alpha\beta}^+(\mathbf{k}; \tau_1 - \tau_2) = \{\hat{T}_{\tau}(\hat{a}_{-\mathbf{k}, \alpha}^+(\tau_1) \hat{a}_{\mathbf{k}, \beta}^+(\tau_2))\}$$

In the equations for the new Green functions:

$$\begin{aligned} (i\omega_n - \xi(\mathbf{k}))G_{\alpha\beta}(\mathbf{k}, \omega_n) + \Delta_{\alpha\gamma}(\mathbf{k})F_{\gamma\beta}^+(\mathbf{k}, \omega_n) &= \delta_{\alpha\beta} \\ (i\omega_n + \xi(\mathbf{k}))F_{\alpha\beta}^+(\mathbf{k}, \omega_n) + \Delta_{\alpha\gamma}^+(\mathbf{k})G_{\gamma\beta}(\mathbf{k}, \omega_n) &= 0 \end{aligned}$$

the “gaps”  $\hat{\Delta}(\mathbf{k}), \hat{\Delta}^+(\mathbf{k})$  are the superconducting order parameters :

$$\begin{aligned} \Delta_{\alpha\beta}(\mathbf{k}) &= -\sum_{\mathbf{k}'} V_{\beta\alpha, \mu\lambda}(\mathbf{k}, \mathbf{k}') \langle \hat{a}_{\mathbf{k}', \alpha}(\tau) \hat{a}_{-\mathbf{k}', \alpha}(\tau) \rangle \equiv -\sum_{\mathbf{k}'} V_{\beta\alpha, \mu\lambda}(\mathbf{k}, \mathbf{k}') F_{\lambda\mu}(\mathbf{k}', 0+) \\ \Delta_{\alpha, \beta}^+(\mathbf{k}) &= -\sum_{\mathbf{k}'} V_{\lambda\mu, \beta\alpha}(\mathbf{k}, \mathbf{k}') \langle \hat{a}_{-\mathbf{k}', \lambda}^+(\tau) \hat{a}_{\mathbf{k}', \mu}^+(\tau) \rangle \equiv -\sum_{\mathbf{k}'} V_{\lambda\mu, \beta\alpha}(\mathbf{k}, \mathbf{k}') F_{\lambda, \mu}^+(\mathbf{k}', 0+) \end{aligned}$$

**Definition of the transition temperature  $T_c$  from the linearized gap equation:**

$$\Delta_{\alpha\beta}(\mathbf{k}) = -T_c \sum_{n: \mathbf{k}'} V_{\beta\alpha, \gamma\delta}(\mathbf{k}, \mathbf{k}') \Delta_{\gamma\delta}(\mathbf{k}') \{\omega_n^2 + \xi^2(\mathbf{k}')\}^{-1}$$

$$T \sum_{\omega_n, \mathbf{k}'} \hat{V} \hat{\Delta}(\mathbf{k}') \langle \dots \rangle \Rightarrow \int \frac{d^3 \mathbf{k}'}{(2\pi)^3} \hat{V} \hat{\Delta}(\mathbf{k}') \left\{ \frac{\text{th}(\xi_{\mathbf{k}'}/2T)}{\xi_{\mathbf{k}'}} \right\} \Rightarrow (\ln(\bar{W}/T_c) \int \hat{V}(\mathbf{k}, \mathbf{k}') \hat{\Delta}(\mathbf{k}') d\Omega_{F, \mathbf{k}'})$$

Energy spectrum:  $i\omega_n \Rightarrow E$

$$\begin{aligned} (i\omega_n - \xi(\hat{k}))\hat{G}(\hat{k}, \omega_n) + \hat{\Delta}(\hat{k})\hat{F}^+(\hat{k}, \omega_n) &= \delta_{\alpha\beta} \\ (i\omega_n + \xi(\hat{k}))\hat{F}^+(\hat{k}, \omega_n) + \hat{\Delta}^+(\hat{k})\hat{G}(\hat{k}, \omega_n) &= 0 \end{aligned}$$

$$\text{Det} \begin{vmatrix} [E - \xi(\hat{k})]\hat{I} & \hat{\Delta}(\hat{k}) \\ \hat{\Delta}^+(\hat{k}) & [E + \xi(\hat{k})]\hat{I} \end{vmatrix} = 0 \quad (\hat{I} \text{ is the unit spin matrix})$$

$$\text{Det} \|[E^2 - \xi^2(\hat{k})]\hat{I} - \hat{\Delta}(\hat{k}) \times \hat{\Delta}^+(\hat{k})\| = 0$$

$$\langle \hat{a}_{k,\alpha} \hat{a}_{-k,\beta} \rangle = - \langle \hat{a}_{-k,\beta} \hat{a}_{k,\alpha} \rangle$$

$$\Delta_{\alpha\beta}(\vec{k}) = - \sum_{\vec{k}'} V_{\beta\alpha,\mu\lambda}(\vec{k}, \vec{k}') \langle \hat{a}_{\vec{k}',\alpha}(\tau) \hat{a}_{-\vec{k}',\alpha}(\tau) \rangle = -\Delta_{\beta\alpha}(-\vec{k})$$

Strong spin-orbit coupling:  $S \Rightarrow P$

**P even:**  
a "singlet",  $S=0$

$$\Delta_{\alpha\beta}(\vec{k}) = i(\hat{\sigma}_2)_{\alpha\beta} f(\vec{k}) \Rightarrow f(-\vec{k}) = f(\vec{k})$$

**P odd:**  
a "triplet",  $S=1$

$$\Delta_{\alpha\beta}(\vec{k}) = i\{(\hat{\sigma}_2 \mathbf{g} d(\vec{k}))\hat{\sigma}_2\} \Rightarrow d(-\vec{k}) = -d(\vec{k})$$

the interaction  $V$  expanded over representations of the point group:

$$V_{\alpha,\beta;\mu\lambda}(\hat{k}, \hat{k}') \Rightarrow \sum_j A_j \hat{\varphi}_j(\hat{k}) \otimes \hat{\varphi}_j(\hat{k}')$$

$$\hat{\Delta}(\hat{k}) = (\ln(\bar{W} / T_c) \int \hat{V}(\hat{k}, \hat{k}') \hat{\Delta}(\hat{k}') d\Omega_{F,k'}) \Rightarrow \hat{\Delta}(\hat{k}) \propto \hat{\varphi}^{g,u}(\hat{k})$$

(Here in  $(\dots)^{g,u}$   $g$  stands for an *even* and  $u$ - for an *odd* representations)

$$\hat{\Delta}(\hat{k}) \propto \hat{\varphi}^{g,u}(\hat{k}) \rightarrow \text{Arises only as the solution for the gap at } T=T_c$$

**What is the gap structure?**

? Strong coupling (say, higher order corrections in  $V$ )

? Non-linear corrections below  $T_c$  from other representations

? The multi-dimensional representation : what is the structure of the order parameter just below  $T_c$  ? in the ground state ?

<Common Crystalline classes *and* the Space Group>

At SC pairing  $\mathbf{Q} = 0$ :  $\longrightarrow$  Search for the superconducting **classes** !

The total Symmetry Group in the normal phase:

$$G \times R \times U(1)$$

$G$  –the point group of all rotations and reflections

$U(1)$  -multiplication by a phase factor

$R$ - the time reversal  $t \rightarrow -t$  . Applying to a wave function: corresponds to the complex conjugation

To warm up: how one builds the non-trivial **magnetic classes**?

Then the Group of Symmetry in the normal phase is:

$$G \times R$$

**General (formal) approach:** single out a subgroup  $H$  of the group  $G$

Take all elements  $G_i \notin \hat{H}$  and form all products

$$G_1\hat{H}, G_2\hat{H}, \dots, G_i\hat{H}$$

These termed the **left classes**. Similarly, form the **right classes** :

$$\hat{H}G_1, \hat{H}G_2, \dots, \hat{H}G_i$$

If two manifolds coincide,  $\hat{H}$  is the **invariant** sub-group or the **normal divisor** of  $\hat{G}$ . Let  $g$  be the number of elements in  $\hat{G}$  and  $h$  in  $\hat{H}$

Then:  $g = h(i + 1)$   $i + 1$  is called the **index** of the sub-group

**Multiplication of the classes**  $\rightarrow$  multiply as the elements constituting the classes:

$$G_i\hat{H} \times G_k\hat{H} \Rightarrow (G_iG_k)\hat{H}$$

The new **group** of  $i+1$  elements is called the **factor-group**:  $\hat{F}$

Two transformation  $(1, R)$  constitute **the two elements forming** the group:  $\hat{R}$

The method for building all non-trivial **magnetic** classes is now clear: first find a **sub-group of index 2** and distribute the remaining elements over its classes .

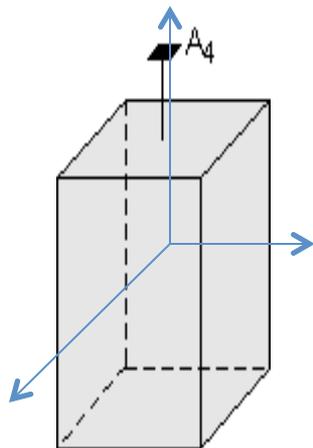
Next step, form the direct product :

$$\hat{F} \times \hat{R}$$

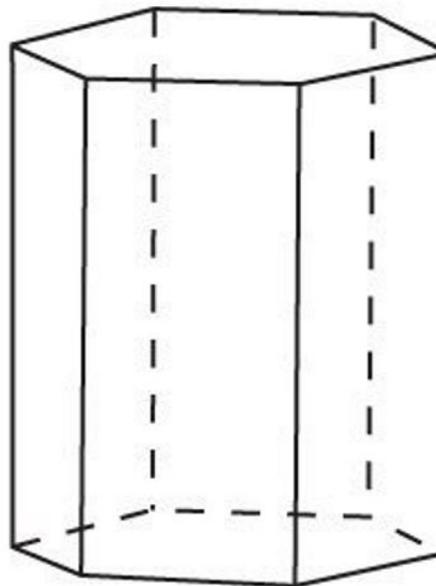
In practice, the method is that all elements from each class, i.e., the elements of the factor group, except the identical class formed by the sub-group  $\hat{H}$  itself, appear combined with the time reversal transformation  $R: t \rightarrow -t$ .

A couple of simple examples below !

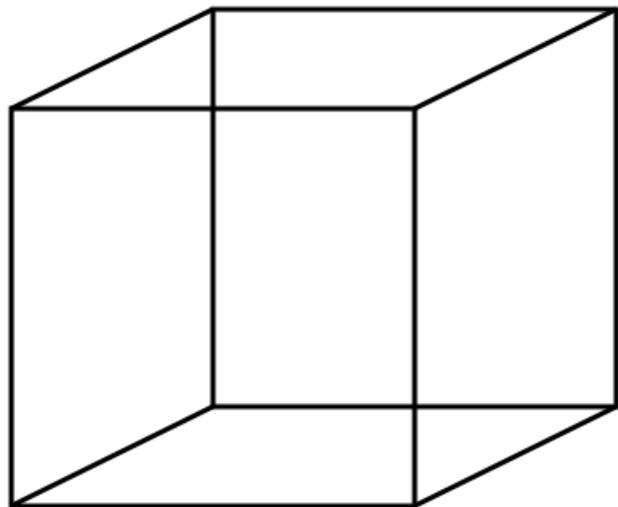
$$D_{4h} = D_4 \times C_i$$



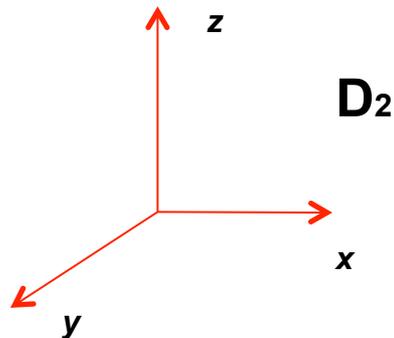
$$D_{6h} = D_6 \times C_i$$

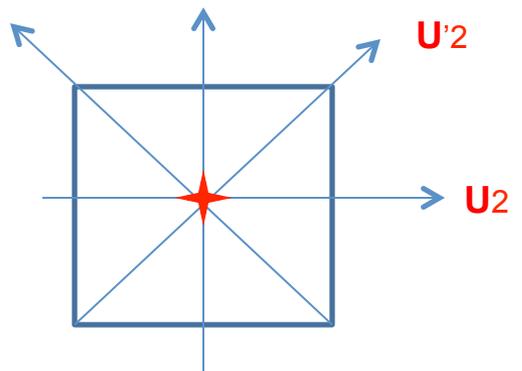
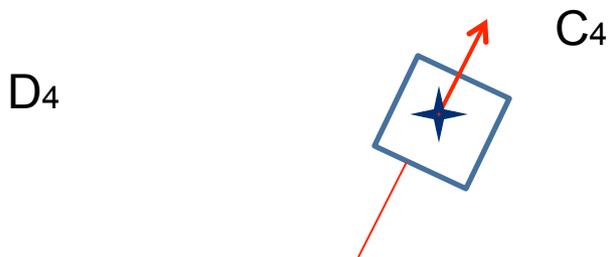


$$O_h = O \times C_i$$

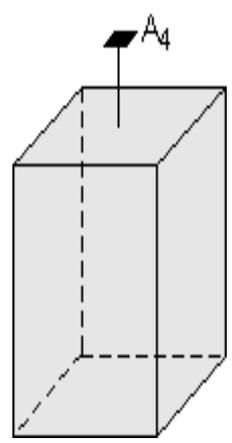


$$C_i \quad (E, P) \quad P: \quad \vec{r} \Rightarrow -\vec{r}$$



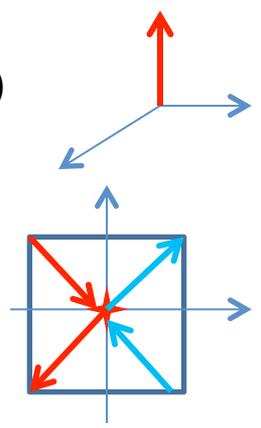


	E	$C_2$	$2C_4$	$2U_2$	$2U'_2$
$A_1$	1	1	1	1	1
$A_{2, z}$	1	1	1	-1	-1
$B_1$	1	1	-1	1	-1
$B_2$	1	1	-1	-1	1
E; x, y	2	-2	0	0	0

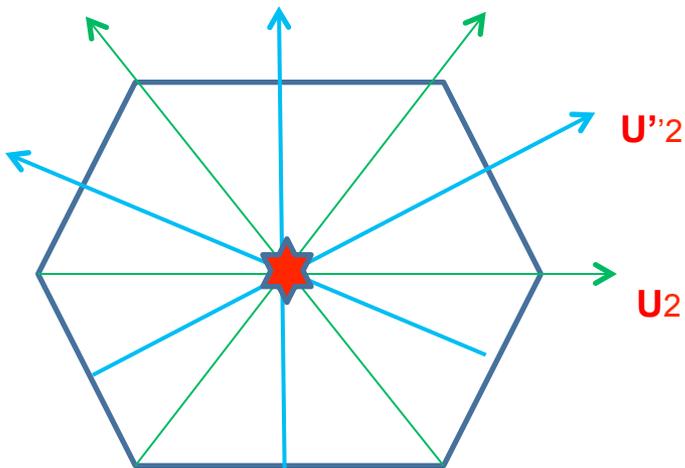
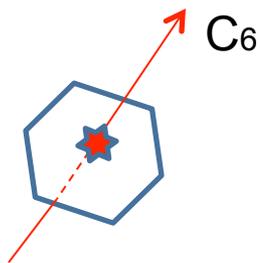


$A_{2, z} \quad D_4(C_4) \quad (E \quad C_2 \quad 2C_4 \quad 2RU_2 \quad 2RU'_2)$

$B_2 \quad D_4(D_2) \quad (E \quad C_2 \quad 2RC_4 \quad 2RU_2 \quad 2U'_2)$

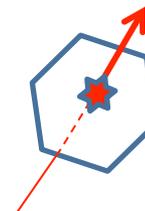


**D<sub>6</sub>**

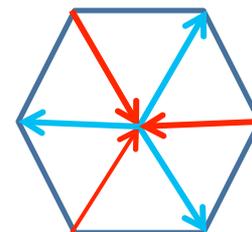


	E	C <sub>2</sub>	2C <sub>3</sub>	2C <sub>6</sub>	3U <sub>2</sub>	3U' <sub>2</sub>
A <sub>1</sub>	1	1	1	1	1	1
A <sub>2,z</sub>	1	1	1	1	-1	-1
B <sub>1</sub>	1	-1	1	-1	1	-1
B <sub>2</sub>	1	-1	1	-1	-1	1
E <sub>2</sub>	2	2	-1	-1	0	0
E <sub>1;x,y</sub>	2	-2	-1	1	0	0

**A<sub>2</sub>:** D<sub>6</sub>(C<sub>6</sub>) (E C<sub>2</sub> 2C<sub>3</sub> 2C<sub>6</sub> 3RU<sub>2</sub> 3RU'<sub>2</sub>)



**B<sub>1</sub>:** D<sub>6</sub>(D<sub>3</sub>) (E RC<sub>2</sub> 2C<sub>3</sub> 2RC<sub>6</sub> 3U<sub>2</sub> 3RU'<sub>2</sub>)



Return to superconductivity and to the solutions for the gap at  $T_c$

$$V_{\alpha,\beta;\mu\lambda}(\vec{k}, \vec{k}') \Rightarrow \sum_j A_j \hat{\varphi}_j(\vec{k}) \otimes \hat{\varphi}_j(\vec{k}')$$

$$\hat{\Delta}(\vec{k}) = (\ln(\bar{W} / T_c) \int \hat{V}(\vec{k}, \vec{k}') \hat{\Delta}(\vec{k}') d\Omega_{FS, \vec{k}'} \Rightarrow \hat{\Delta}(\vec{k}) \propto \hat{\varphi}^{g,u}(\vec{k})$$

Symmetry Group in the normal phase:

$$G \times R \times U(1)$$

For the crystal groups with the center of inversion one may write:

$$G = G' \times C_i$$

where  $G'$  is the group of the **rotations only** and study cases of the even and the odd parity separately

As one example, consider again  $D_4$ . In the normal state:

$$D_4 \times R \times U(1)$$

From the product  $R \times U(1)$  one may construct the following groups:

(In applying to the pair function  $\rightarrow R$  means taking the complex conjugate)

a) The **only** two groups with index 2 :  $R$  and  $U(1) \Rightarrow (1, e^{-i\pi})$

b) the product of  $(1, e^{i\pi/2}, e^{i\pi}, e^{-i\pi/2}) \times R$

		E	C <sub>2</sub>	2C <sub>4</sub>	2U <sub>2</sub>	2U' <sub>2</sub>
	a) Do as before:					
	A <sub>1</sub>	1	1	1	1	1
A <sub>2, z</sub>	D <sub>4</sub> (C <sub>4</sub> ) (E C <sub>2</sub> 2C <sub>4</sub> 2RU <sub>2</sub> 2RU' <sub>2</sub> )	1	1	1	-1	-1
	D <sub>4</sub> (C <sub>4</sub> ) (E C <sub>2</sub> 2C <sub>4</sub> 2e <sup>iπ</sup> U <sub>2</sub> 2e <sup>iπ</sup> U' <sub>2</sub> )	1	1	-1	1	-1
	B <sub>1</sub>	1	1	-1	1	-1
	B <sub>2</sub>	1	1	-1	-1	1
B <sub>2</sub>	D <sub>4</sub> (D <sub>2</sub> ) (E C <sub>2</sub> 2RC <sub>4</sub> 2RU <sub>2</sub> 2U' <sub>2</sub> )	2	-2	0	0	0
	D <sub>4</sub> (D <sub>2</sub> ) (E C <sub>2</sub> 2e <sup>iπ</sup> C <sub>4</sub> 2e <sup>iπ</sup> U <sub>2</sub> 2U' <sub>2</sub> )					
	E; x, y					

! for one “gap” the magnetic superconducting phases in a) do not appear at  $T_c$

b) !? Non- Abelian group  $(1, e^{i\pi/2}, e^{i\pi}, e^{-i\pi/2}) \times R$  is isomorphic  $D_4$  (index 8 !)

(See below)

The wave functions for the representations of the group  $D_4$

$$A_1(S=0): \text{Symm. function} \quad A_1(S=1): a\hat{z}k_z + b(\hat{x}k_x + \hat{y}k_y)$$

$$A_2(S=0): k_x k_y (k_x^2 - k_y^2) \quad A_2(S=1): (\hat{x}k_y + \hat{y}k_x)(k_x^2 - k_y^2)$$

$$D_4(C_4): (E, C_2, 2C_4, 2e^{i\pi}U_2, 2e^{i\pi}U'_2)$$

$$B_1(S=0): \underline{(k_x^2 - k_y^2)} \quad B_1(S=1): \hat{x}k_x - \hat{y}k_y \quad \text{"d-wave!"}$$

$$B_2(S=0): k_x k_y \quad B_2(S=1): \hat{x}k_y + \hat{y}k_x$$

$$D_4(D_2)(E, C_2, 2e^{i\pi}C_4, 2e^{i\pi}U_2, 2U'_2)$$

$$E(S=0): k_z k_x; k_z k_y \quad E(S=1): \hat{z}k_x; \hat{z}k_y$$

**b) !?** Non-Abelian group  $(1, e^{i\pi/2}, e^{i\pi}, e^{-i\pi/2}) \times R$  is isomorphic  $D_4$  (index 8 !)

(For the classes that can be constructed on basis of the two-dimensional representation  $E \implies$  see below)

Symmetry Class and positions of zeroes

$$\hat{A}\psi(\hat{\mathbf{r}}_p) = \psi(\hat{A}\hat{\mathbf{r}}_p) \iff \hat{A}d(\hat{\mathbf{r}}_p) = \hat{A}d(\hat{A}\hat{\mathbf{r}}_p)$$

$$D_4(C_4) (E, C_2, 2C_4, 2 e^{i\pi} U_2, 2 e^{i\pi} U'_2)$$

**S=0:**

$$\underline{\hat{\mathbf{r}}_p} = (x, 0, p_z)$$

$$\nabla_{\underline{\hat{\mathbf{r}}_p}}(\underline{\mathbf{b}}) = \sigma_{rx} \Omega^{\sigma(x)} \nabla_{\underline{\hat{\mathbf{r}}_p}}(\underline{\mathbf{b}}) = -\Omega^{\sigma(x)} \nabla_{\underline{\hat{\mathbf{r}}_p}}(\underline{\mathbf{b}}) = -\nabla(x, 0, -b_z) = -\nabla(x, 0, b_z) \equiv 0$$

$$\underline{\hat{\mathbf{r}}_p} = (x, x, p_z)$$

$$\Delta(\underline{\hat{\mathbf{r}}_p}) = e^{i\pi} U_{2(x=y)} \Delta(\underline{\hat{\mathbf{r}}_p}) = -U_{2(x=y)} \Delta(\underline{\hat{\mathbf{r}}_p}) = -\Delta(x, x, -p_z) = -\Delta(x, x, p_z) \equiv 0$$

Gap is zero on intersections of FS with the vertical symmetry planes

**S=1:**

$$\underline{\hat{\mathbf{r}}_p} = (0, 0, p_z); \underline{\hat{\mathbf{r}}_d} = (0, 0, d_z)$$

$$d(\underline{\hat{\mathbf{r}}_p}) = e^{i\pi} U_{2(x)} d(\underline{\hat{\mathbf{r}}_p}) = -[U_{2(x)} d(U_{2(x)} \underline{\hat{\mathbf{r}}_p})] \implies d_z(0, 0, -p_z) = -d_z(0, 0, p_z)$$

Gap is zero on FS at intersection with the 4-fold axis C<sub>4</sub>

Now let  $\varphi_x(\vec{k}); \varphi_y(\vec{k})$  be two functions realizing the representation  $\mathbf{E}$ . Then the superconducting order parameter, i.e., the “gap” can be presented as:

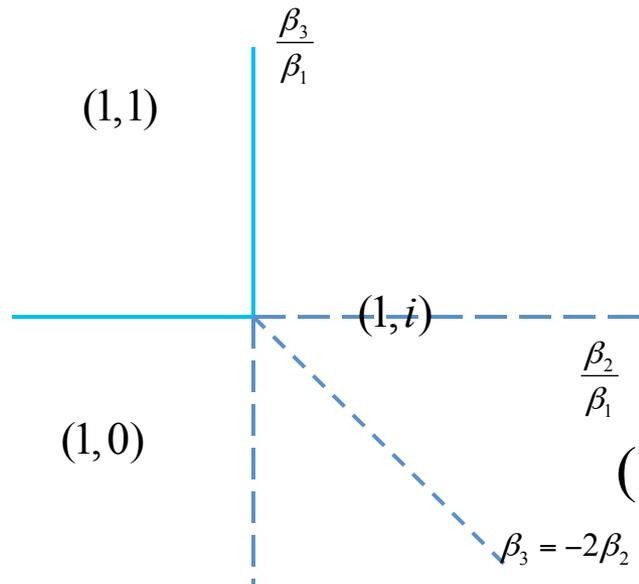
$$\Delta(\vec{k}) = \sum_{i=1,2} \eta_i \varphi_i(\vec{k})$$

Find Free energy minimum? Consider the second order transitions **from the normal state**

Near  $T_c \rightarrow$  the Landau functional  $\Phi(T)$  has the following general form:

$$\Phi(T) = \alpha(T - T_c)(\vec{\eta} \cdot \vec{\eta}^*) + \beta_1 (\vec{\eta} \cdot \vec{\eta}^*)^2 + \beta_2 |\vec{\eta}^2|^2 + \beta_3 (|\eta_x|^4 + |\eta_y|^4)$$

Depending on the coefficients, its minimization leads to the following solutions:



$$E(S=0) : k_z k_x ; k_z k_y$$

$$E(S=1) : \frac{\Gamma}{z} k_x ; \frac{\Gamma}{z} k_y$$

For instance (S=0):

$$(1,0) : k_z k_x \text{ (or } \rightarrow k_z k_y) \quad (1,1) : k_z (k_x \pm k_y)$$

(1,i):  $k_z(k_x + ik_y) \Rightarrow k_z \exp(i\varphi)$        $\frac{\Gamma}{z}(k_x + ik_y) \Rightarrow \frac{\Gamma}{z} \exp(i\varphi)$

Superconducting class

$D_4(E): (E, e^{i\pi} C_2, e^{i\pi/2} C_4, e^{-i\pi/2} C_4^3, e^{i\pi} RU_{2x}, RU_{2y}, 2e^{\pm i\pi/2} RU'_2)$

**Magnetic class!** (the moment is along the z-axis)

? !Omit the U(1)-elements (E C<sub>2</sub> 2C<sub>4</sub> 2RU<sub>2</sub> 2RU'<sub>2</sub>)  
and compare with D<sub>4</sub>(C<sub>4</sub>):

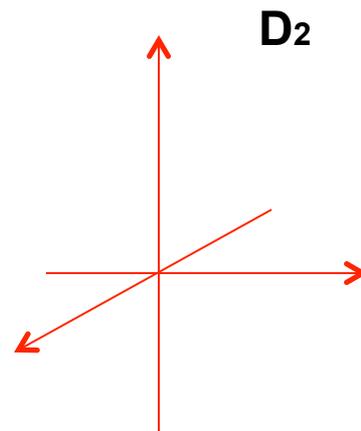
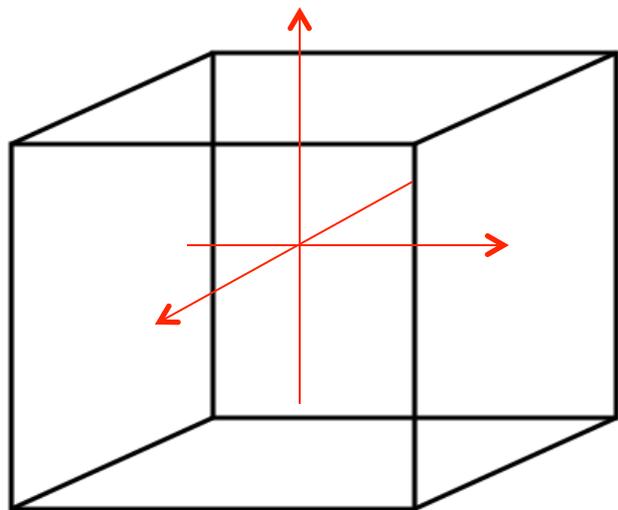
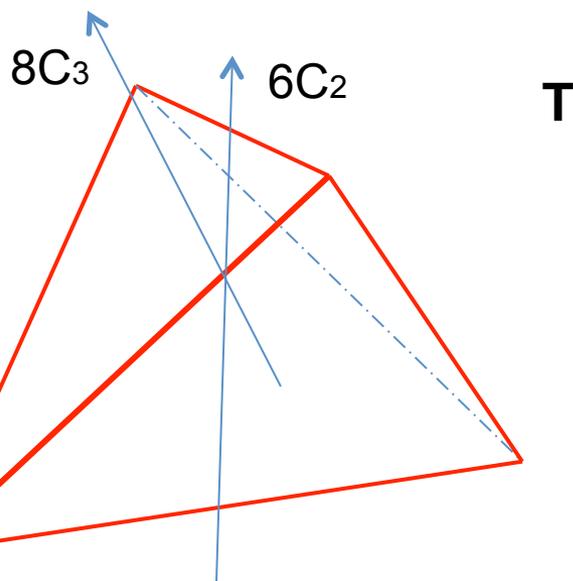
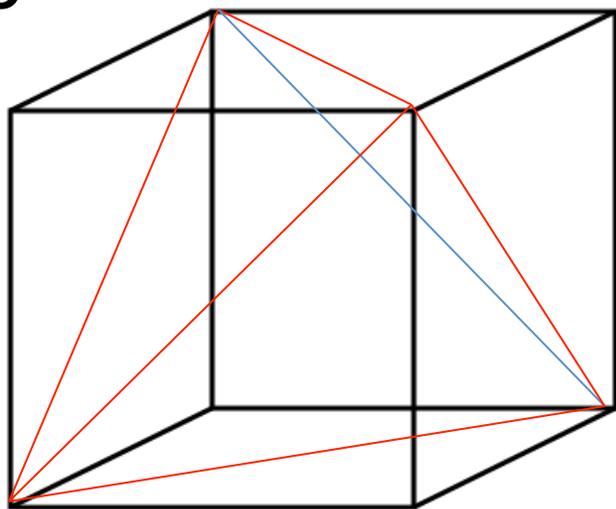
D<sub>4</sub>(E) is the most symmetric class that can be constructed from this two-dimensional representation **without lowering** symmetry of the lattice (of the crystalline class)

**In fact**, compare  $\rightarrow (1,0) : k_z k_x$  (or  $\rightarrow k_z k_y$ )  $(1,1) : k_z (k_x \pm k_y)$

Two symmetric classes preserving the crystalline symmetry for the cubic lattices :

# The cubic symmetry

**O**



Two symmetric new classes that are possible **O(T), O(D<sub>2</sub>)**

$$A_2 \quad (E, 8C_3, 3C_2, 6e^{-i\pi} C_2, 6e^{-i\pi} C_4) \quad \mathbf{O(T)}$$

Another high symmetric class formed from E: **O(D<sub>2</sub>)**

(Somewhat lengthy !)

$$O(D_2) \Rightarrow (E, 3C_2, 2U_2^{(perp)x} RC_4^x R, 2C_4^y \varepsilon R, 2C_4^z \varepsilon^2 R, 4C_3 \varepsilon^2, 4C_3^2 \varepsilon, 2U_2^{(perp)x} R, 2U_2^{(perp)y} \varepsilon R, 2U_2^{(perp)z} \varepsilon^2 R)$$

Symmetry phases for representations E, F<sub>1</sub>, F<sub>2</sub> just below  $T_c \rightarrow$  from the Landau functional

**!** Qualitative new results from F<sub>1</sub> and F<sub>2</sub>

	E	8C <sub>3</sub>	3C <sub>2</sub>	6C <sub>2</sub>	6C <sub>4</sub>
A <sub>1</sub>	1	1	1	1	1
A <sub>2</sub>	1	1	1	-1	-1
E	2	-1	2	0	0
F <sub>2</sub>	3	0	-1	1	-1
F <sub>1</sub> x, y; z	3	0	-1	-1	1

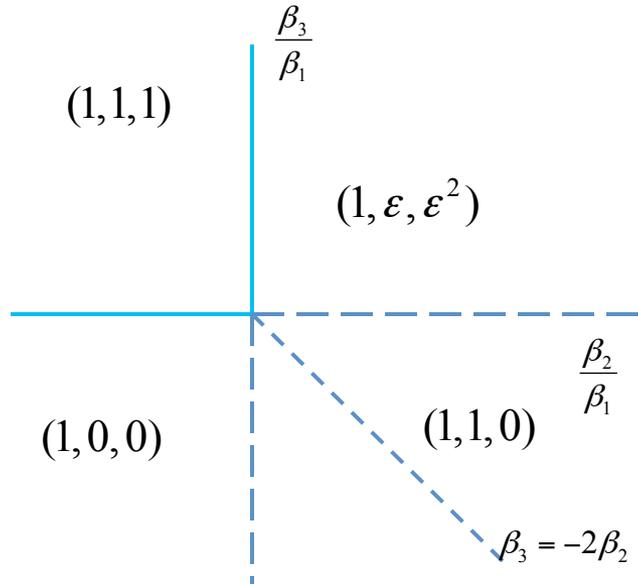
$\rightarrow$  For the three dimensional representations there are three parameters in

$$\Delta(\vec{k}) = \sum_{i=1,2,3} \eta_i \varphi_i(\vec{k})$$

The Landau functional at  $T_c$  is analogous to that one for the 2D representation of D<sub>4</sub>:

$$\Phi(T) = \alpha(T - T_c)(\vec{\eta} \cdot \vec{\eta}^*) + \beta_1 (\vec{\eta} \cdot \vec{\eta}^*)^2 + \beta_2 |\vec{\eta}^2|^2 + \beta_3 (|\eta_x|^4 + |\eta_y|^4 + |\eta_z|^4)$$

The analysis leads to the phase diagram:



$$\text{In } (1, \epsilon, \epsilon^2) \quad \epsilon = e^{i\pi/3}$$

Three components  $(\eta_x, \eta_y, \eta_z)$  play role of the vector  $\overset{\circ}{\eta}$  in the 3D space of  $F_1$  or  $F_2$ .

In the above case the components are complex:

$$\overset{\circ}{\eta} = \overset{\circ}{\eta}' + i\overset{\circ}{\eta}'' \quad \text{and one may form the third vector}$$

$$\overset{\circ}{m} = [\overset{\circ}{\eta}' \times \overset{\circ}{\eta}'']$$

that has the meaning of a magnetic moment

Excitations to be found from

$$\text{Det} \parallel [E^2 - \xi^2(\overset{\circ}{k})] \hat{I} - \hat{\Delta}(\overset{\circ}{k}) \times \hat{\Delta}^+(\overset{\circ}{k}) \parallel = 0$$

$$\text{Det} \|[E^2 - \xi^2(\mathbf{k})]\hat{I} - \hat{\Delta}(\mathbf{k}) \times \hat{\Delta}^+(\mathbf{k})\| = 0$$

For P-even (“singlet”)  $E^2 = \xi^2(\mathbf{k}) + |\Delta(\mathbf{k})|^2$

For P-odd (“triplet”):

$$\mathbf{r}\hat{\eta}(\mathbf{k}) = \mathbf{r}\hat{\eta}'(\mathbf{k}) + i\mathbf{r}\hat{\eta}''(\mathbf{k}) \quad \text{and} \quad \mathbf{r}\hat{m}(\mathbf{k}) = [\mathbf{r}\hat{\eta}'(\mathbf{k}) \times \mathbf{r}\hat{\eta}''(\mathbf{k})]$$

with  $\hat{\Delta}(\mathbf{k}) = i\{(\hat{\sigma} \cdot \mathbf{d}(\mathbf{k}))\hat{\sigma}_2\}$  using:  $(\hat{\sigma} \cdot \mathbf{d})(\hat{\sigma} \cdot \mathbf{d}^*) = (\mathbf{d} \cdot \mathbf{d}^*)\hat{I} + i(\hat{\sigma} \cdot [\mathbf{d} \times \mathbf{d}^*])$

$$\rightarrow \det \|[E^2 - \xi^2(\mathbf{k}) - |\Delta(\mathbf{k})|^2]\hat{I} - \mathbf{r}\hat{m}(\mathbf{k})\| = 0$$

one finds that the excitations are split into the two and two branches

$$E_{1,2}^{\pm}(\mathbf{k}) = \pm \sqrt{\xi_k^2 + |\mathbf{d}(\mathbf{k})|^2 \pm |\mathbf{m}(\mathbf{k})|}$$

(compare with two directions of spin for the “s-wave” superconductors)



## What is achieved by the above methods?

- a) Knowing the symmetry class allows to identify the positions of the gap zeroes without model assumptions concerning the basis functions
- b) Lines of zeroes possible for “singlet” phases; “triplet” phases may possess zeroes only at the points on the Fermi surface. *T-square or T-cube* dependence of the specific heat at low  $T$ , correspondingly.
- c) Topologically stable magnetic moments in some “triplet” phases
- d) For two- and three-dimensional representations the phase transitions at  $T_c$  can be split by external perturbations (? UBe<sub>13</sub> and UPt<sub>3</sub>)
- e) Ordinary impurities decrease  $T_c$  and may result in “gapless” SC. Lines of zeroes are absolutely unstable at the arbitrary small impurity concentration → nonzero DOS
- f) The upper critical field can be anisotropic for some symmetry directions *directly at  $T_c$*  in the cubic and tetragonal lattices →  $H_{c2}(\varphi)$
- g) Non-trivial (phase-sensitive) boundary conditions with significant implications to the Josephson effect  $F_S \propto w(\Delta_L \Delta_R^* + c.c)$

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A. A. Abrikosov, L. P. Gor'kov and I. E. Dzyaloshinskii, *Methods of Quantum Field Theory in Statistical Physics*, Prentice-Hall, 1963.

V. P. Mineev and K. V. Samokhin, *Introduction to Unconventional Superconductivity*, Gordon and Breach (1999)

## Original papers

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**Anisotropy of Hc2 at Tc**: L. P. Gor'kov, *JETP Lett.* **40**, 1155 (1984)

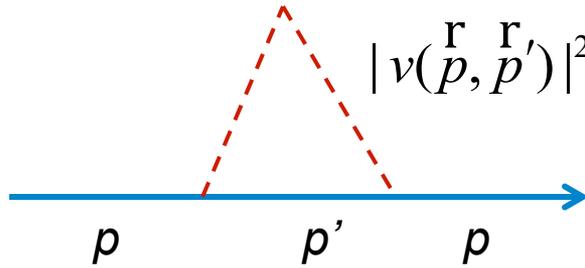
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**On magnetism of SCs**: G. E. Volovik and V. P. Mineev, *JETP* **56**, 579 (1982); *ibid.* **54**, 524 (1981); **59**, 972 (1984)

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## Appendix : Impurities

The Green function's self-energy:



$$\frac{1}{\tau} = n_i \int \frac{d\vec{p}'}{(2\pi)^3} |v(\vec{p}, \vec{p}')|^2 \text{Im} G(E; \vec{p}')$$

Why MUST  $T_c$  decrease?

$$\hat{\Delta}(\vec{p}) \propto \int V(\vec{p}, \vec{p}') \left[ \begin{array}{c} \xrightarrow{P'} \\ \xrightarrow{-P'} \end{array} \hat{\Delta}(\vec{p}') \right] d\vec{p}' + \int V(\vec{p}, \vec{p}') d\vec{p}' \left[ \begin{array}{c} \xrightarrow{P''} \\ \xrightarrow{-P''} \end{array} \int V(\vec{p}', \vec{p}'') \left[ \begin{array}{c} \xrightarrow{P''} \\ \xrightarrow{-P''} \end{array} \hat{\Delta}(\vec{p}'') \right] d\vec{p}'' \right] + \dots$$

$$\Rightarrow \int V(\vec{p}', \vec{p}'') \left[ \begin{array}{c} \xrightarrow{P''} \\ \xrightarrow{-P''} \end{array} \hat{\Delta}(\vec{p}'') \right] d\vec{p}'' \equiv 0 \quad \text{If gap belongs to any non-identical representation!}$$

Density of states (DOS):  $\nu_S / \nu_N = 4\tau^2 \Delta_0^2 \exp(-2\tau\Delta_0)$

Appendix : Symmetry Class and positions of zeroes

$$\hat{A}\psi(\hat{\mathbf{p}}) = \psi(\hat{A}\hat{\mathbf{p}}) \iff \hat{A}^1 d(\hat{\mathbf{p}}) = \hat{A}^1 d(\hat{A}\hat{\mathbf{p}})$$

Example  $D_4(C_4)$  (E , C<sub>2</sub> , 2C<sub>4</sub>, 2 e<sup>iπ</sup> U<sub>2</sub>, 2 e<sup>iπ</sup> U'<sub>2</sub> )

**S=0:**

$$\underline{\hat{\mathbf{p}}^I} = (x, 0, p_z)$$

$$\nabla(\underline{\mathbf{b}}^I) = \epsilon_{rx} \Omega^{\mathcal{J}(x)} \nabla(\underline{\mathbf{b}}^I) = -\Omega^{\mathcal{J}(x)} \nabla(\underline{\mathbf{b}}^I) = -\nabla(x^2 0^2 - b^z) = -\nabla(x^2 0^2 b^z) \equiv 0$$

$$\underline{\hat{\mathbf{p}}^I} = (x, x, p_z)$$

$$\Delta(\underline{\hat{\mathbf{p}}^I}) = e^{i\pi} U_{2(x=y)} \Delta(\underline{\hat{\mathbf{p}}^I}) = -U_{2(x=y)} \Delta(\underline{\hat{\mathbf{p}}^I}) = -\Delta(x, x, -p_z) = -\Delta(x, x, p_z) \equiv 0$$

Gap is zero on intersections of FS with the vertical symmetry planes

**S=1:**

$$\underline{\hat{\mathbf{p}}_0^I} = (0, 0, p_z); \underline{\hat{\mathbf{d}}_0^I} = (0, 0, d_z)$$

$$\underline{\hat{\mathbf{d}}^I}(\underline{\hat{\mathbf{p}}^I}) = e^{i\pi} U_{2(x)} \underline{\hat{\mathbf{d}}^I}(\underline{\hat{\mathbf{p}}^I}) = -[U_{2(x)} \underline{\hat{\mathbf{d}}^I}(U_{2(x)} \underline{\hat{\mathbf{p}}^I})] \implies d_z(0, 0, -p_z) = -d_z(0, 0, p_z)$$

Gap is zero on FS at intersection with the 4-fold axis C<sub>4</sub>

## Appendix: Multi band SCs

*Three X points in a cubic lattice.*

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$$\lambda_{\alpha\beta} = \lambda \delta_{\alpha\beta} + \mu(1 - \delta_{\alpha\beta}).$$

$$\Delta_{\alpha}^* \frac{2\pi^2}{mp_0} = - \sum_{\beta} \lambda_{\alpha\beta} \Delta_{\beta}^* \ln\left(\frac{2\gamma\omega_D}{\pi T_c}\right).$$

$$l = (\Delta_1 + \Delta_2 + \Delta_3) / \sqrt{3}$$

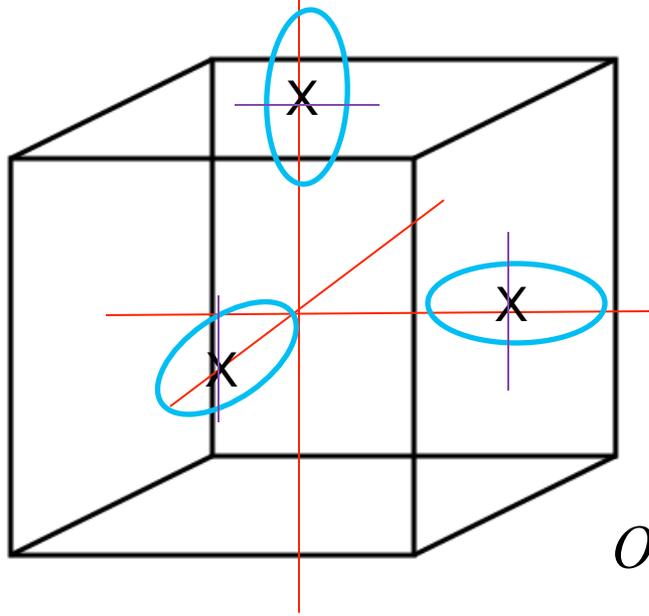
$$\eta_1 = (\Delta_1 + \epsilon\Delta_2 + \epsilon^2\Delta_3) / \sqrt{3},$$

$$\eta_2 = (\Delta_1 + \epsilon^2\Delta_2 + \epsilon\Delta_3) / \sqrt{3},$$

$$T_{c,A} = \frac{2\gamma\omega_D}{\pi} \exp\left(\frac{2\pi^2}{mp_0(\lambda + 2\mu)}\right) \quad (1D)$$

$$T_{c,E} = \frac{2\gamma\omega_D}{\pi} \exp\left(\frac{2\pi^2}{mp_0(\lambda - \mu)}\right)$$

$$\begin{aligned} \frac{2\pi^2}{mp_0} \delta F = & \frac{T - T_{c,E}}{T_{c,E}} (|\eta_1|^2 + |\eta_2|^2) + \ln(T_{c,E}/T_{c,A}) |l|^2 \\ & + \frac{7\zeta(3)}{48\pi^2 T_{c,E}^2} (|\eta_1|^4 + |\eta_2|^4 + 4|\eta_1|^2 |\eta_2|^2 + F_{l\eta}^{(4)}), \end{aligned}$$

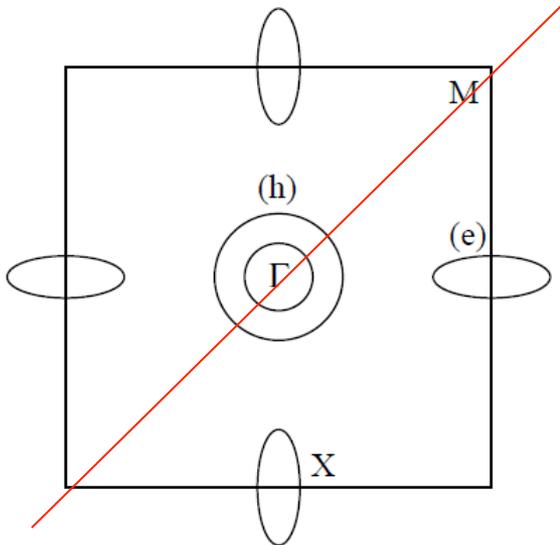


$$T_{c,A} < T_{c,E}$$

$E_g$  Representation of the cubic group

$$\eta_2 = 0; \eta_1 \neq 0 \rightarrow O(D_2)$$

$$O(D_2) \Rightarrow (E, 3C_2, 23U_2^{(perp)x} RC_4^x R, 2C_4^y \varepsilon R, 2C_4^z \varepsilon^2 R, 4C_3 \varepsilon^2, 4C_3^2 \varepsilon, 2U_2^{(perp)x} R, 2U_2^{(perp)y} \varepsilon R, 2U_2^{(perp)z} \varepsilon^2 R)$$



!?! Iron pnictides: "1111"

"d - wave"  $\rightarrow x^2 - y^2$

$$\Delta_{1h} = \Delta_{2h} = 0; \Delta_{3e} = -\Delta_{4e} = \Delta$$