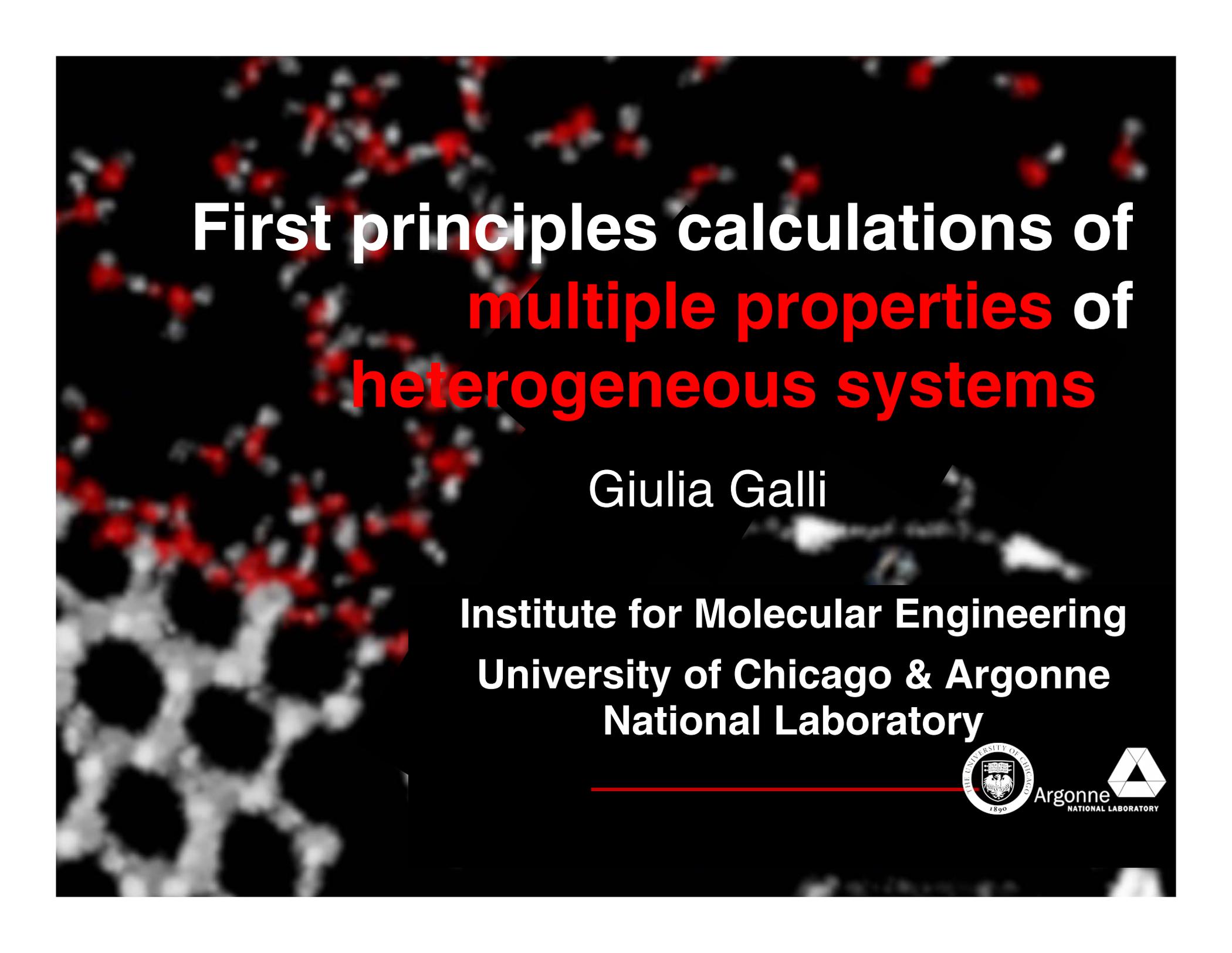


First principles calculations of multiple properties of heterogeneous systems

Giulia Galli

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University of Chicago & Argonne
National Laboratory





**First principles calculations of
multiple properties of
heterogeneous systems**

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<http://galligroup.uchicago.edu/>

Problems:

—**Energy**

—**Water**

—**Quantum information**

Understand
Interpret
Predict
Design

Solids, liquids, nanostructures and combinations thereof

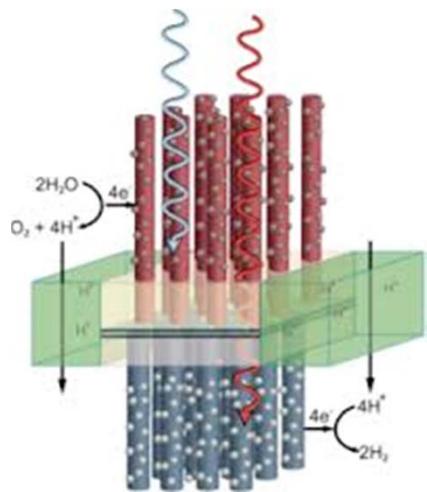
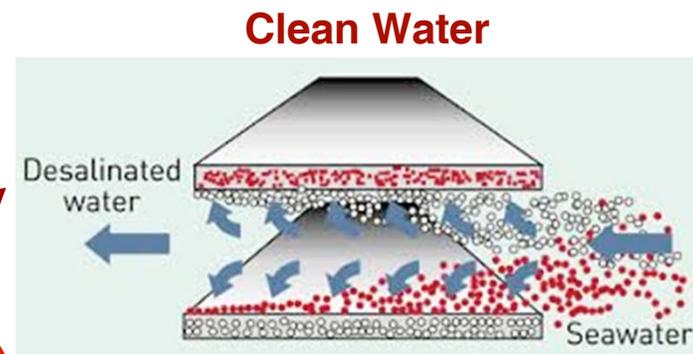


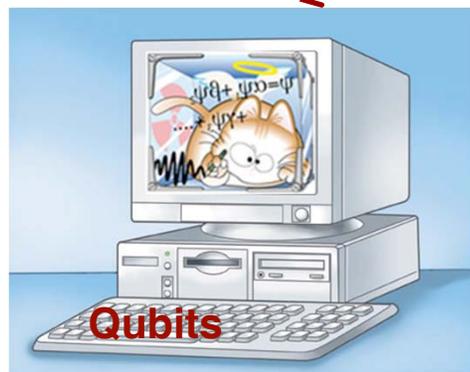
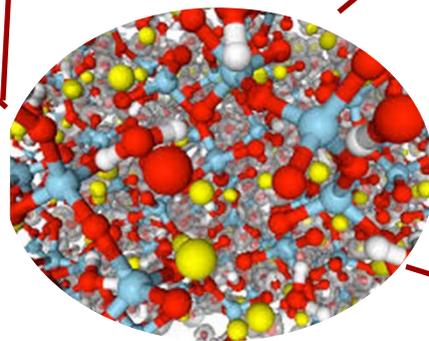
Photo-electrochemical cells



Solar cells

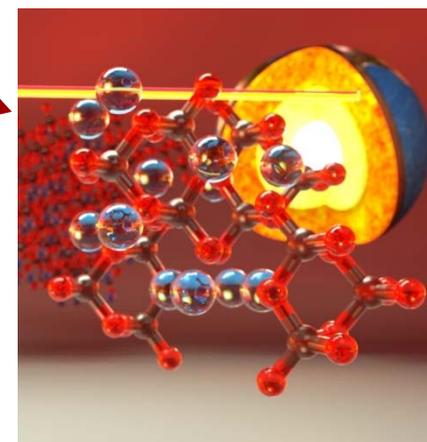
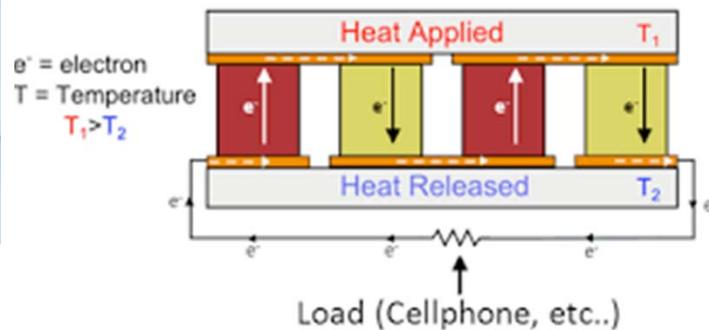


Clean Water



Qubits

Thermoelectric generators



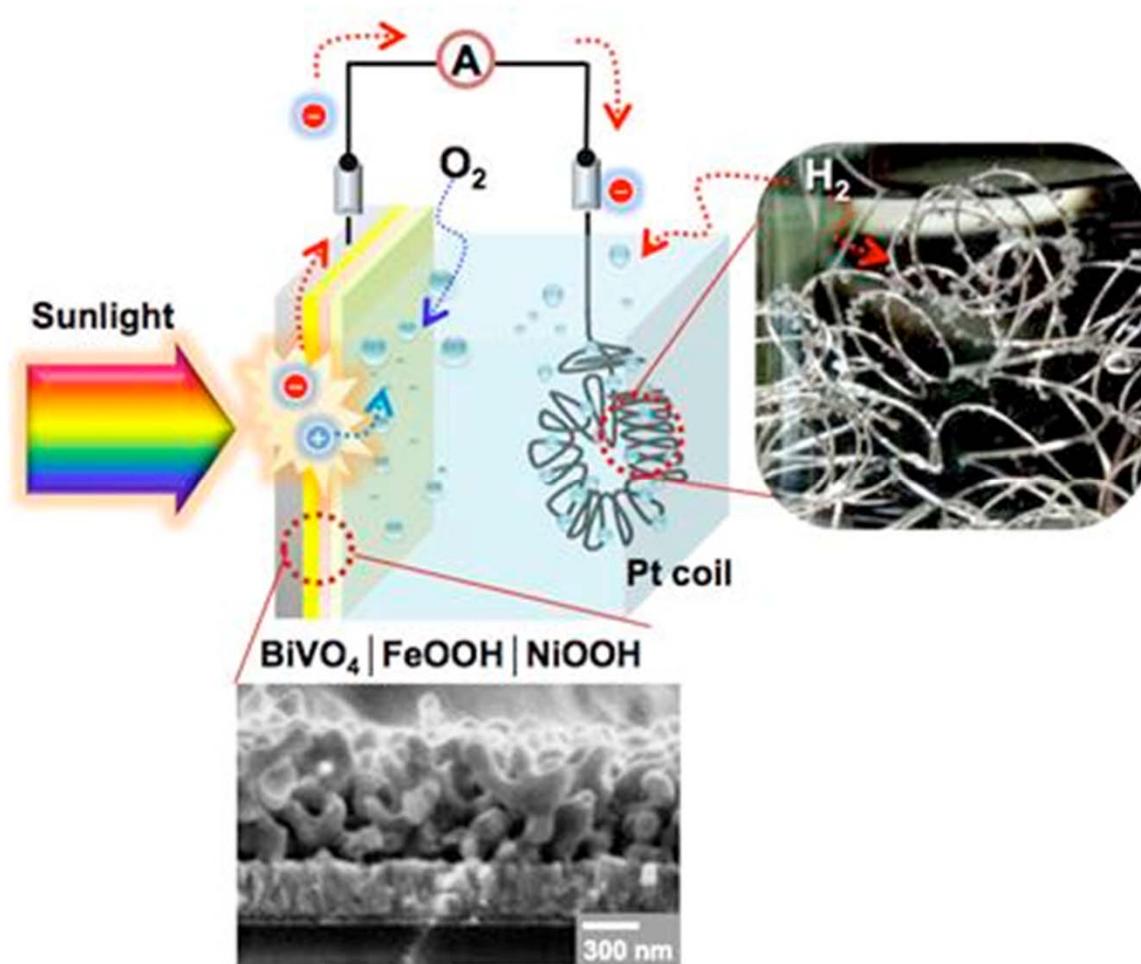
Carbon in the Earth

Heterogeneous systems

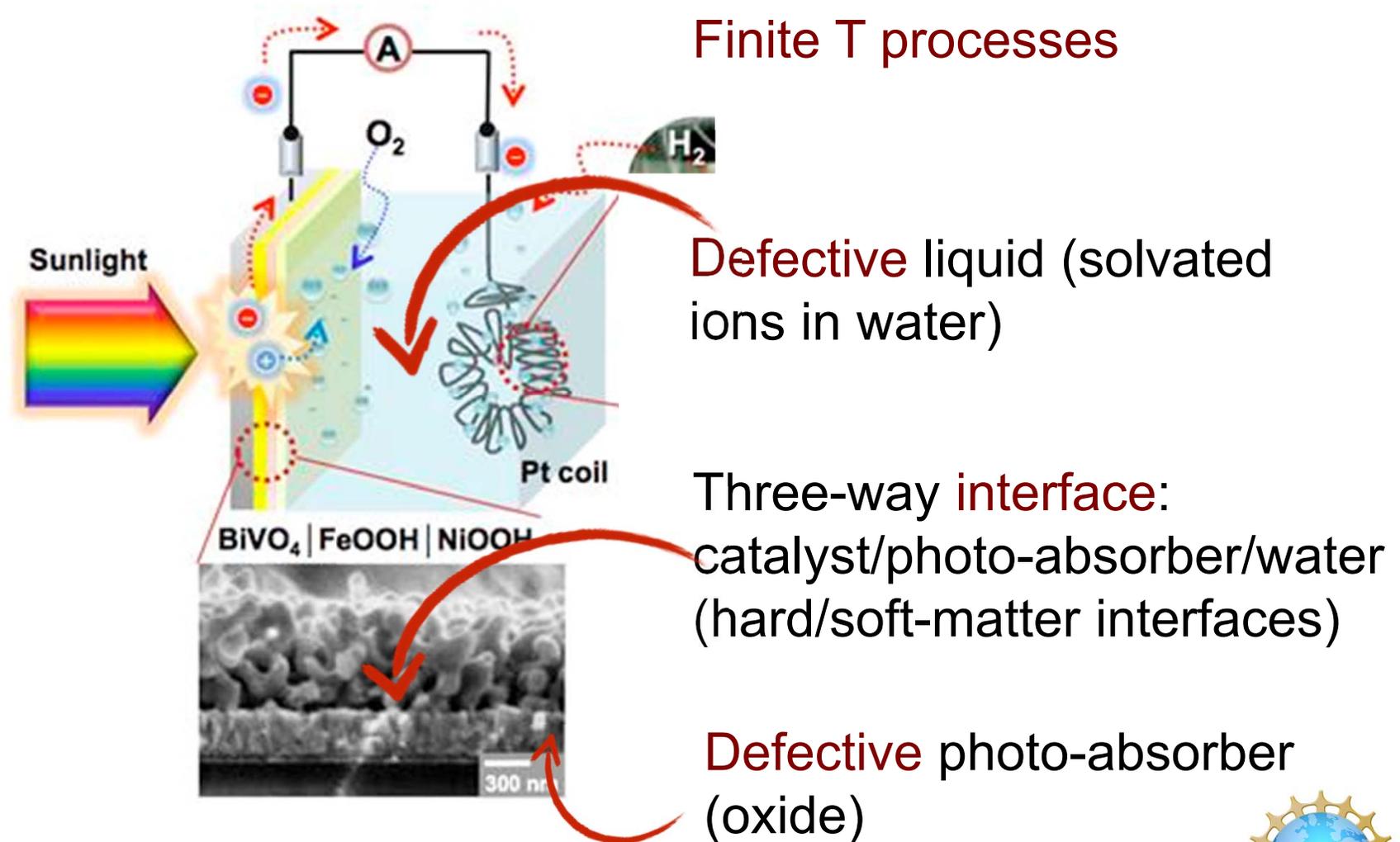


- **Interfaces**
- **Defects**
- **'Mixed' correlations**

Artificial photosynthesis



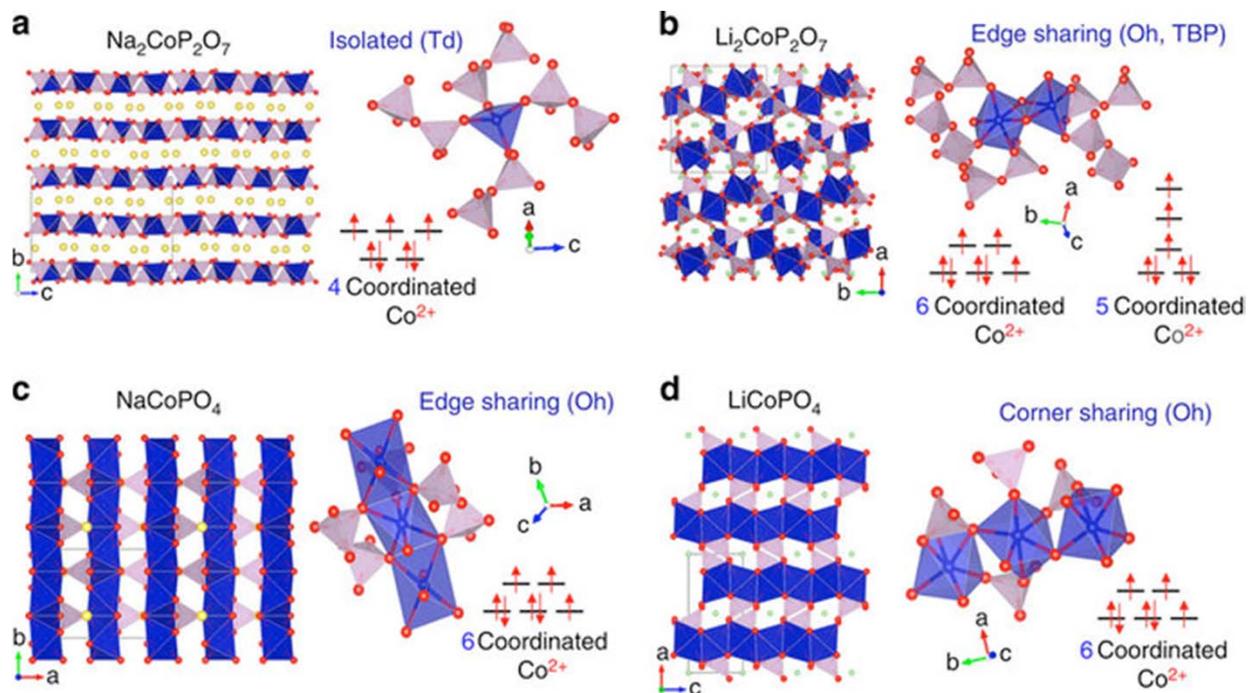
Artificial photosynthesis



Which level of correlation?



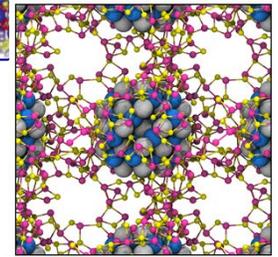
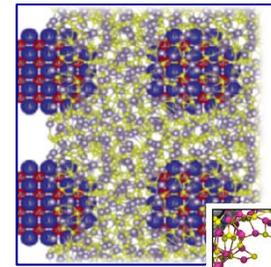
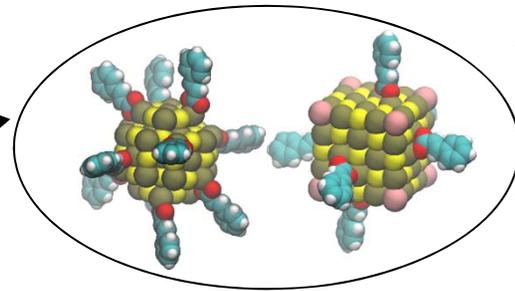
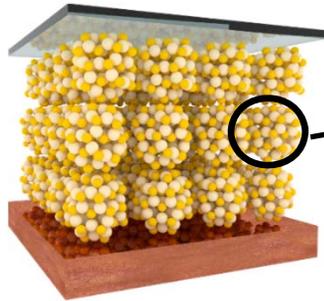
- BiVO_4 : how correlated is this (defective solid)?
- IrO_2
- NiOOH
- Ni(Fe)OOH
- Co based catalysts



Inorganic & organic solar cells

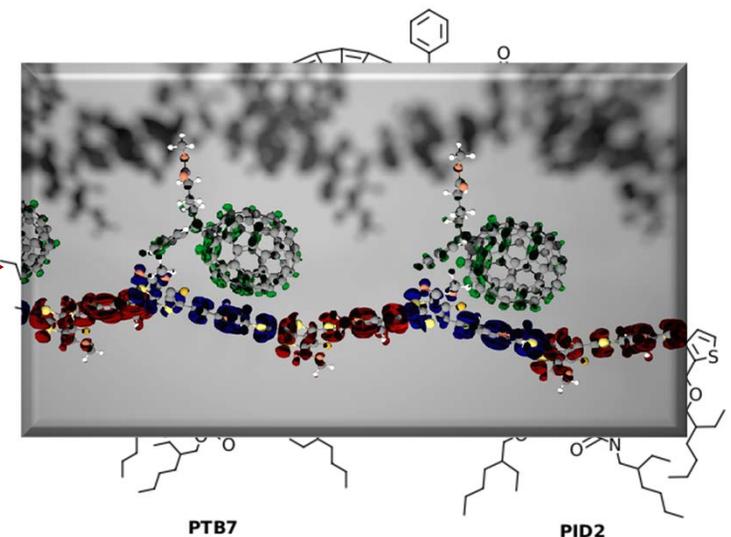
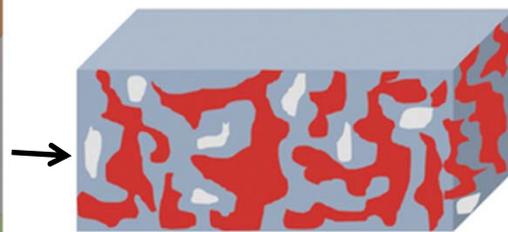
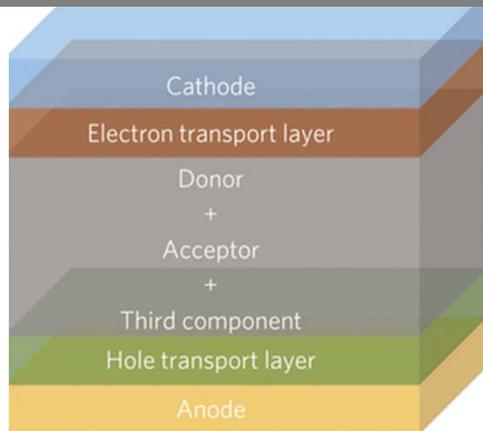


Inorganic NP-solar cells

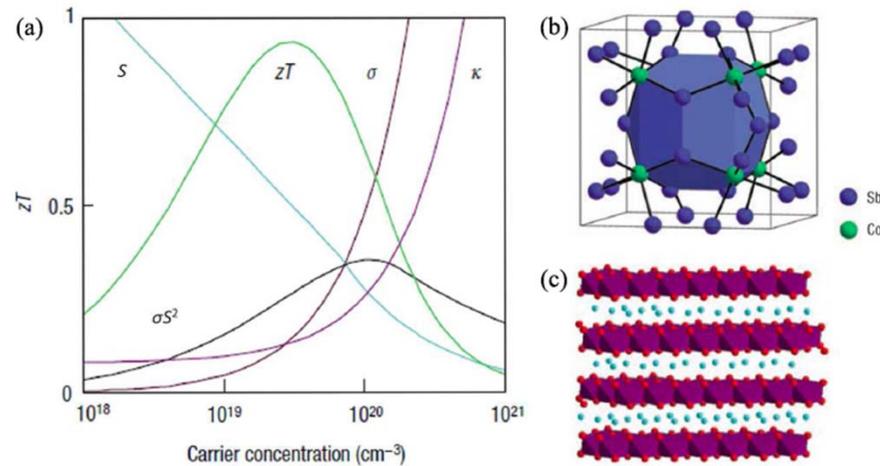


- Nanoparticle (NP) / ligand **interfaces** (hard/soft-matter)
- Polymer/polymer/fullerene **interfaces** (soft/soft-matter)

Organic ternary blends

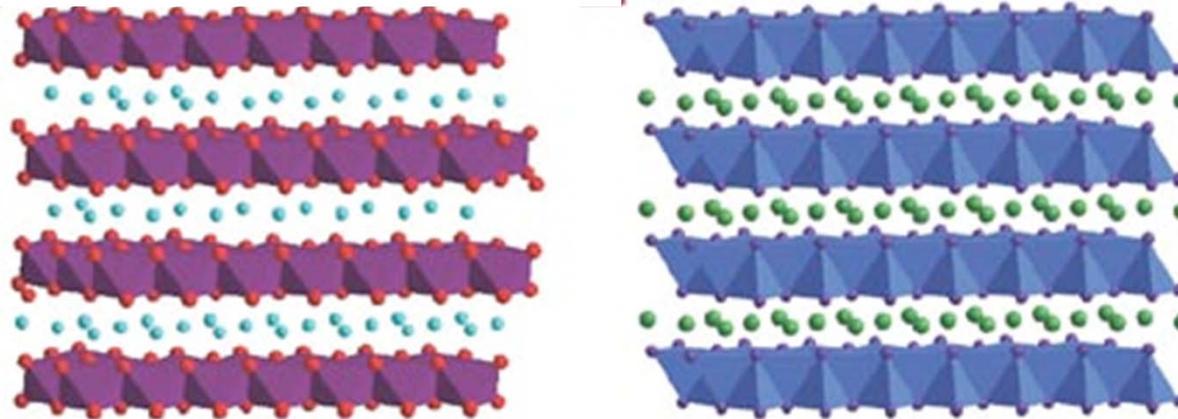


Thermoelectrics



Snyder & Toberer, Nature Mat. 2008

Fig. 1. (color online) (a) A schematic diagram showing the dependence of thermoelectric properties on carrier concentration. (b) The skutterudite CoSb₃ structure with large void space shown in blue. (c) Atomic structure of Na_xCoO₂ containing ordered layers (polyhedra) separated by disordered cation monolayers. Adapted from Ref. [3].

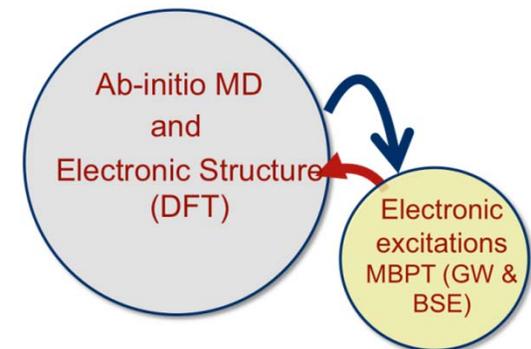


Na_xCoO₂ and b, Ca_xYb_{1-x}Zn₂Sb₂ structures both contain ordered layers (polyhedra) separated by disordered cation monolayers, creating electron-crystal phonon-glass structures.

Outline



- Structural models
- The basic role of electronic structure underlying multiple properties of materials
- Large scale calculations and the importance of scalable algorithms
 - Large scale GW
- ‘Improved’ energy functionals from approximate self-energies
- Transport from first principles



Outline

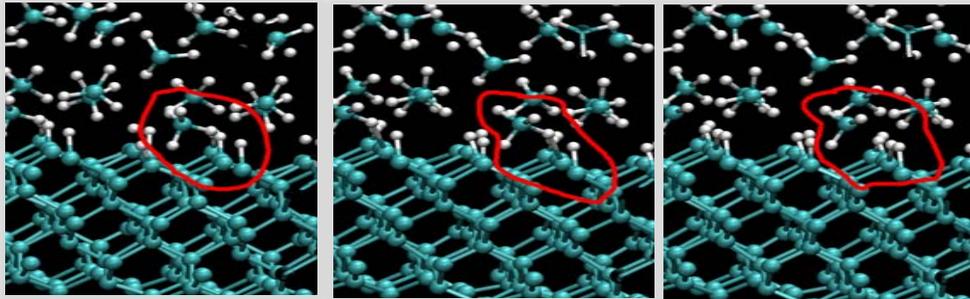


- **Structural models**
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Structural models



First principles molecular dynamics



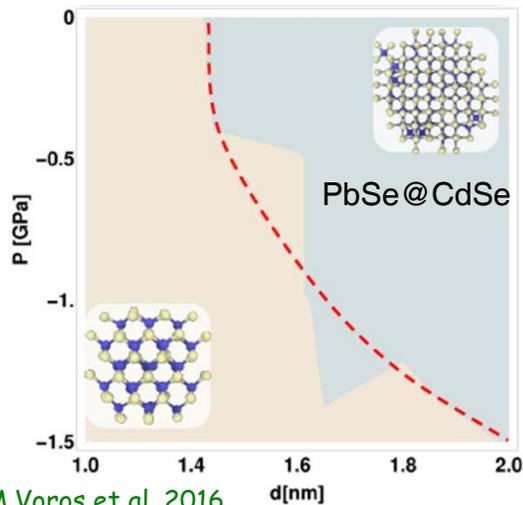
$$M_i \ddot{R}_i = F_i$$

$$F_i = -\nabla_i E(\{R_i(t)\})$$



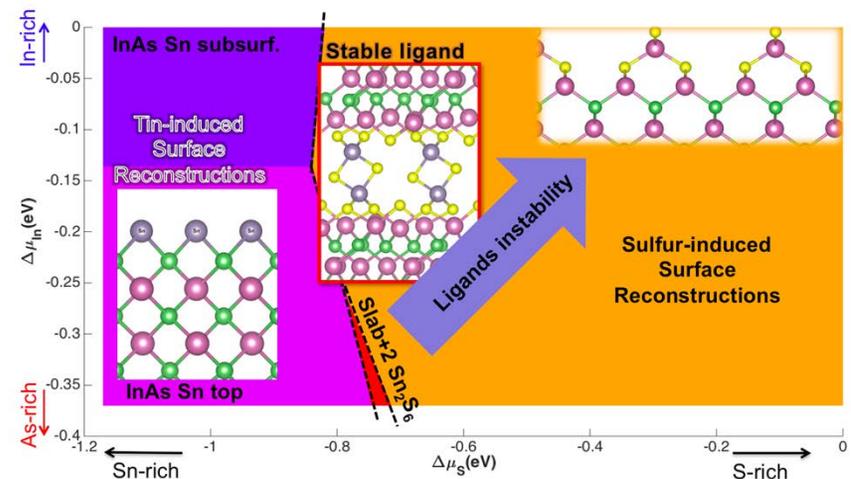
E from **Density Functional Theory**

Classical MD & advanced sampling



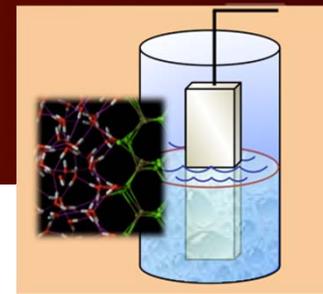
F. Giberti, M. Voros et al. 2016

Ab initio thermodynamics



E. Scalise, S. Wipperman et al. 2016

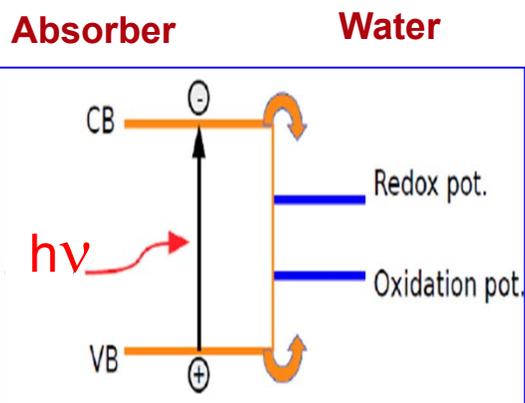
Interfaces



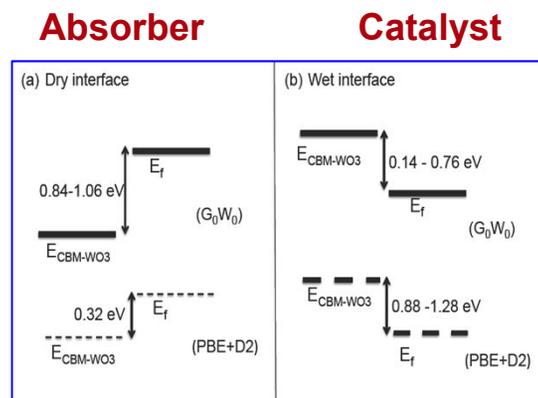
First principles molecular dynamics

Use trajectories to compute **complex electronic properties** from **hybrid DFT** or **many body perturbation theory** → **Electronic properties at finite T w/statistical errors**

Electronic energy level alignments @ interfaces



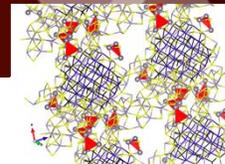
T.A.Pham, D. Lee, E.Schwegler, and GG JACS 2014



Y.Ping, W.A.Goddard III and GG JACS Comm. 2015

T.A.Pham, Y.Ping & GG, Nature Materials 2017

Interfaces & multiple configurations

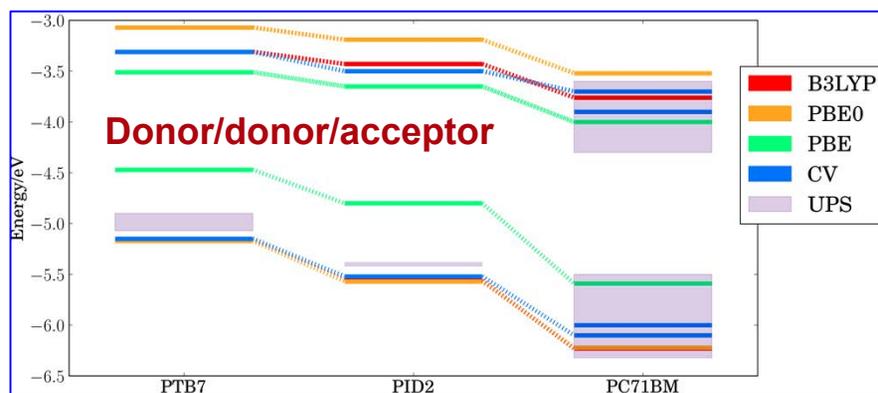


Classical MD & advanced sampling

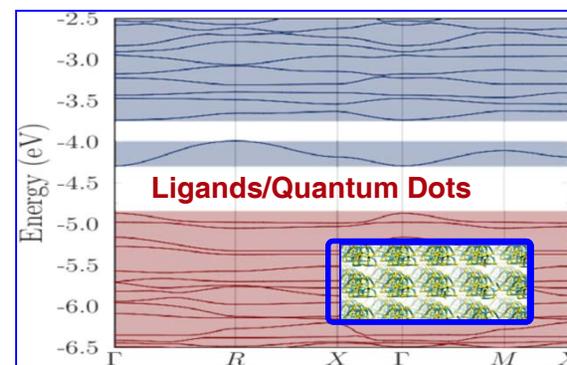
Ab initio thermodynamics

Use **configurations** to compute **complex electronic properties** from **hybrid DFT** or **many body perturbation** theory → Electronic properties at finite T w/statistical errors

Electronic energy level alignments @ interfaces



M.Goldey et al. 2016 (submitted)

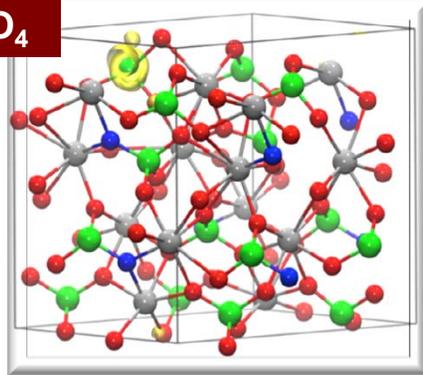


M.Voros, G.Zimanyi and GG ACS Nano 2015;
M.Voros et al. 2016 (submitted)

Defects



Defects in BiVO_4

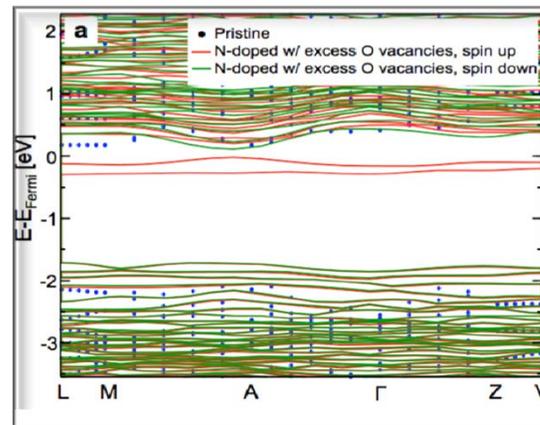
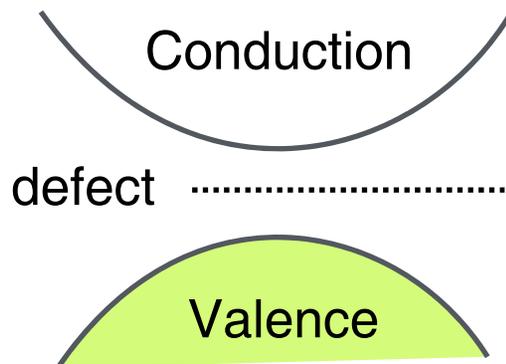


Defects (ions) in water

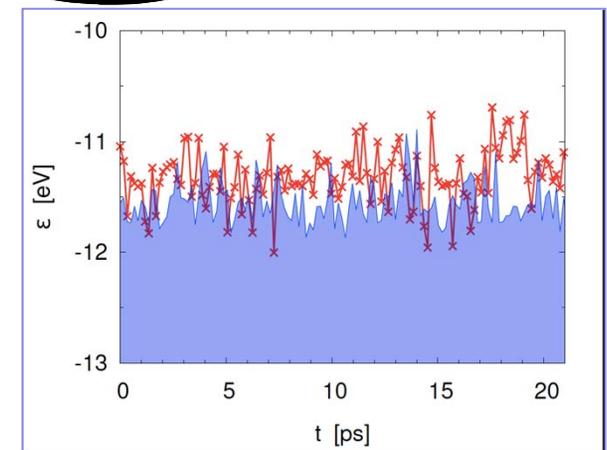


Use trajectories to compute complex electronic properties from **hybrid DFT** or **many body perturbation** theory \rightarrow Electronic properties at finite T w/statistical errors

Electronic signatures and activity of defects



T.W.Kim, Y. Ping, GG & KS Choi Nat. Comm. 2015

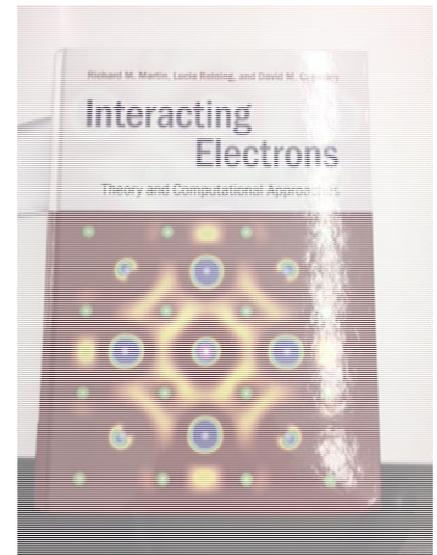


Al Gaiduk et al. JACS-Comm. 2016

Outline

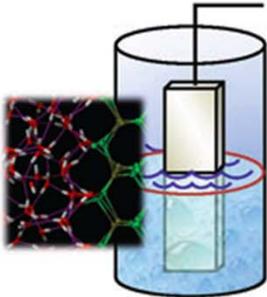
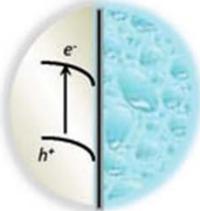


- Structural models
- The basic role of electronic structure underlying multiple properties of materials
- Large scale calculations and the importance of scalable algorithms
 - Large scale GW
- ‘Improved’ energy functionals from approximate self-energies
- Transport from first principles



Photoemission and absorption



Processes of interest	 <p>Band edge alignment between electrodes and water</p>	 <p>Light absorption by electrodes</p>
Experiments	Photoemission spectroscopy	UV-vis and ellipsometry spectroscopy
Theory	Single particle Green's functions $G^{(1)}$: poles represent energies to add or remove an electron from a solid or molecule	Two particle correlation function L : poles correspond to neutral excitation energies of interacting electrons
Equations	Dyson's equation: relates $G^{(1)}$ to self energy Σ (effective potential of interacting electrons)	Bethe Salpeter equation: relates L to the variation of Σ with respect to $G^{(1)}$

GW calculations w/o virtual states

$$(T + V_{\text{ext}} + V_H)\psi_n(r) + \int dr' \Sigma(r, r', E_n)\psi_n(r') = E_n\psi_n(r)$$

$$\Sigma(r, r', i\omega) = \frac{1}{2\pi} \int d\omega' G(r, r', i(\omega - \omega'))W(r, r', i\omega')$$

Algorithms^(*) and parallel codes that allow for:

Calculations for **several hundreds of electrons for tens/hundreds of samples** → change in the type of problems we can tackle and type of questions we can answer

(*) H.Wilson, F.Gygi and G.Galli, PRB 2008; H. V. Nguyen, T.A. Pham, D.Rocca and GG Phys. Rev. B - Rapid Comm. 2012
T.A.Pham, H,V.Nguyen, D.Rocca and GG, Phys.Rev.B 2013; M.Govoni and GG JCTC 2015; WEST : <http://www.west-code.org>

(+) D.Rocca, D.Lu and GG, JCP 2010; Y.Ping, D.Rocca and GG, Chem. Soc. Rev. 2013

(&) M.Voros, A.Gali, D.Rocca,GG and G.Zimanyi Phys. Rev. B 2013; S.Wipperman, M.Voros, A.Gali, G.Zymanyi and GG Phys. Rev. Lett. 2013



Hedin equations



Hedin proposed to express Σ in terms of the **dynamically screened Coulomb potential**, instead of the bare Coulomb potential

$$\begin{aligned} (\hat{T} + \hat{V}_{ion} + \hat{V}_H + \hat{V}_{xc}) |\psi_n\rangle &= \epsilon_n |\psi_n\rangle && \text{DFT} \\ (\hat{T} + \hat{V}_{ion} + \hat{V}_H + \hat{\Sigma}(E_n^{QP})) |\psi_n^{QP}\rangle &= E_n^{QP} |\psi_n^{QP}\rangle && \text{MBPT} \end{aligned}$$

$$\Sigma(\mathbf{r}, \mathbf{r}'; \omega) = \int \frac{d\omega'}{2\pi} G(\mathbf{r}, \mathbf{r}'; \omega + \omega') W(\mathbf{r}, \mathbf{r}'; \omega')$$

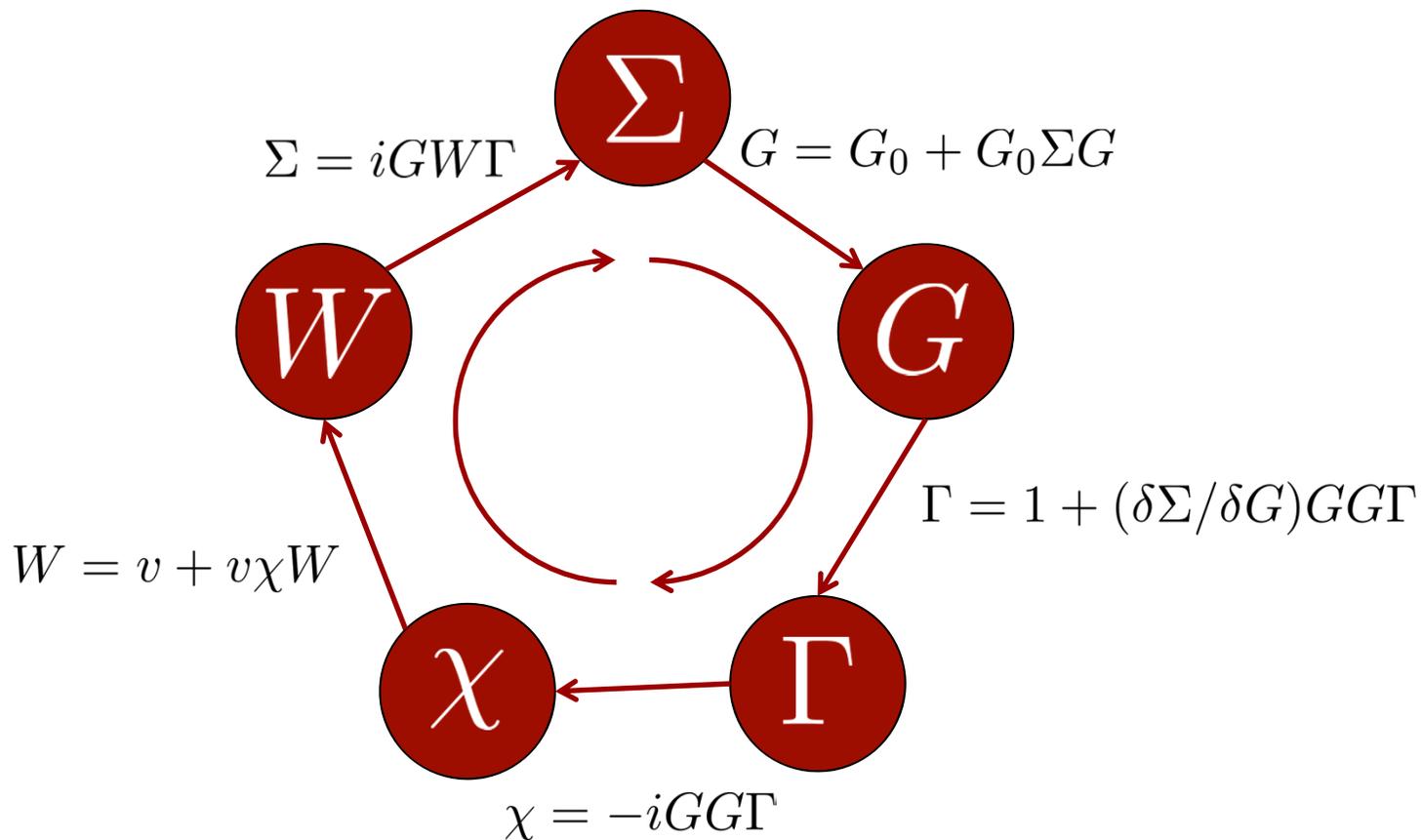
$$G(\mathbf{r}, \mathbf{r}'; \omega) = \langle \mathbf{r} | \frac{1}{\omega - H} | \mathbf{r}' \rangle$$

$$W(\mathbf{r}, \mathbf{r}'; \omega) = \int d\mathbf{r}'' \epsilon^{-1}(\mathbf{r}, \mathbf{r}''; \omega) v_c(\mathbf{r}'', \mathbf{r}')$$

What is its physical meaning ?

$$E_n^{QP} = \epsilon_n^{KS} + \langle \psi_n^{KS} | \hat{\Sigma}(E_n^{QP}) - \hat{V}_{xc} | \psi_n^{KS} \rangle$$

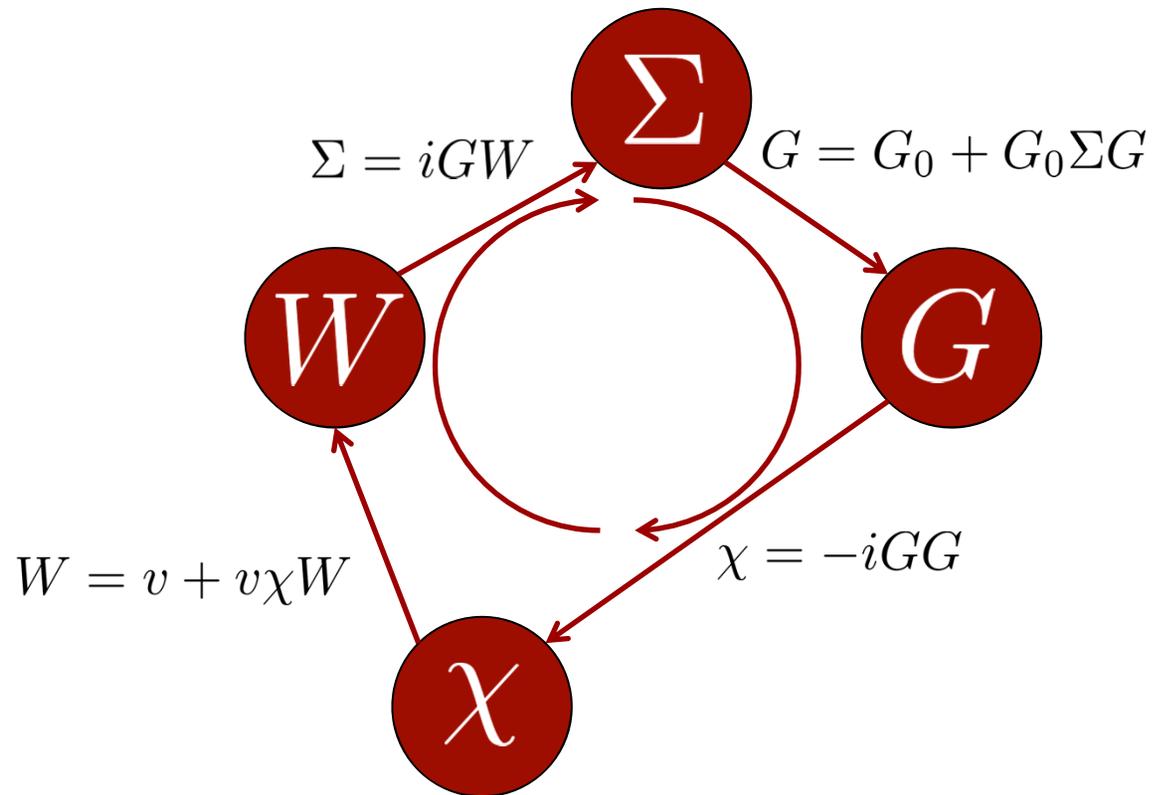
Hedin's idea to solve Dyson equations



Lars Hedin, Phys. Rev. 139, A796 (1965)

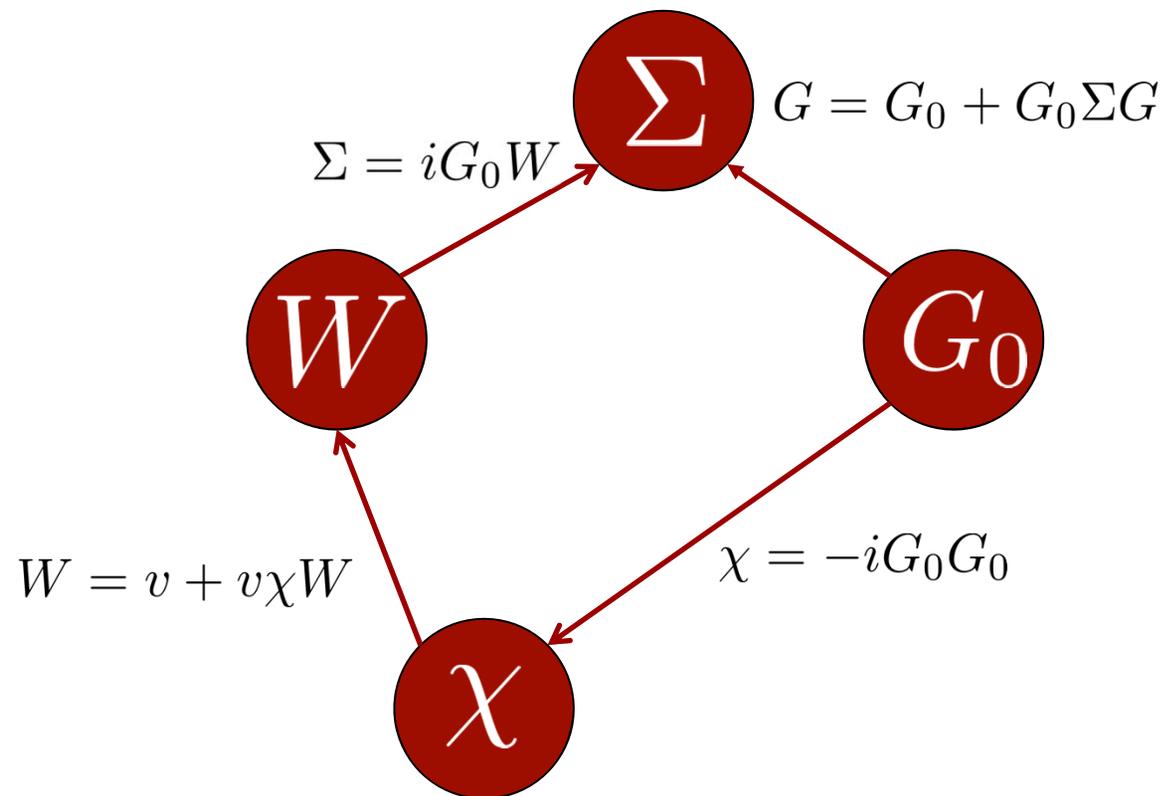
“[...] Besides the proof of a modified Luttinger-Ward-Klein variational principle and a related self-consistency idea, **there is not much new in principle in this paper.** [...]”

The GW approximation



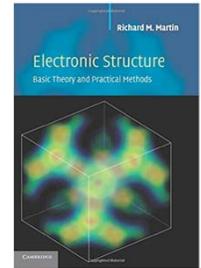
Lars Hedin, Phys. Rev. 139, A796 (1965)

The G_0W_0 approximation



Lars Hedin, Phys. Rev. 139, A796 (1965)

Maxwell equations for the total field



- Maxwell equations: $Q = -e$; $n = \text{density}$

$$\begin{aligned}\nabla \cdot \mathbf{E} &= 4\pi Q n & \nabla \times \mathbf{E}(t) &= -\frac{1}{c} \frac{d\mathbf{B}}{dt} \\ \nabla \cdot \mathbf{B} &= 0 & \nabla \times \mathbf{B}(t) &= \frac{4\pi}{c} \mathbf{j} + \frac{1}{c} \frac{d\mathbf{E}}{dt}\end{aligned}$$

$$\nabla \cdot \mathbf{j} = -Q \frac{dn}{dt}$$

- Internal and External charges and currents:

$$\mathbf{n} = \mathbf{n}_{\text{int}} + \mathbf{n}_{\text{ext}}; \mathbf{j} = \mathbf{j}_{\text{int}} + \mathbf{j}_{\text{ext}}$$

- Polarization : defined to *within an additive constant* (one computes *polarization differences*)

$$\mathbf{P}(\mathbf{r}, t) = \int^t dt' \mathbf{j}_{\text{int}}(\mathbf{r}, t')$$

$$\nabla \cdot \mathbf{P}(\mathbf{r}, t) = -Q n_{\text{int}}(\mathbf{r}, t)$$

Maxwell equations for the external field

- $\mathbf{D} = \mathbf{E} + 4\pi\mathbf{P}$ \mathbf{D} = external field, independent of the material

$$\begin{aligned} \nabla \cdot \mathbf{D} &= 4\pi Q n_{\text{ext}} & \nabla \times \mathbf{E}(t) &= -\frac{1}{c} \frac{d\mathbf{B}}{dt} \\ \nabla \cdot \mathbf{B} &= 0 & \nabla \times \mathbf{B}(t) &= \frac{4\pi}{c} \mathbf{j}_{\text{ext}} + \frac{1}{c} \frac{d\mathbf{D}}{dt} \end{aligned}$$

- Relation between current and **total field** and density and **total field**

$$\mathbf{j}_{\text{int}}(\mathbf{r}, t) = \int d\mathbf{r}' \int^t \sigma(\mathbf{r}, \mathbf{r}', t - t') \mathbf{E}(\mathbf{r}', t')$$

$$\mathbf{j}_{\text{int}}(\mathbf{r}, \omega) = \int d\mathbf{r}' \sigma(\mathbf{r}, \mathbf{r}', \omega) \mathbf{E}(\mathbf{r}', \omega)$$

$$\mathbf{D}(\mathbf{r}, \omega) = \int d\mathbf{r}' \epsilon(\mathbf{r}, \mathbf{r}', \omega) \mathbf{E}(\mathbf{r}', \omega)$$

$$\mathbf{E}(\mathbf{r}, \omega) = \int d\mathbf{r}' \epsilon^{-1}(\mathbf{r}, \mathbf{r}', \omega) \mathbf{D}(\mathbf{r}', \omega)$$

- Response to the **total field** \mathbf{E} $\epsilon(\mathbf{r}, \mathbf{r}', \omega) = 1\delta(\mathbf{r} - \mathbf{r}') + \frac{4\pi i}{\omega} \sigma(\mathbf{r}, \mathbf{r}', \omega)$

Response to the **external field** \mathbf{D} $\rightarrow \epsilon^{-1}(\mathbf{r}, \mathbf{r}', \omega)$

Response in terms of scalar potentials

- $\mathbf{E} = -\nabla V(\mathbf{r})$: field derived from potential \rightarrow
in Fourier space: $\mathbf{E}(\mathbf{q}) = i \mathbf{q} V(\mathbf{q})$ is longitudinal (\parallel to \mathbf{q})

$$\epsilon^{-1}(\mathbf{q}, \mathbf{q}', \omega) = \frac{\delta V_{\text{total}}^C(\mathbf{q}, \omega)}{\delta V_{\text{ext}}(\mathbf{q}', \omega)}$$

External potential

$$\epsilon(\mathbf{q}, \mathbf{q}', \omega) = \frac{\delta V_{\text{ext}}(\mathbf{q}, \omega)}{\delta V_{\text{total}}^C(\mathbf{q}', \omega)}$$

Coulomb potential

- How do we compute the dielectric response?
 - Derive an expression of the **direct and inverse dielectric response in terms** of single particle (Kohn-Sham) **electronic states** ψ_i from **density response functions**

Static density response function



- Response of the electrons to a variation of the total potential at \mathbf{r}'

$$\chi_n^0(\mathbf{r}, \mathbf{r}') = \frac{\delta n(\mathbf{r})}{\delta V_{\text{eff}}(\mathbf{r}')} = 2 \sum_{i=1}^{\text{occ}} \sum_j^{\text{empty}} \frac{\psi_i^*(\mathbf{r})\psi_j(\mathbf{r})\psi_j^*(\mathbf{r}')\psi_i(\mathbf{r}')}{\epsilon_i - \epsilon_j}$$

Definition

Perturbation theory

$$\chi_n^0(\mathbf{r}, \mathbf{r}') = \sum_{i=1}^{\text{occ}} \psi_i^*(\mathbf{r}) G_0^i(\mathbf{r}, \mathbf{r}') \psi_i(\mathbf{r}')$$

Independent particle Green function

$$G_0^i(\mathbf{r}, \mathbf{r}') = \sum_{j \neq i}^{\infty} \frac{\psi_j(\mathbf{r})\psi_j^*(\mathbf{r}')}{\epsilon_i - \epsilon_j}$$

Density response function in Fourier space

- Response to specific Fourier components:

$$\Delta V_{\text{eff}}(\mathbf{r}) = \Delta V_{\text{eff}} e^{i\mathbf{q}\mathbf{r}} \quad \text{and} \quad n(\mathbf{q}') = \int d\mathbf{r} n(\mathbf{r}) e^{i\mathbf{q}'\mathbf{r}}$$



$$\chi_n^0(\mathbf{q}, \mathbf{q}') = \frac{\delta n(\mathbf{q}')}{\delta V_{\text{eff}}(\mathbf{q})} = 2 \sum_{i=1}^{\text{occ}} \sum_j^{\text{empty}} \frac{M_{ij}^*(\mathbf{q}) M_{ij}(\mathbf{q}')}{\epsilon_i - \epsilon_j} \left\langle \psi_i | e^{i\mathbf{q}\cdot\mathbf{r}} | \psi_j \right\rangle$$

- **Homogeneous system:** χ^0 differs from 0 only for $\mathbf{q} = \mathbf{q}'$
- **Non interacting electrons:** the variation of the total potential equals the variation of the external potential $\rightarrow \chi^0$ is the response to an external perturbation
- **Mean field theory (Kohn-Sham):** internal fields vary

Density response within KS theory



- Electrons are independent particles in the potential V_{eff} :

$$\chi_n^0(\mathbf{q}, \mathbf{q}') = \frac{\delta n(\mathbf{q}')}{\delta V_{\text{eff}}(\mathbf{q})} = 2 \sum_{i=1}^{\text{occ}} \sum_j^{\text{empty}} \frac{M_{ij}^*(\mathbf{q}) M_{ij}(\mathbf{q}')}{\epsilon_i - \epsilon_j}$$

$\langle \psi_i | e^{i\mathbf{q}\cdot\mathbf{r}} | \psi_j \rangle$

- Response to the **external field**:

$$\chi(\mathbf{r}, \mathbf{r}') = \frac{\delta n(\mathbf{r})}{\delta V_{\text{ext}}(\mathbf{r}')} \quad \chi(\mathbf{q}, \mathbf{q}') = \frac{\delta n(\mathbf{q})}{\delta V_{\text{ext}}(\mathbf{q}'')}$$

- Relationship between response to the total (χ^0) and the external field (χ):

$$\chi = \chi^0 [1 - \chi^0 K]^{-1} \quad \chi^{-1} = [\chi^0]^{-1} - K$$

Random Phase Approximation: $f_{\text{xc}} = 0$

$$K(\mathbf{q}, \mathbf{q}') = \frac{\delta V_{\text{int}}(\mathbf{q})}{\delta n(\mathbf{q}')} = \frac{4\pi}{q^2} \delta_{\mathbf{q}, \mathbf{q}'} + \frac{\delta^2 E_{\text{xc}}[n]}{\delta n(\mathbf{q}) \delta n(\mathbf{q}')} \equiv V_C(q) \delta_{\mathbf{q}, \mathbf{q}'} + f_{\text{xc}}(\mathbf{q}, \mathbf{q}')$$

Dynamical response



- **Time dependence of Kernel:**

$$K(\mathbf{q}, \mathbf{q}', t - t') = \frac{\delta V_{\text{int}}(\mathbf{q}, t)}{\delta n(\mathbf{q}', t')} = \frac{4\pi}{q^2} \delta_{\mathbf{q}, \mathbf{q}'} \delta(t - t') + \frac{\delta^2 E_{\text{xc}}[n]}{\delta n(\mathbf{q}, t) \delta n(\mathbf{q}', t')}$$

↓ FT

$$K(\mathbf{q}, \mathbf{q}', \omega) = V_C(q) \delta_{\mathbf{q}, \mathbf{q}'} + f_{\text{xc}}(\mathbf{q}, \mathbf{q}', \omega)$$

- **Generalization of the relationship between response to the total (χ^0) and the external field (χ):**

$$\chi(\omega) = \chi^0(\omega) [1 - \chi^0(\omega) K(\omega)]^{-1}$$

- **Kramers-Kronig relations:**

$$\begin{aligned} \Re \chi(\omega) &= -\frac{1}{\pi} \int_{-\infty}^{\infty} d\omega' \frac{\Im \chi(\omega')}{\omega - \omega'} \\ \Im \chi(\omega) &= \frac{1}{\pi} \int_{-\infty}^{\infty} d\omega' \frac{\Re \chi(\omega')}{\omega - \omega'} \end{aligned}$$

Response in terms of scalar potentials

- $\mathbf{E} = -\nabla V(\mathbf{r})$: field derived from potential \rightarrow
in Fourier space: $\mathbf{E}(\mathbf{q}) = i \mathbf{q} V(\mathbf{q})$ is longitudinal (\parallel to \mathbf{q})

$$\epsilon^{-1}(\mathbf{q}, \mathbf{q}', \omega) = \frac{\delta V_{\text{total}}^C(\mathbf{q}, \omega)}{\delta V_{\text{ext}}(\mathbf{q}', \omega)}$$

External potential

$$\epsilon(\mathbf{q}, \mathbf{q}', \omega) = \frac{\delta V_{\text{ext}}(\mathbf{q}, \omega)}{\delta V_{\text{total}}^C(\mathbf{q}', \omega)}$$

Coulomb potential

Expression of the **direct and inverse dielectric response** in terms of single particle (Kohn-Sham) **electronic states** ψ_i from **density response functions**

$$\epsilon^{-1} = 1 + \frac{V_C \chi^0}{1 - (V_C + f_{xc}) \chi^0}$$

Dielectric matrices, functions & tensors



- In a crystal $\mathbf{q} = \mathbf{k} + \mathbf{G}$ where \mathbf{k} is in the first Brillouin zone and \mathbf{G} is a reciprocal lattice vector

$$\epsilon^{-1}(\mathbf{q}, \mathbf{q}', \omega) = \delta(\mathbf{q} - \mathbf{q}') + V_C(\mathbf{q})\chi(\mathbf{q}, \mathbf{q}', \omega)$$



Matrices

$$\epsilon_{\mathbf{G}, \mathbf{G}'}(\mathbf{k}, \omega) \quad \epsilon_{\mathbf{G}, \mathbf{G}'}^{-1}(\mathbf{k}, \omega)$$

- Optical excitations \rightarrow long wavelengths $\rightarrow \mathbf{G}, \mathbf{G}' = 0 \rightarrow$ macroscopic dielectric function :

$$\epsilon(\mathbf{k}, \omega) = \frac{\delta V_{\text{ext}}(\mathbf{k}, \omega)}{\delta V_{\text{total}}^C(\mathbf{k}, \omega)} = \frac{1}{\epsilon_{00}^{-1}(\mathbf{k}, \omega)}$$

$$\epsilon(\mathbf{k}, \omega) \approx \epsilon_{00}(\mathbf{k}, \omega)$$

Local fields neglected

- Dielectric tensors:
$$\epsilon(\mathbf{k}, \omega) = \lim_{|\mathbf{k}| \rightarrow 0} \hat{\mathbf{k}}_{\alpha} \epsilon_{\alpha\beta}(\mathbf{k}, \omega) \hat{\mathbf{k}}_{\beta}$$

- In a cubic crystal:
$$\epsilon_{\alpha\beta} = \epsilon \delta_{\alpha\beta}$$

Calculation of dielectric matrices



Within the RPA approximation ($f_{xc} = 0$)

$$\epsilon_{\mathbf{G},\mathbf{G}'}(\mathbf{q}) = \delta_{\mathbf{G},\mathbf{G}'} - \frac{4\pi e^2}{|\mathbf{q} + \mathbf{G}|^2} \frac{4}{N_k \Omega} \sum_{\mathbf{k},v,c} \frac{\langle v, \mathbf{k} | e^{-i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} | c, \mathbf{k} + \mathbf{q} \rangle \langle c, \mathbf{k} + \mathbf{q} | e^{i(\mathbf{q}+\mathbf{G}')\cdot\mathbf{r}'} | v, \mathbf{k} \rangle}{E_{v,\mathbf{k}} - E_{c,\mathbf{k}+\mathbf{q}}}$$

Similarity transformation to a Hermitian matrix:

$$\tilde{\epsilon}_{\mathbf{G},\mathbf{G}'}(\mathbf{q}) = \frac{|\mathbf{q} + \mathbf{G}|}{|\mathbf{q} + \mathbf{G}'|} \epsilon_{\mathbf{G},\mathbf{G}'}(\mathbf{q})$$

Eigenvalues of the dielectric matrix are real and greater than or equal to 1

Direct, straightforward calculation of dielectric matrices is prohibitive for large systems

Spectral decomposition



- Represent polarizability by its **eigenvalue decomposition** and **truncate sum** over eigenvalues to an *appropriate, small number*

$$\tilde{\chi}_0 = \sum_{i=1}^N \tilde{\phi}_i \lambda_i \tilde{\phi}_i^H \xrightarrow{\text{RPA}} \tilde{\chi} = \sum_{i=1}^N \tilde{\phi}_i \frac{\lambda_i}{1 - \lambda_i} \tilde{\phi}_i^H$$

- Once this eigenvalue decomposition is known, computing ϵ is trivial

$$\tilde{\epsilon} = \sum_{i=1}^N \tilde{\phi}_i (1 - \lambda_i) \tilde{\phi}_i^H \quad \tilde{\epsilon}^{-1} = \sum_{i=1}^N \tilde{\phi}_i \left(\frac{\lambda_i}{1 - \lambda_i} + 1 \right) \tilde{\phi}_i^H$$

H.Wilson, F.Gygi and G.Galli, PRB 2008; H.Wilson, D.Lu, F.Gygi & GG, PRB 2009

- Compute eigenvalues and eigenvectors using **Density Functional Perturbation Theory*** (DFPT) → avoid costly calculation of empty single particle states

(*) S. Baroni, et al., Rev. Mod. Phys., 73:515, 2001.

DFPT and Sternheimer equation



SCF

$$H_{\text{SCF}} = -\frac{\Delta}{2} + V_{\text{SCF}}$$

$$(H_{\text{SCF}} - \epsilon_v)P_c|\Delta\psi_v\rangle = -P_cV_i^{\text{pert}}|\psi_v\rangle$$

NSCF

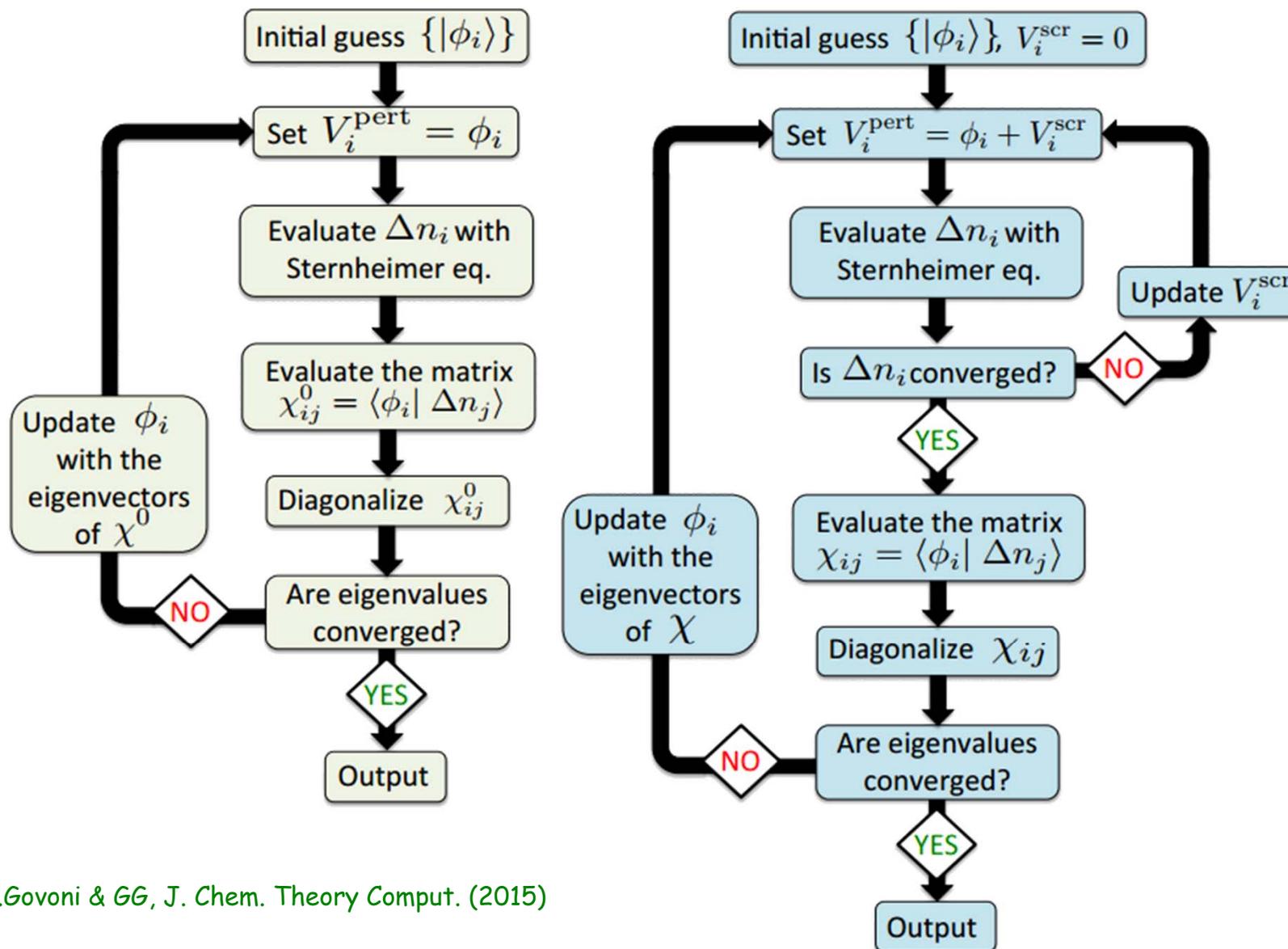
$$\Delta n(\mathbf{r}) = 4\Re \sum_v \psi_v^*(\mathbf{r})\Delta\psi_v(\mathbf{r}) \longrightarrow \epsilon = 1 - v\chi_0$$

$$\Downarrow \longrightarrow \epsilon^{-1} = 1 + v\chi$$

$$\Delta V_{\text{SCF}}(\mathbf{r}) = \Delta V_{\text{ext}}(\mathbf{r}) + \int d\mathbf{r}' \frac{\Delta n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + \left. \frac{dv_{xc}[n]}{dn} \right|_{n=n(\mathbf{r})} \Delta n(\mathbf{r})$$

The Sternheimer equation is solved non-self-consistently.

DFPT and Sternheimer equation



Iterative procedure based on Density Functional Perturbation Theory



$$\tilde{\epsilon}^{-1} = \sum_{i=1}^N \tilde{\phi}_i \left(\frac{\lambda_i}{1 - \lambda_i} + 1 \right) \tilde{\phi}_i^H$$

- Calculation of **empty electronic states**, calculation and storage of **full dielectric matrix** and **inversion** of ϵ are **avoided**
- **Scaling**: $N_{\text{eig}} N_{\text{pw}} N_v^2$ (instead of $N_{\text{pw}}^2 N_v N_c$)
- Efficient evaluation of ϵ^{-1} at different \mathbf{q} points and at different MD steps is possible
- Incorporation of XC kernel is in principle straightforward

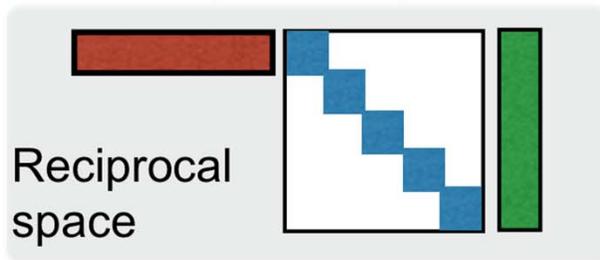
H. Wilson, F. Gygi, and G. G., PRB 2008; H. Wilson, D. Lu, F. Gygi and G. G., Phys. Rev. B 2009; V. H. Nguyen, S. de Gironcoli, Phys. Rev. B 2009, M. Govoni & G. G., J. Chem. Theory Comput., (2015)

Low rank decomposition of the screened Coulomb interaction $W(\mathbf{r}, \mathbf{r}')$



In **Hartree-Fock**

$$\langle \psi_i \psi_j | \frac{1}{|\mathbf{r} - \mathbf{r}'|} | \psi_k \psi_l \rangle$$

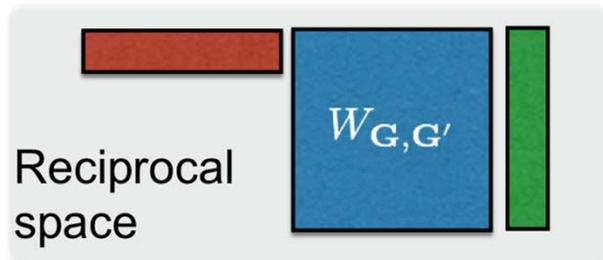


Example : 64 water molecules

- Direct space** size $\sim (250)^3 \times (250)^3$
Difficult to truncate
- Reciprocal space** size $\sim (1'000'000) \times (1'000'000)$
Could be truncated, full matrix
- Eigenpotential space** size $\sim (1'000) \times (1'000)$

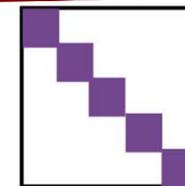
In **GW**

$$\langle \psi_i \psi_j | W(\mathbf{r}, \mathbf{r}') | \psi_k \psi_l \rangle$$



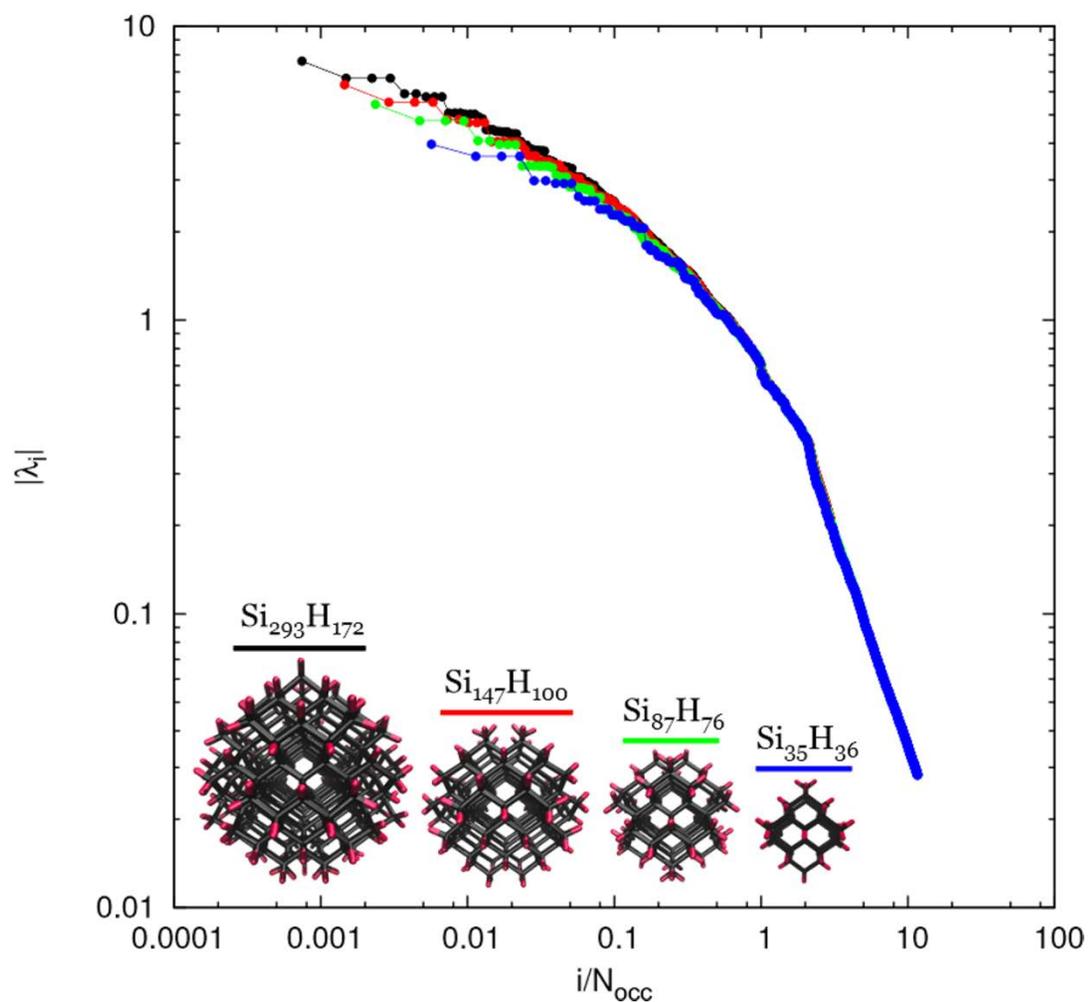
$$W = \sum_{\alpha} |\alpha\rangle \lambda_{\alpha} \langle \alpha|$$

Low-rank decomposition

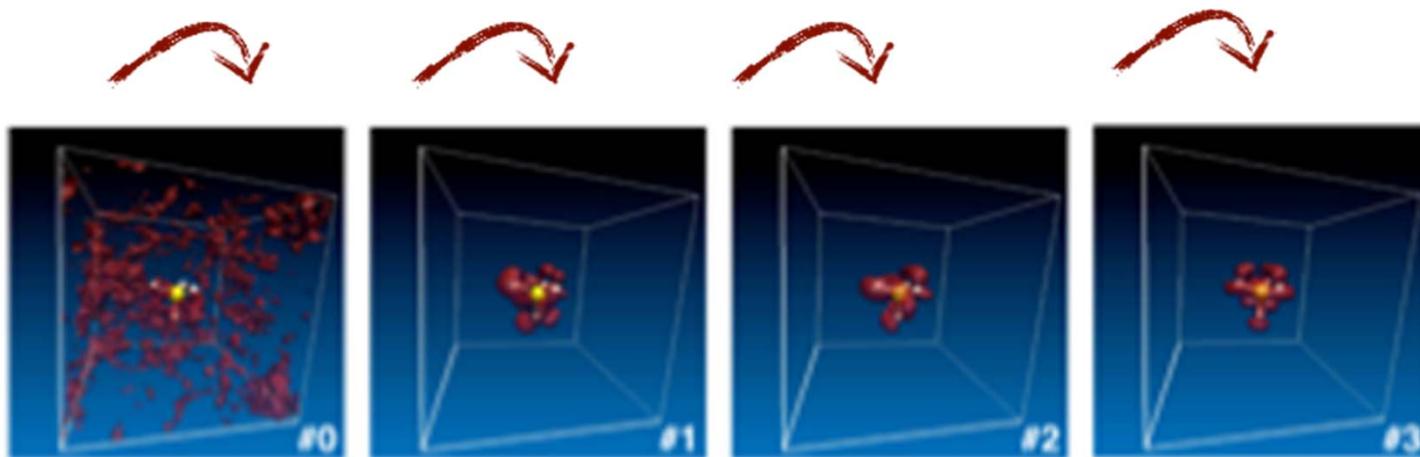


Separable form

Number of eigenpotentials



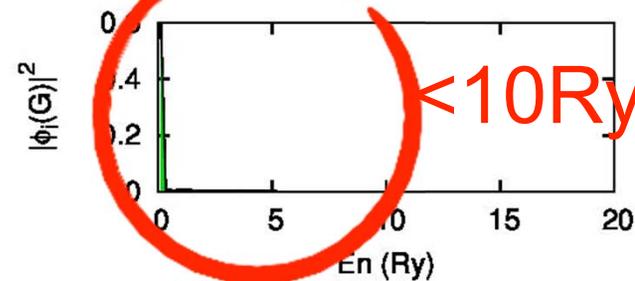
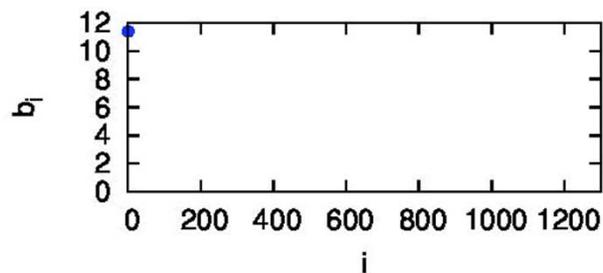
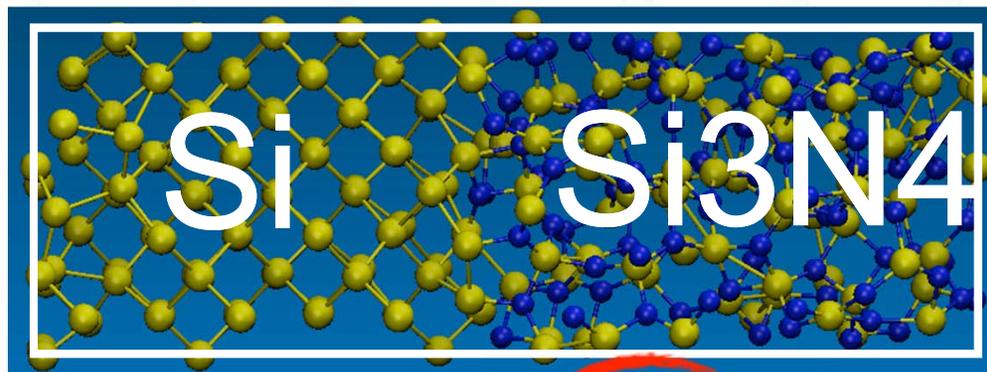
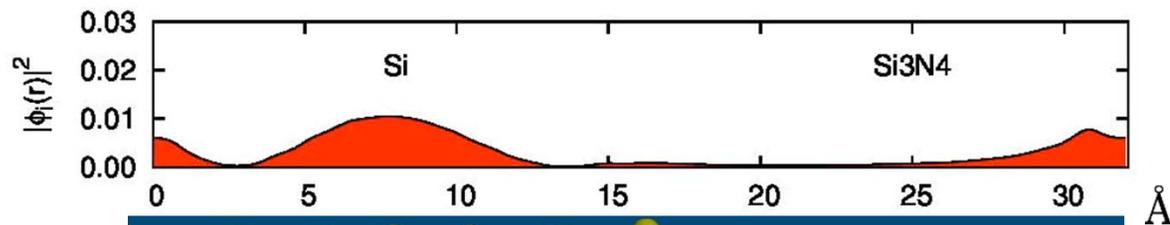
Convergence of eigenpotentials



Character and localization of eigenpotentials



No. electrons = 1152; $[E_{\text{cut}}]_{\text{wfs}} = 70 \text{ Ry}$; $[E_{\text{cut}}]_{\rho} = 280 \text{ Ry}$



Summary of GW algorithm



- Iterative diagonalization of the dielectric matrix
- Low rank decomposition of W
- DFPT based projection techniques to compute G
- Eigenpotentials of ε as basis also at finite frequency
- Lanczos algorithm to obtain frequency dependence in parallel
- Contour deformation technique for frequency integration

Frequency dependence

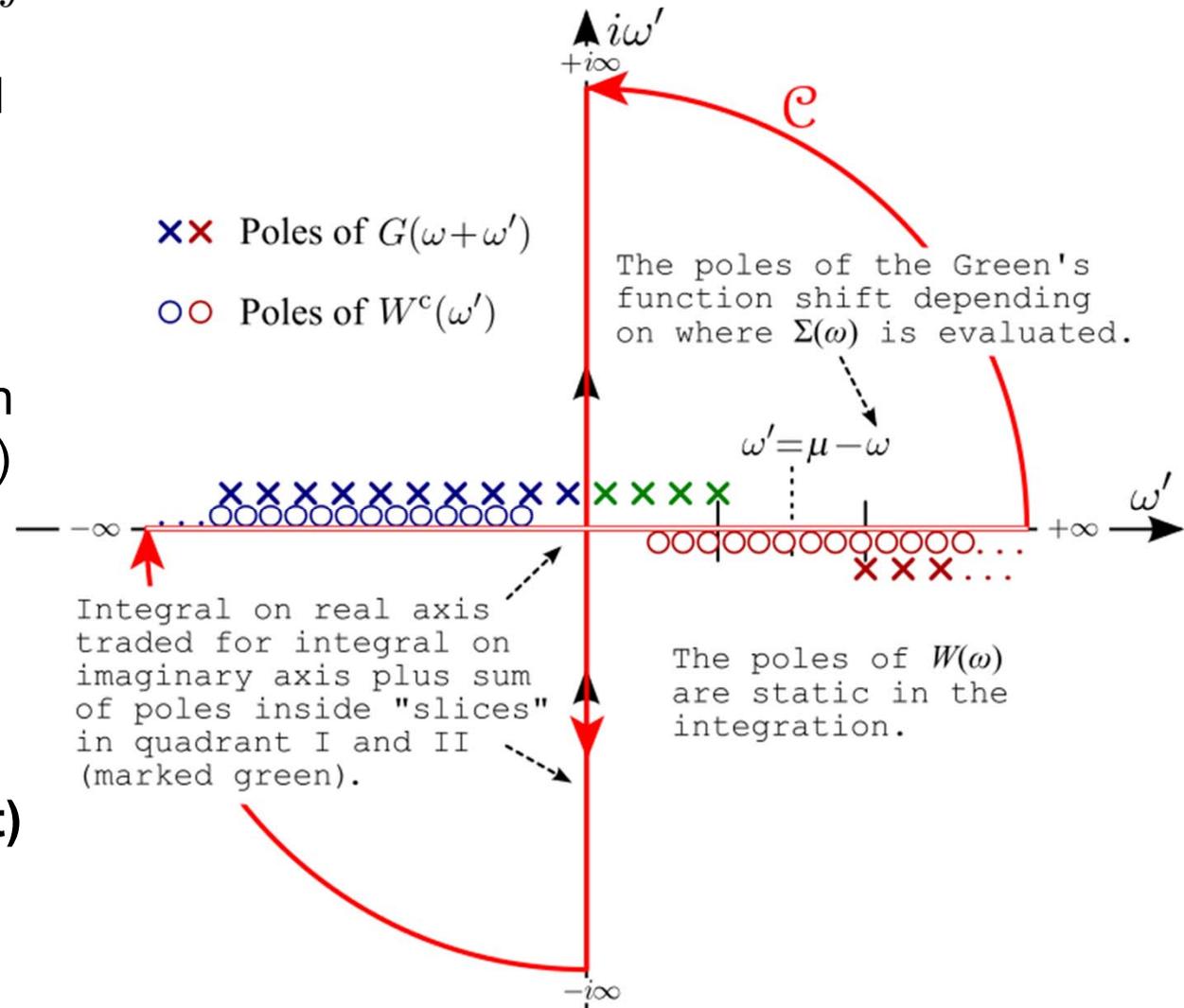


$$\Sigma(\mathbf{r}, \mathbf{r}', \omega) = i \int \frac{d\omega'}{2\pi} G(\mathbf{r}, \mathbf{r}', \omega + \omega') W_{\text{RPA}}(\mathbf{r}, \mathbf{r}', \omega')$$

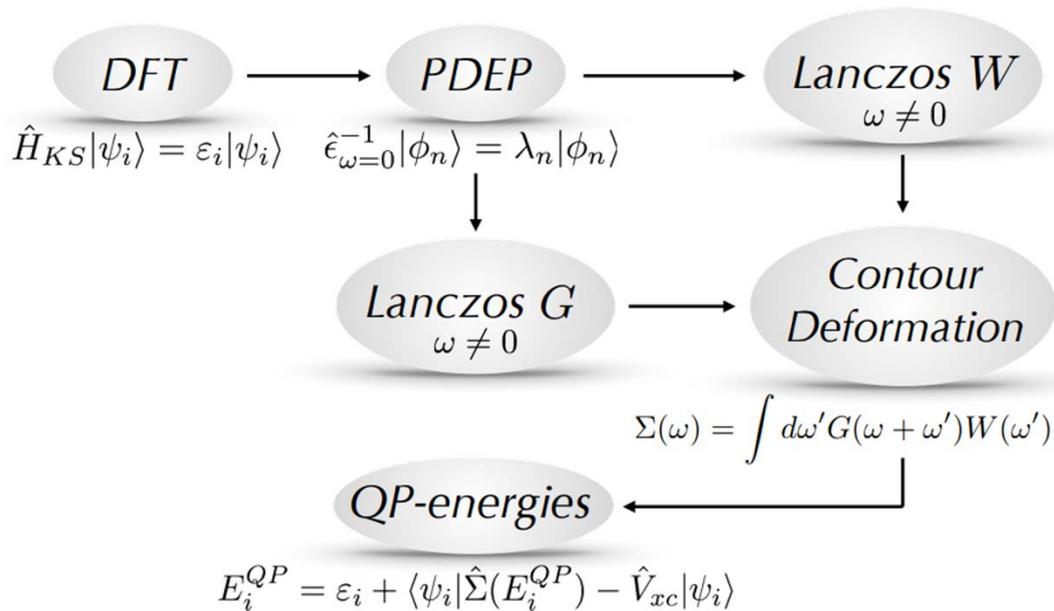
Frequency dependence of G and W are introduced via Lánczos techniques

Convolution:

- Real-axis integration (exact, dense mesh)
- Analytical continuation
- Plasmon-pole approximation
- **Contour deformation (exact)**



Summary of GW algorithm



- Eliminated summations over **empty states** using DFPT
- W made **separable** using the eigenvectors of the dielectric matrix as basis set; number of eigenpotentials controls the **accuracy** of the method.
- Greatly **reduced pre-factors** of $O(N^4)$ scaling

Electron self-energy: challenging to compute



DFT+MBPT

$$E_n^{QP} = \varepsilon_n^{KS} + \langle \psi_n^{KS} | \hat{\Sigma}(E_n^{QP}) - \hat{V}_{xc} | \psi_n^{KS} \rangle$$

$$\Sigma(\mathbf{r}, \mathbf{r}'; \omega) = \int \frac{d\omega'}{2\pi} G(\mathbf{r}, \mathbf{r}'; \omega + \omega') W(\mathbf{r}, \mathbf{r}'; \omega')$$

W requires the inversion of the dielectric matrix

G requires the inversion of the Hamiltonian

L. Hedin, Phys. Rev. 139, A796 (1965)

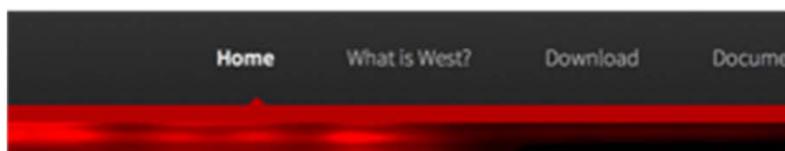
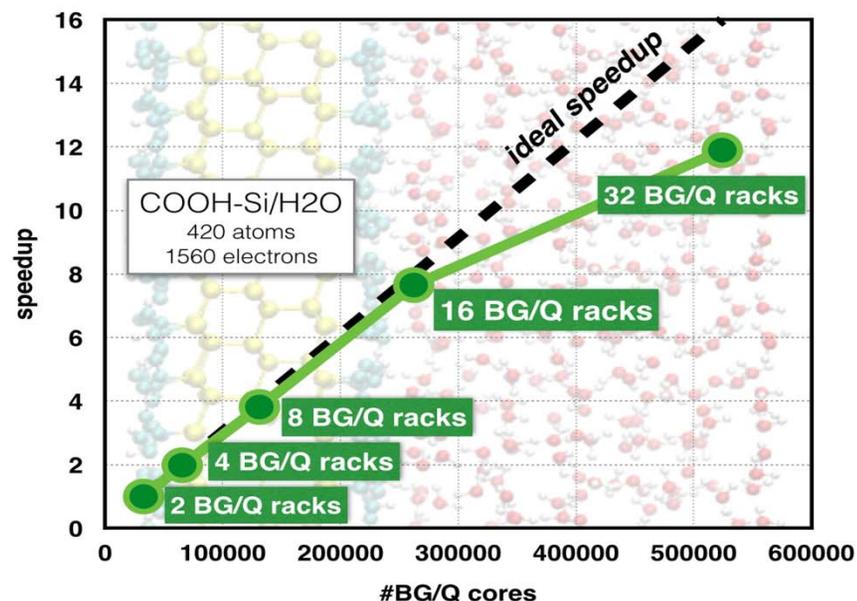
H eigenstates are used to expand operators	Achieving convergence may be challenging even for small systems	1
Non-separable forms for the dielectric matrix are used	Large matrices need to be stored and inverted	2
The frequency dependence of the screened interaction is often approximated (PP)	Accuracy of frequency models often difficult to calibrate	3

Implementation of GW algorithm



Range of applicability

Ordered and disordered **solids**,
defective materials, **liquids**,
molecular crystals,
nanostructures, interfaces



< WEST! >



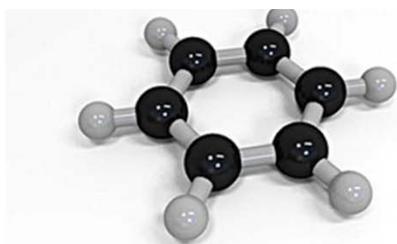
West is available for download under the GPL

Get West!

www.west-code.org

scalable to > 500,000 cores

Validation: Data Collections



WEST: <http://www.west-code.org>

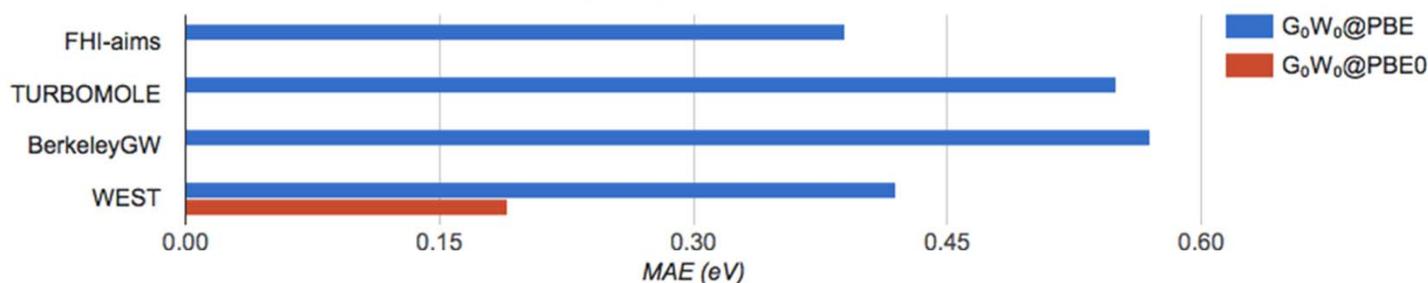
GW100

Benchmark of G_0W_0 on 100 molecules^[1-2]. The results obtained with WEST are compared with those of other all electron and pseudopotential codes.

[Access the Data Collection](#)

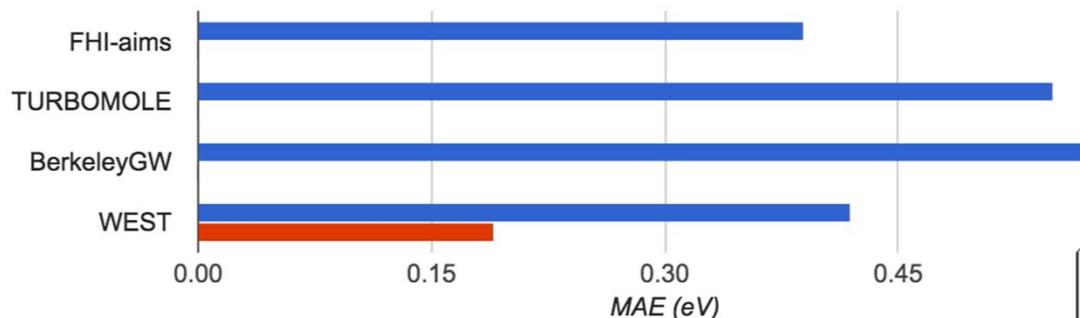
A paper describing the results obtained with WEST is in preparation.

Vertical Ionization Potentials: theory vs exp.



- [01] M. Schlipf, and F. Gygi, *Optimization algorithm for the generation of ONCV pseudopotentials*, [Comput. Phys. Comm. 196, 36 \(2015\)](#).
- [02] M.J. van Setten, F. Caruso, S. Sharifzadeh, X. Ren, M. Scheffler, F. Liu, J. Lischner, L. Lin, J.R. Deslippe, S.G. Louie, C. Yang, F. Weigend, J.B. Neaton, F. Evers, and P. Rinke, *GW100: Benchmarking G_0W_0 for Molecular Systems*, [J. Chem. Theory Comput. 11, 5665 \(2015\)](#).
- [03] M. Govoni *et al.*, [in preparation \(2016\)](#).
- [04] P.J. Linstrom and W.G. Mallard, Eds., NIST Chemistry WebBook, NIST Standard Reference Database Number 69, National Institute of Standards and Technology, Gaithersburg MD, 20899, <http://webbook.nist.gov>.

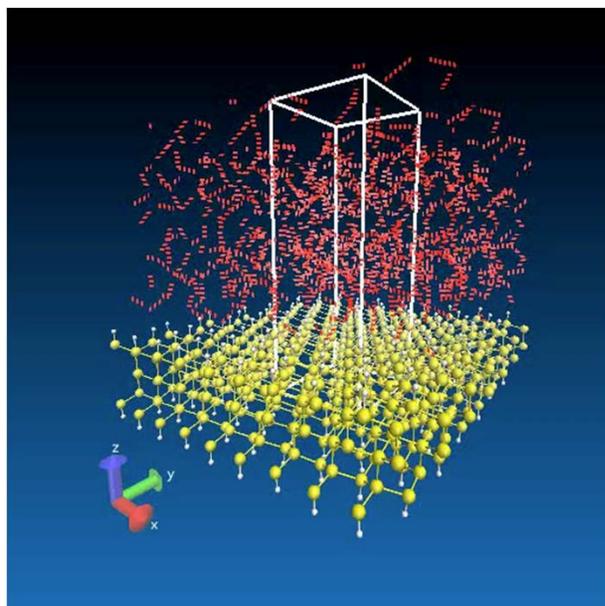
Validation and comparison with other codes



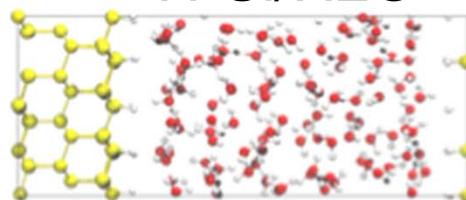
- **Good agreement with AE and PS codes**
- WEST does not require basis-set extrapolation
- WEST implements Full Frequency (no PP)

MAD (eV)		FHI-aims		TURBOMOLE		WEST	BerkeleyGW	
		16P	EXTRA	w/ RI	w/o RI		GPP	FF
FHI-aims	16P	—	0.14	0.05	0.00	0.15	0.62	0.29
	EXTRA	0.14	—	0.19	0.14	0.13	0.50	0.35
Turbomole	w/ RI	0.05	0.19	—	0.05	0.18	0.67	0.26
	w/o RI	0.00	0.14	0.05	—	0.16	0.67	0.29
WEST		0.15	0.13	0.18	0.16	—	0.53	0.17
BerkeleyGW	GPP	0.62	0.50	0.67	0.67	0.53	—	0.88
	FF	0.29	0.35	0.26	0.29	0.17	0.88	—

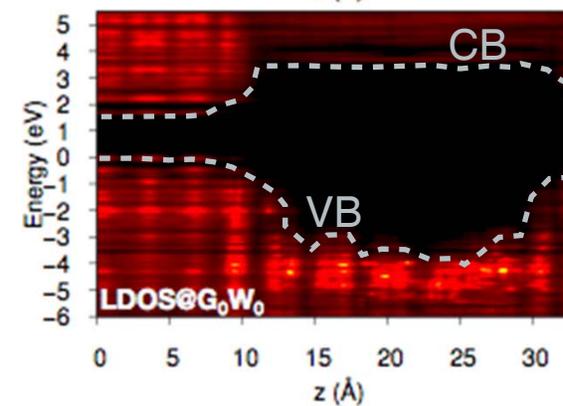
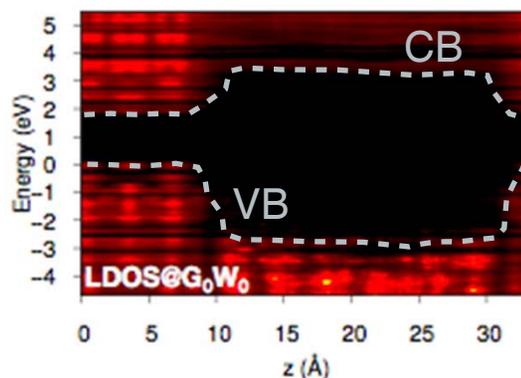
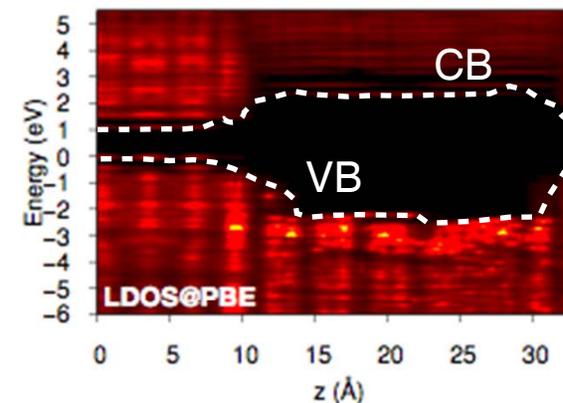
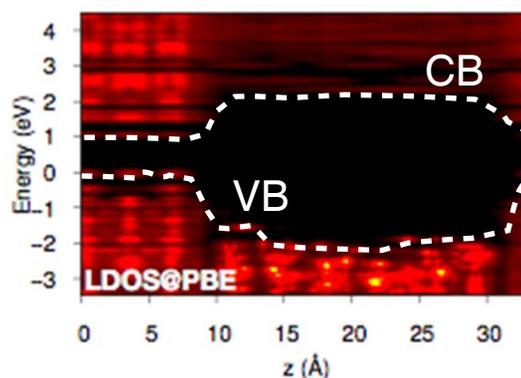
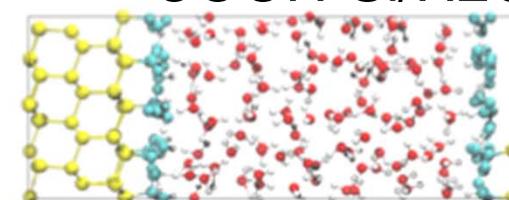
Applications to interfaces



H-Si/H2O



COOH-Si/H2O



Qbox & WEST

$$D(E, z) = \sum_n |\psi(z)|^2 \delta(E - \varepsilon_n)$$

Ecut = 85 Ry

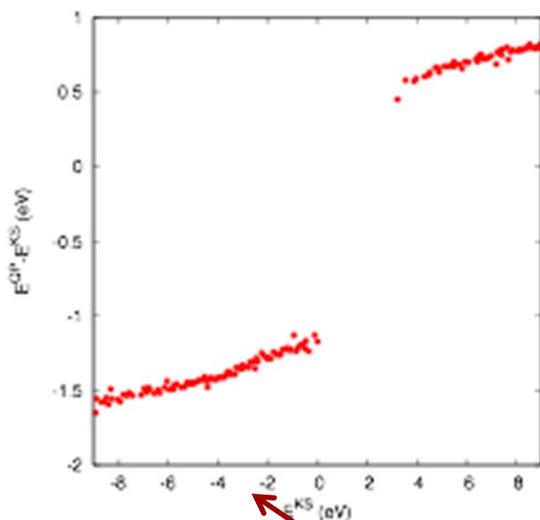
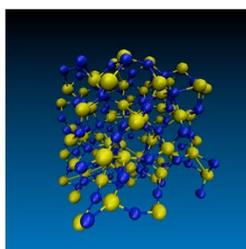
420 atoms 1176 electrons

492 atoms 1560 electrons

Applications to disordered systems

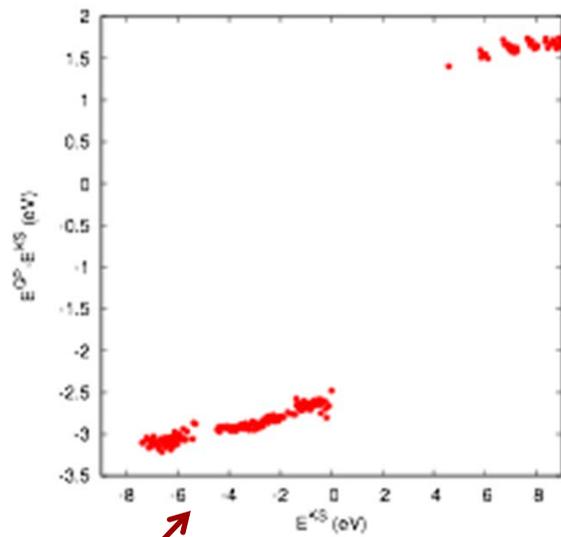
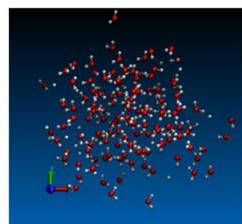


Amorphous Si_3N_4



States below the gap

Liquid water

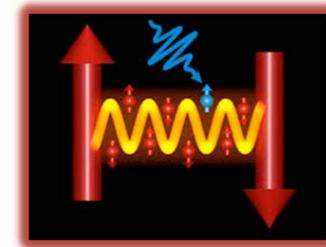


States above CBM

Applications to spin defects

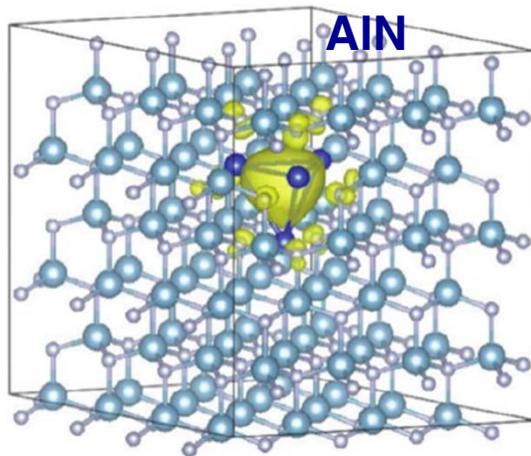


- Manipulating spins with light to design (i) **novel computing technologies** and (ii) new generation of **nanoscale sensors**

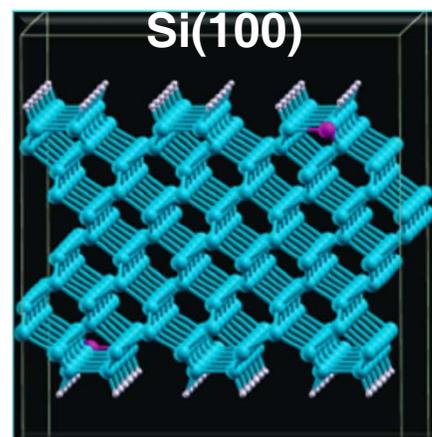


- Determination of “good” qubits from combined experimental and computational studies
- Identification of key spin-spin correlations from computation

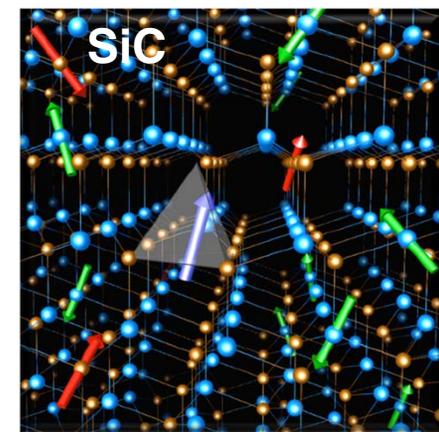
Electronic properties of spin defects



Seo, Govoni & GG Sci. Rep. 2016



Scherpelz, Govoni & GG 2016 (work in progress)

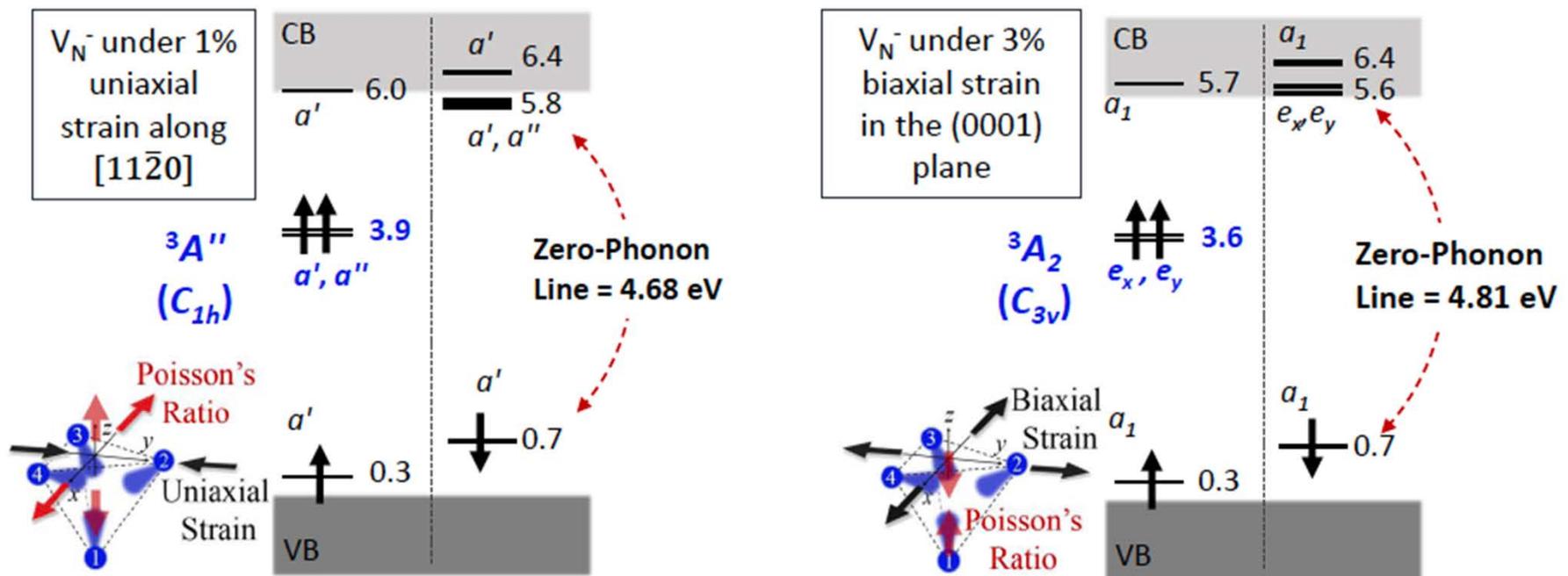


Seo, Falk, Klimov, Miao, GG, Awschalom, Nat. Comm. 2016

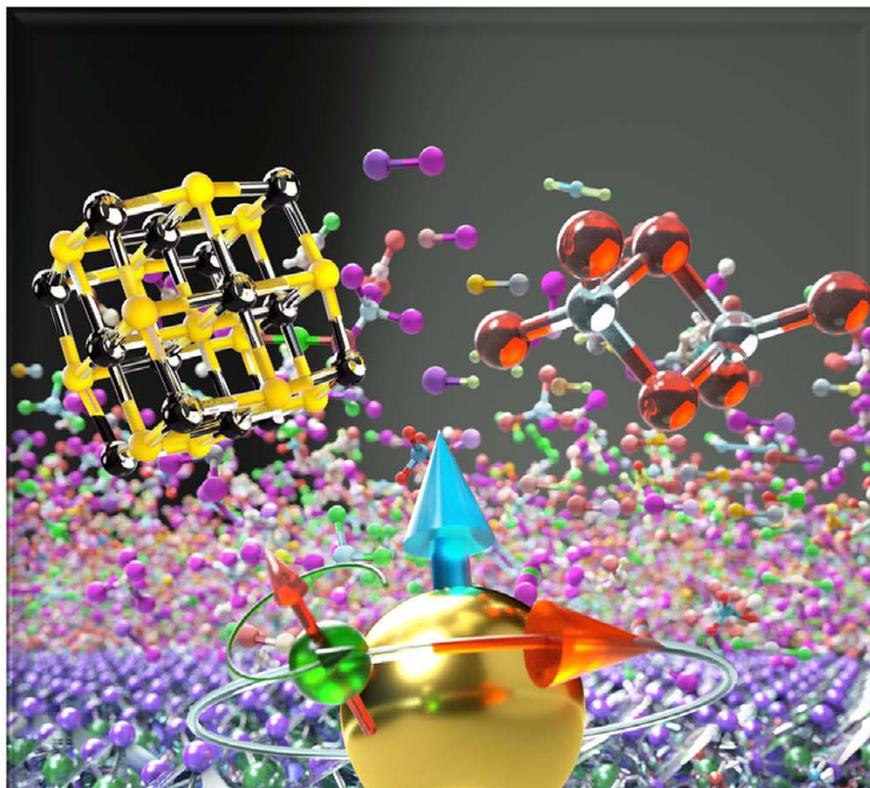
Calculations at DFT and MPBT level



- Using a combined (hybrid) density functional theory and G_0W_0 many-body perturbation framework, we predicted localized spin-triplet states in w -AlN under strain.
- Al-vacancy related defects are not suitable as qubits.
 - We found that negatively charged N-vacancy (V_N^-) have ${}^3A''$ and 3A_2 spin-triplet ground states under uniaxial and biaxial strain, respectively.



GW calculations w/spin-orbit coupling



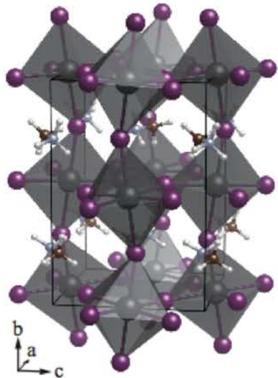
1 H										2 He																					
3 Li	4 Be									10 Ne																					
11 Na	12 Mg									18 Ar																					
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr														
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe														
55 Cs	56 Ba	57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn

GWSOC81

Benchmark of G_0W_0 with spin-orbit coupling (SOC) on 81 molecules.[†]

P. Scherpelz, M.Govoni, I.Hamada and GG, JCTC 2016

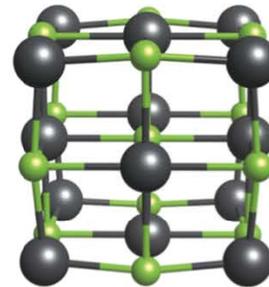
GW calculations w/spin-orbit coupling



E _{gap} (eV)	DFT	GoW ₀
wo/ SOC	1.48 (1.50)*	2.84 (2.55)*
w/ SOC	0.44 (0.58)*	1.51 (1.32)*

In good agreement with previous theoretical results

* Filip, Giustino, Phys. Rev. B 2014



E _{gap} (eV)	DFT	GoW ₀
wo/ SOC	0.87	3.08
w/ SOC	0.59	2.77

Error cancellation does not hold for PbSe nanoparticles

GW calculations w/spin-orbit coupling

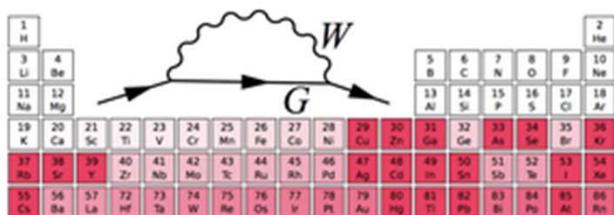
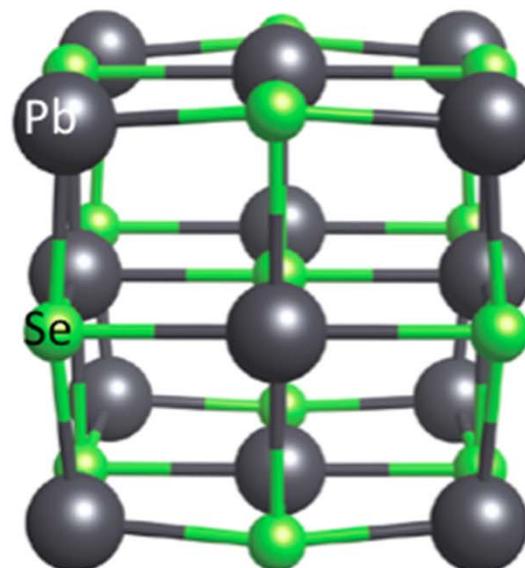
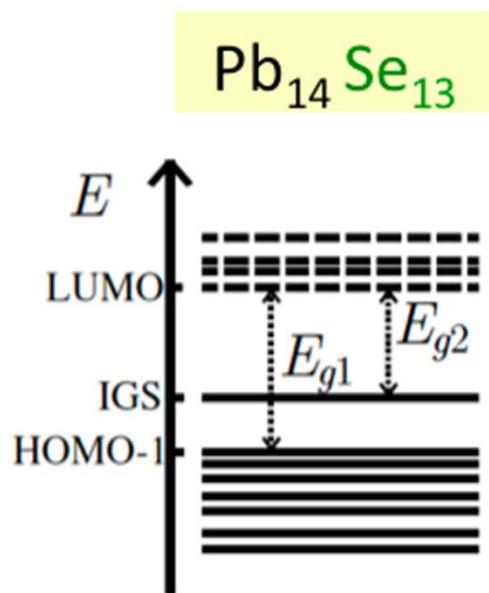
Choice of Pseudopotentials

Computed Band Gap (eV) of Solid $\text{CH}_3\text{NH}_3\text{PbI}_3$ As Determined with SR and FR Calculations, for Different Pseudopotential Configurations

	pseudo. set	functional	I config.	Pb config.	DFT E_g	G_0W_0 E_g
SR						
	ONCV (I^{25+})	PBE	4s4p4d5s5p	5d6s6p	1.50	2.27
	SG15 (I^{17+})	PBE	4d5s5p	5d6s6p	1.49	2.84
	SG15 (I^{7+})	PBE	5s5p	5d6s6p	1.48	2.26
	GTH	PBE	5s5p	5d6s6p	1.46	2.17
	GTH	PBE	5s5p	6s6p	1.44	2.22
	SG15-based (I^{17+})	LDA	4d5s5p	5d6s6p	1.36	2.86
	SG15-based (I^{7+})	LDA	5s5p	5d6s6p	1.37	2.39
	GTH	LDA	5s5p	6s6p	1.32	2.18
	ref 51	LDA	4d5s5p	5d6s6p	1.50	2.55
	ref 51	LDA	5s5p	5d6s6p	1.42	2.16
FR						
	ONCV (I^{25+})	PBE	4s4p4d5s5p	5d6s6p	0.46	0.85
	SG15 (I^{17+})	PBE	4d5s5p	5d6s6p	0.44	1.51
	SG15 (I^{7+})	PBE	5s5p	5d6s6p	0.43	0.87
	SG15-based (I^{17+})	LDA	4d5s5p	5d6s6p	0.32	1.41
*	SG15-based (I^{7+})	LDA	5s5p	5d6s6p	0.32	0.98
	ref 51	LDA	4d5s5p	5d6s6p	0.58	1.32
	ref 51	LDA	5s5p	5d6s6p	0.52	0.98

Further testing of PPs for GW calculations is in progress

Spin-orbit coupling: fully relativistic GW calculations



GWSOC81

Benchmark of G_0W_0 with spin-orbit coupling (SOC) on 81 molecules.[†]

Coming Soon

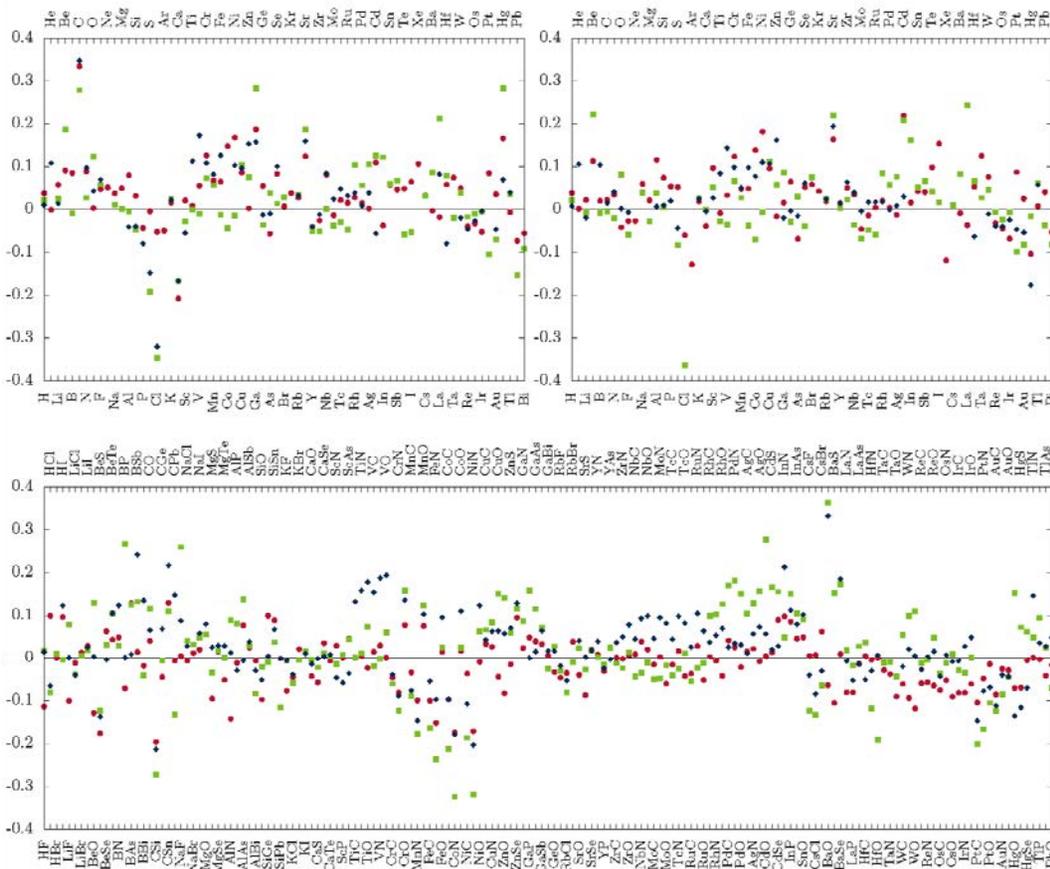
The SG15 collection of norm-conserving pseudopotentials



- SG15: A collection of Optimized Norm-Conserving Vanderbilt (ONCV) potentials from H to Bi
- Automatic optimization of parameters using the Nelder-Mead simplex algorithm
- Optimization criteria: reproduce FLAPW lattice constants, using a moderate plane-wave cutoff (60 Ry)
- D. Hamann's ONCV program (<http://mat-simresearch.com>)
- FLEUR FLAPW code (<http://www.flapw.de>)
- PPs available in QSO (XML) and UPF (Quantum ESPRESSO) formats at <http://www.quantum-simulation.org>

M. Schlipf, F. Gygi Comput. Phys. Comm. 196, 36 (2015)

The SG15 collection of norm-conserving pseudopotentials

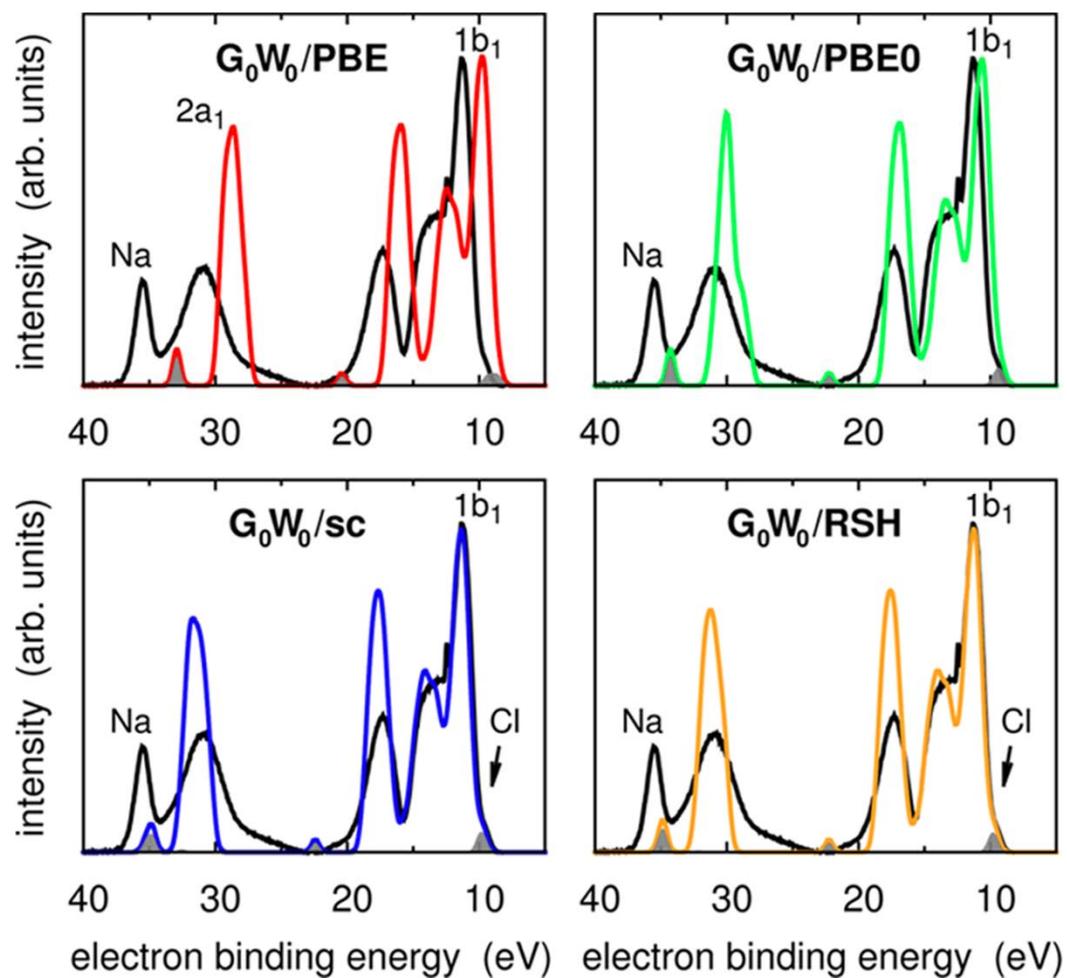


- Over 600 compounds tested
- lattice constant error $< 0.4\%$
- FLAPW, GBRV, PSLib(PAW), SG15 have similar accuracy

M. Schlipf, *E. Gygi Comput. Phys. Comm.* **196**, 36 (2015)

Does the starting point (input DFT) matter?

Example: Photoelectron spectra of aqueous solutions

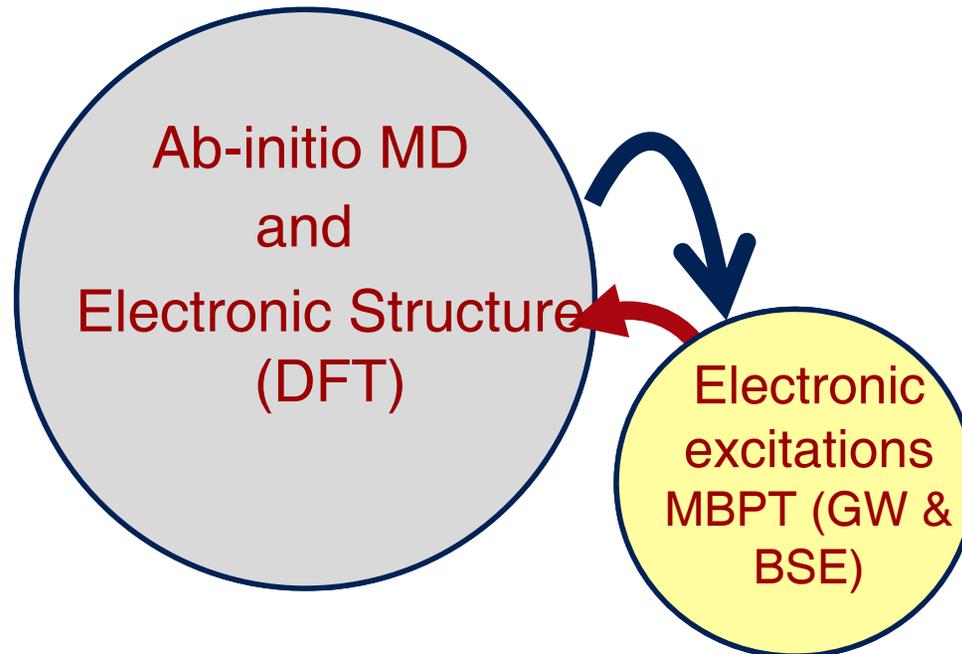


Outline



- Structural models
- The basic role of electronic structure underlying multiple properties of materials
- Large scale calculations and the importance of scalable algorithms
 - Large scale GW
- **'Improved' energy functionals from approximate self-energies**
- Transport from first principles

Hybrid functionals



Development of hybrid functionals

- Efficient screening of gaps and band positions in bulk materials
- Improved input for G_0W_0 calculations

Dielectric dependent hybrid functionals



Global DDH

$$v_{xc}^{hyb}(\mathbf{r}, \mathbf{r}') = \epsilon_{\infty}^{-1} v_x(\mathbf{r}, \mathbf{r}') + (1 - \epsilon_{\infty}^{-1}) v_x(\mathbf{r}) + v_c(\mathbf{r})$$

$$W(\mathbf{r}, \mathbf{r}') \approx \frac{\epsilon_{\infty}^{-1}}{|\mathbf{r} - \mathbf{r}'|}$$



Mixing fraction of local and exact exchange is the static dielectric constant, determined self-consistently

Range-separated (RS) DDH

$$v_{xc}^{hyb}(\mathbf{r}, \mathbf{r}') = \epsilon_{\infty}^{-1} v_x^{\text{lr-ex}}(\mathbf{r}; \mu) + \beta v_x^{\text{sr-ex}}(\mathbf{r}, \mathbf{r}'; \mu) \\ + (1 - \epsilon_{\infty}^{-1}) v_x^{\text{lr}}(\mathbf{r}; \mu) + (1 - \beta) v_x^{\text{sr}}(\mathbf{r}; \mu) + v_c(\mathbf{r})$$



Range separation parameter μ is, e.g. the Thomas-Fermi screening length (depends on # of VE)

$$W(\mathbf{r}, \mathbf{r}') \approx \frac{\epsilon_{\infty}^{-1}}{|\mathbf{r} - \mathbf{r}'|} + (\beta - \epsilon_{\infty}^{-1}) \frac{\text{erfc}(\mu|\mathbf{r} - \mathbf{r}'|)}{|\mathbf{r} - \mathbf{r}'|}$$



<http://qboxcode.org/>



QUANTUMESPRESSO

<http://quantum-espresso.org>



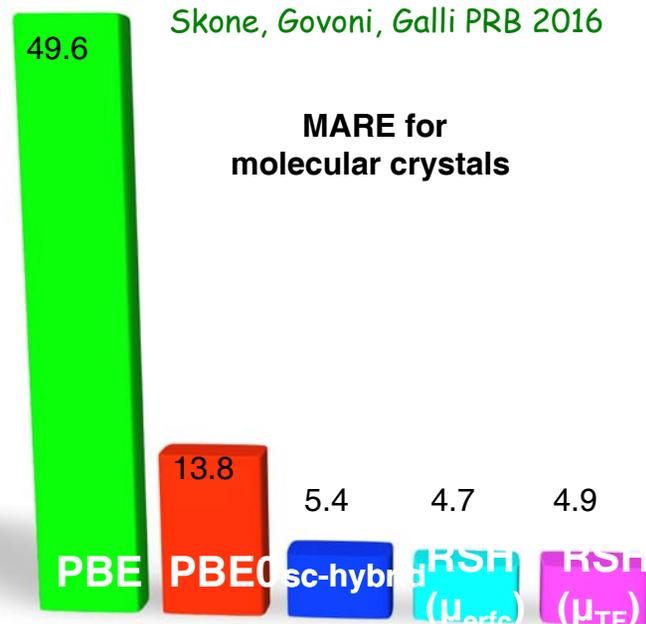
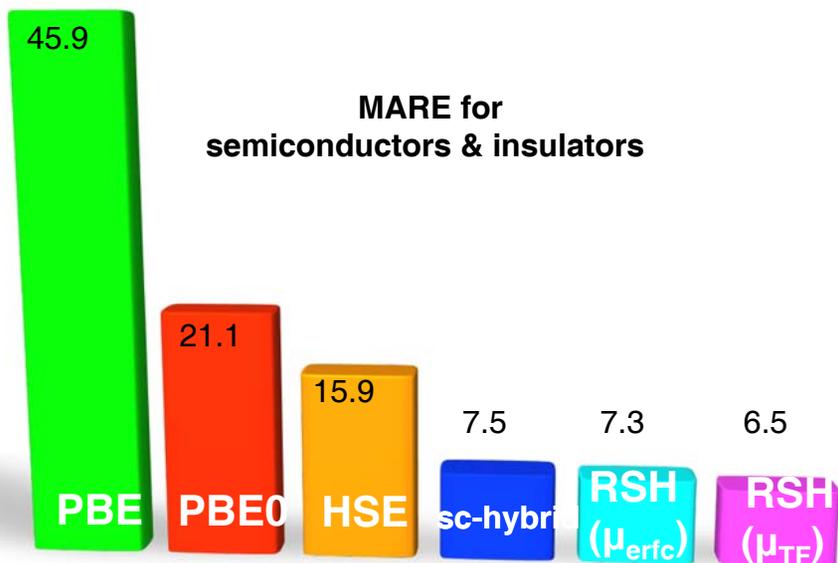
<http://www.crystal.unito.it/>



Performance of DDH functionals



Electronic Gaps of solids



Vertical Ionization Potentials of molecules

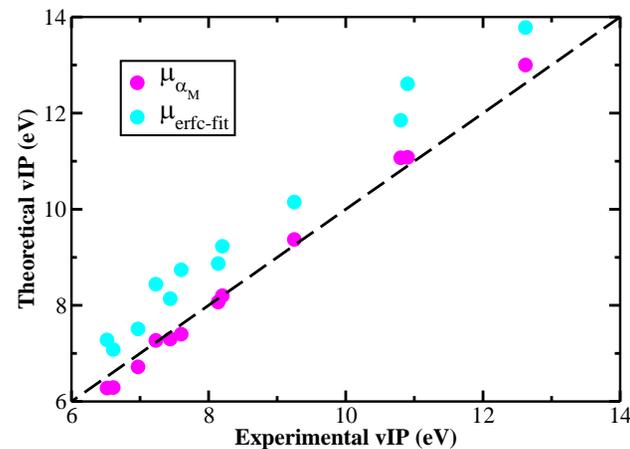
μ_{α_M} yields similar values to the OT-RSH* tuning procedure.

$$\mu_{\alpha_M} = \left(\frac{1}{\alpha_M} \right)^{\frac{1}{3}}$$

Molecular polarizability

*Refaely-Abramson et. al. PRL **109**, 226405 (2012)

*Kronik et. al. JCTC **8**, 1515 (2012)

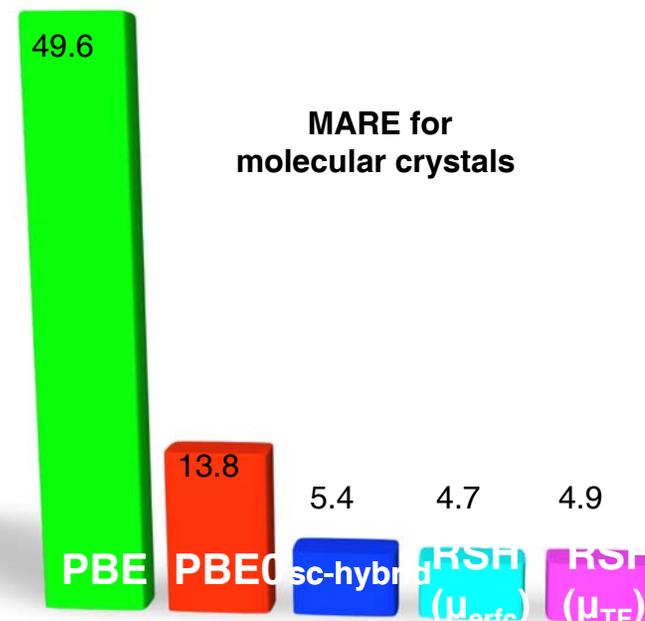
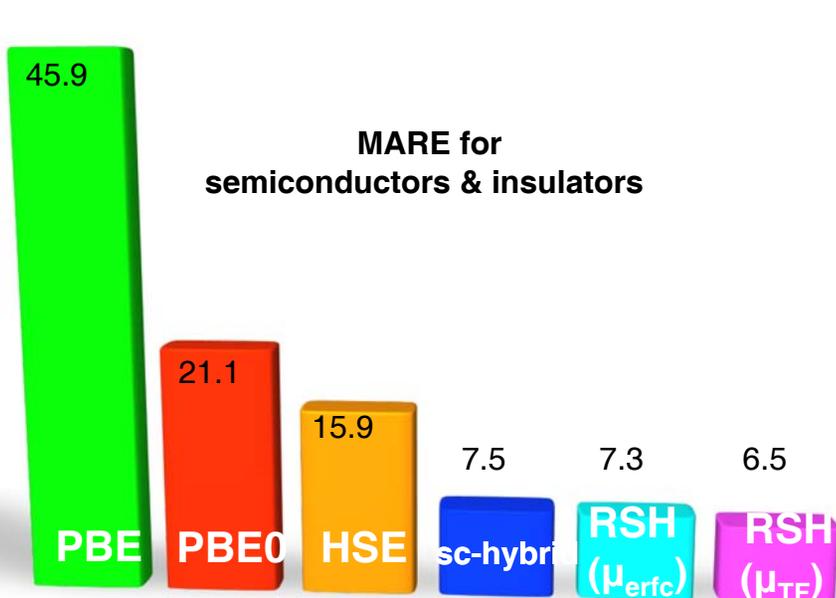


Performance of DDH functionals



Electronic Gaps

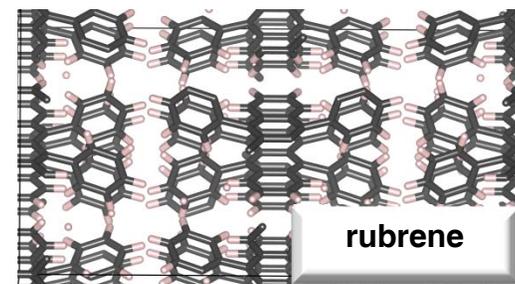
Skone, Govoni, Galli PRB 2016



Ionization Potential

	surface	PBE	PBE0	schybrid	RSH	Exp.*
vIP (eV)	(100)	3.85	4.45	4.69	4.68	4.85

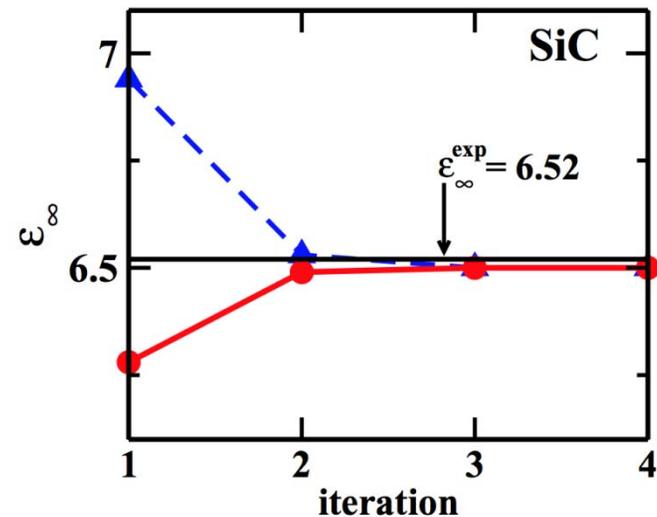
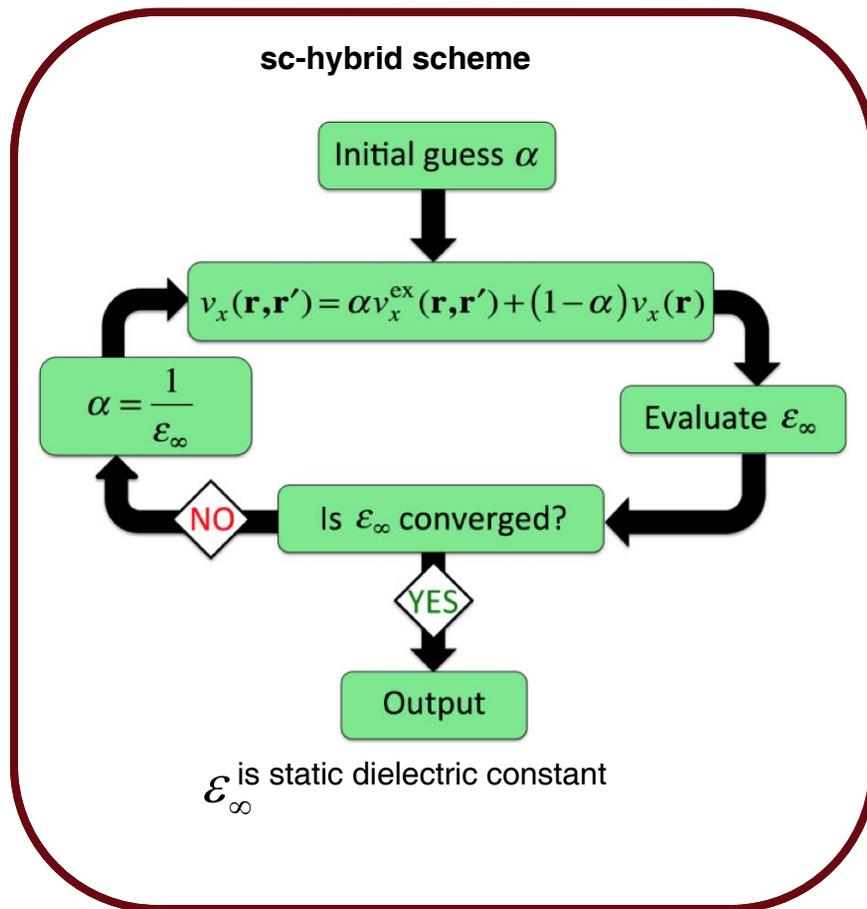
* Nakayama, et al. *App. Phys. Lett.* **93**, 173305 (2008).



Self-consistent determination of ϵ_{∞}^{-1}

$$v_{xc}^{hyb}(\mathbf{r}, \mathbf{r}') = \epsilon_{\infty}^{-1} v_x(\mathbf{r}, \mathbf{r}') + (1 - \epsilon_{\infty}^{-1}) v_x(\mathbf{r}) + v_c(\mathbf{r})$$

- The fraction of Hartree-Fock exchange is equal to the inverse dielectric constant (ϵ_{∞}^{-1}) and is determined self-consistently.



- No empirical input**
- Insensitive to hybrid starting point
- Accurate determination of electronic dielectric constants

Range-Separated DDH functional



Screening parameter (μ) for solids

Valence density based

The Wigner-Seitz radius

$$\frac{4\pi r_s^3}{3} = n^{-1}$$

$$\mu_{WS} = \frac{1}{r_s} = \left(\frac{4\pi n}{3}\right)^{1/3}$$

The Thomas-Fermi screening length:

$$W(r) = \epsilon_\infty^{-1} + (1 - \epsilon_\infty^{-1}) \frac{e^{-rk_{TF}}}{r}$$

where $k_{TF} = 2\left(\frac{3n}{\pi}\right)^{1/6}$

$$\mu_{TF} = \frac{1}{2}k_{TF} = \left(\frac{3n}{\pi}\right)^{1/6}$$

Extract from fit of the dielectric function

$$\mu_{\text{erfc-fit}}: \epsilon^{-1}(\mathbf{G}^2) = \epsilon_\infty + (1 - \epsilon_\infty) \left(1 - e^{-\mathbf{G}^2/4\mu^2}\right)$$

Generalization of dielectric dependent functionals to finite systems

The dielectric constant and the volume of molecules and nanostructures are ill-defined

$$v_{xc}(\mathbf{r}, \mathbf{r}') = \alpha^{SX} \Sigma_X(\mathbf{r}, \mathbf{r}') + (1 - \alpha^{SX}) v_x(\mathbf{r}) + v_c(\mathbf{r})$$

$$\Sigma_X(\mathbf{r}, \mathbf{r}') = - \sum_j^N \phi_j(\mathbf{r}) \phi_j^*(\mathbf{r}') v(\mathbf{r}, \mathbf{r}')$$

$$\Sigma_{SX}(\mathbf{r}, \mathbf{r}') = - \sum_j^N \phi_j(\mathbf{r}) \phi_j^*(\mathbf{r}') W(\mathbf{r}, \mathbf{r}')$$

$$\alpha_i^{SX} = \frac{\langle \phi_i | \Sigma_{SX} | \phi_i \rangle}{\langle \phi_i | \Sigma_X | \phi_i \rangle}$$

$$\alpha^{SX} \rightarrow \epsilon_{\infty}^{-1}$$

Dielectric matrix using spectral decomposition techniques (no virtual states, no direct diagonalization, no inversion necessary)

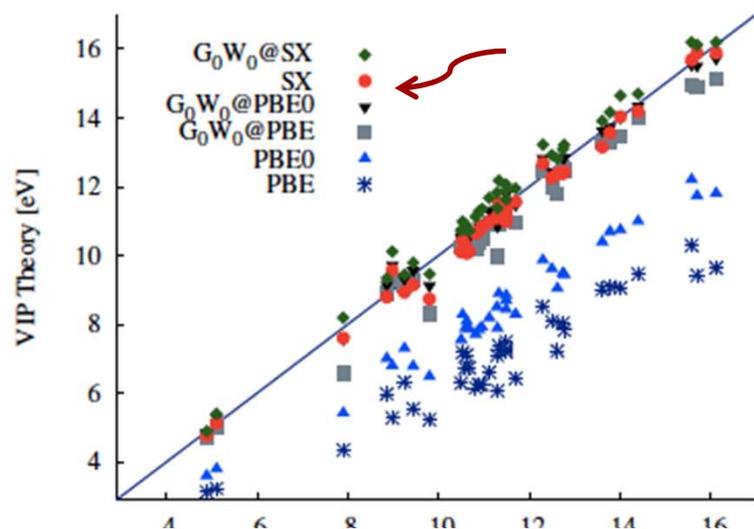


<http://www.west-code.org>

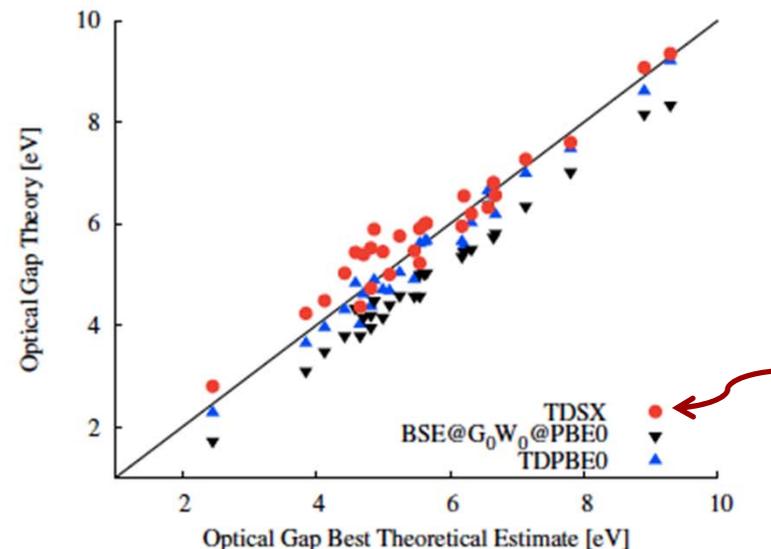
Photoemission and optical data



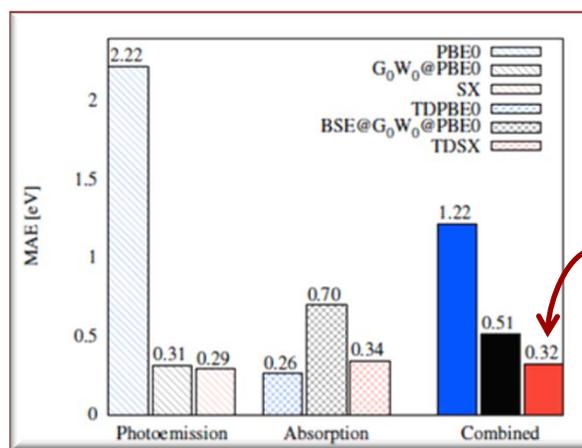
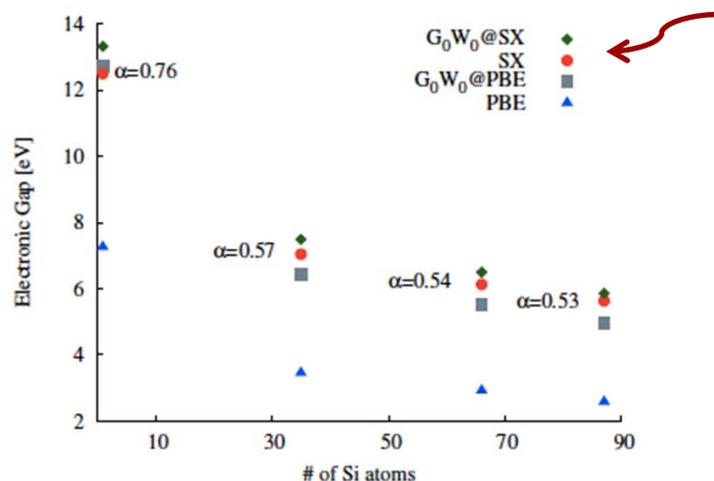
Molecules: Ionization Potentials



Optical gaps



Si Nanoparticles: electronic gaps



Excellent combined performance for photoemission & absorption

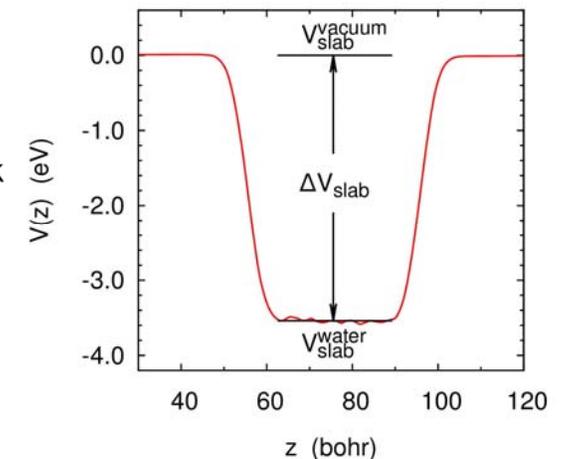
Results are statistically significant

Photoelectron spectra of salts in water

- Trajectories from **ab initio MD with hybrid functionals** (*)
- **GW calculations** of energy levels starting from wfs determined with dielectric hybrid functionals (+)
- **Intensities** computed from $\text{Im}(\Sigma)$

$$\sum_i^{\text{occ.}} f_i(E; E_i, \Gamma_i) \frac{d\sigma_i(h\nu)}{d\Omega}, \quad f_i(E; E_i, \Gamma_i) = \frac{1}{\pi} \frac{\Gamma_i}{(E - E_i)^2 + \Gamma_i^2},$$

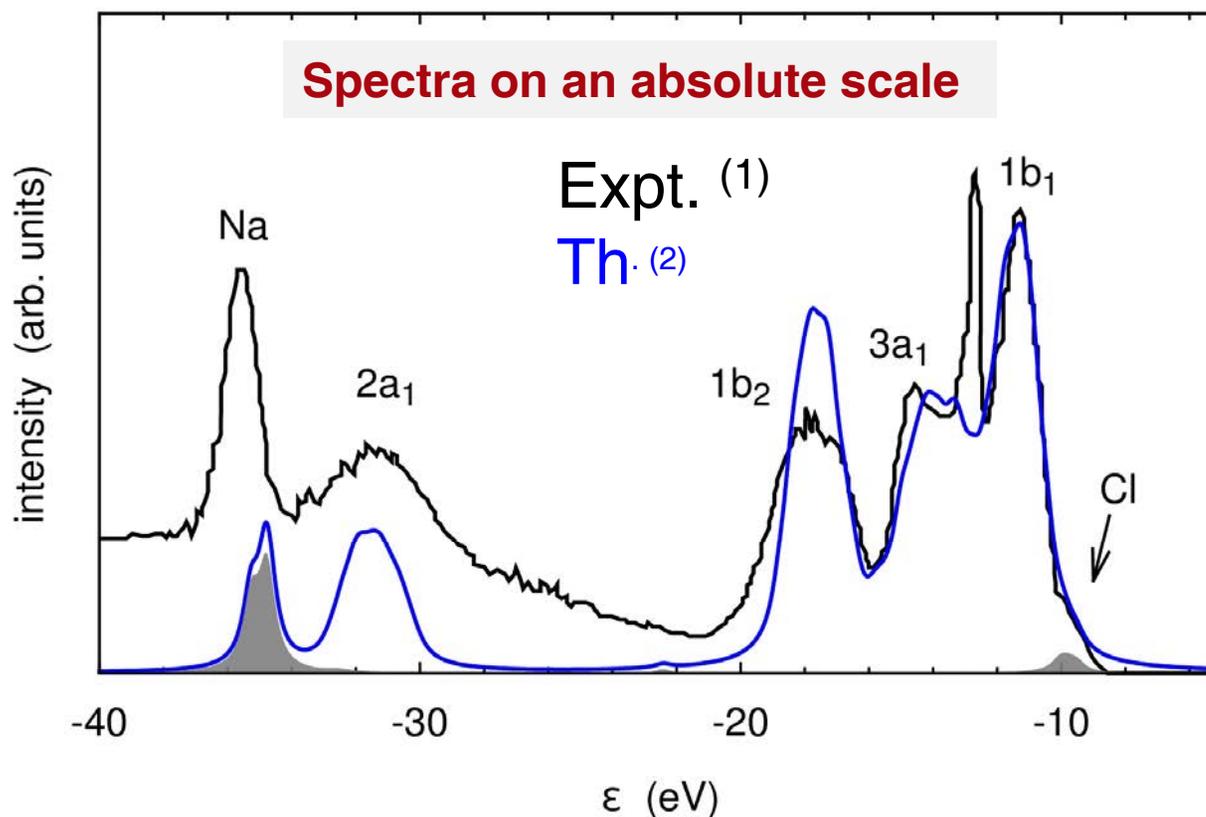
- Band offsets with vacuum used to obtain **spectra** $\longrightarrow \tilde{\epsilon}_i = \epsilon_i - \Delta V_{\text{slab}} - V_{\text{bulk}}$ **on an absolute energy scale** (include calculations of water surface)



(*) F.Gygi, PRL 2009; (+) J.Skone, M.Govoni and GG, PRB 2014 & PRB 2016

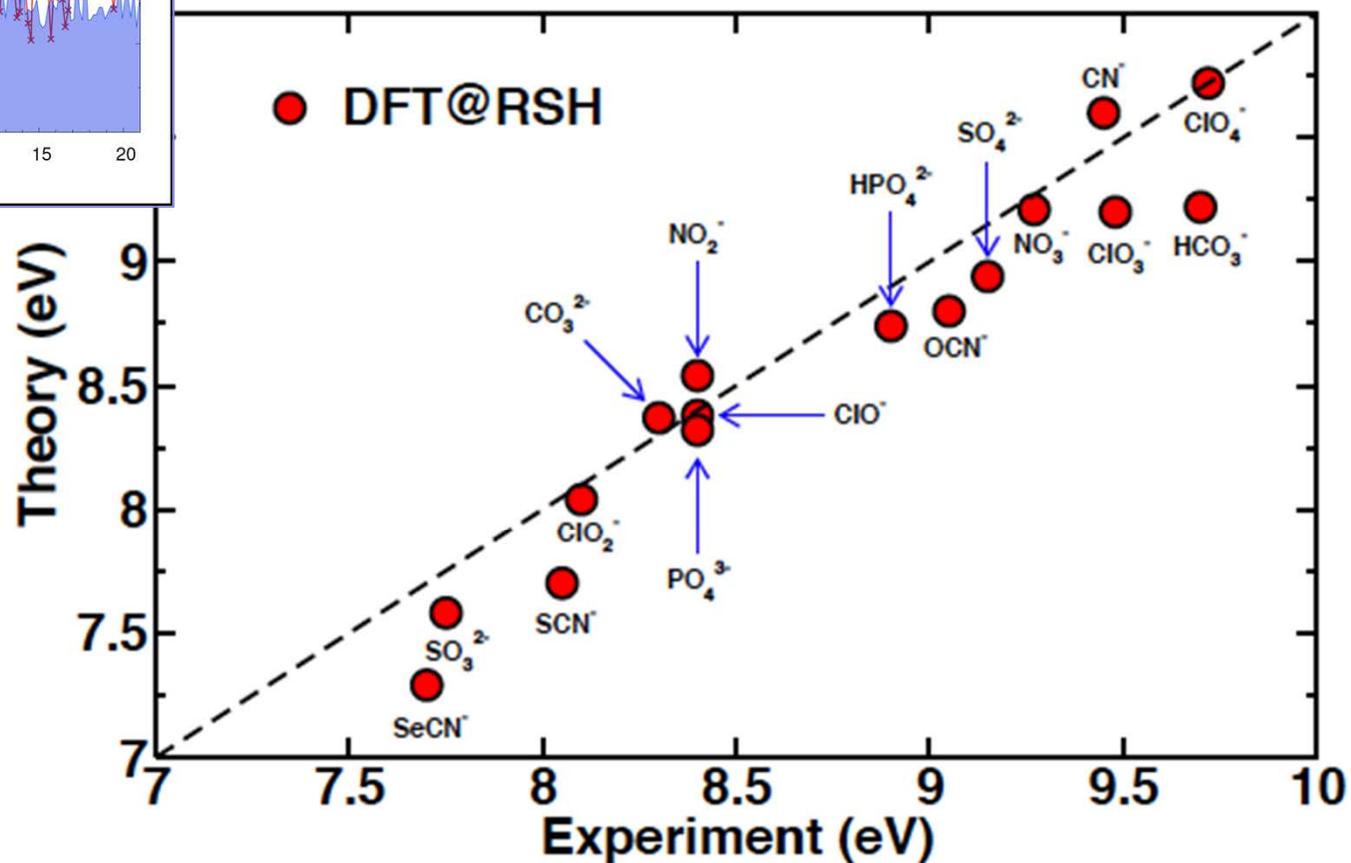
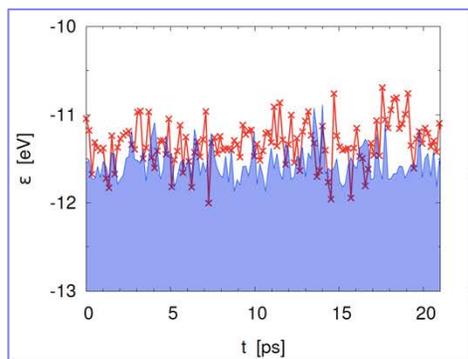
Photoelectron spectra of salts in water

(NaCl)_{aq} 0.9 M solution: G_0W_0 @DDH-hybrid



- (1) R. Seidel, T. Thürmer & B. Winter, *JPCL* **2**, 633 (2011)& B. Winter and R.Siedel 2016
(2) A.Gaiduk, M.Govoni, J.K. Skone, R.Seidel. B.Winter and GG, *JACS Comm.* 2016

Electronic levels of anions in water

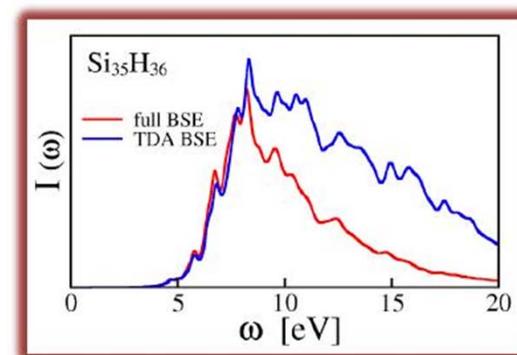


Absorption, multi-excitons, ...



Similar ideas used :

To simulate **photo-absorption**, solving the Bethe-Salpeter eq.

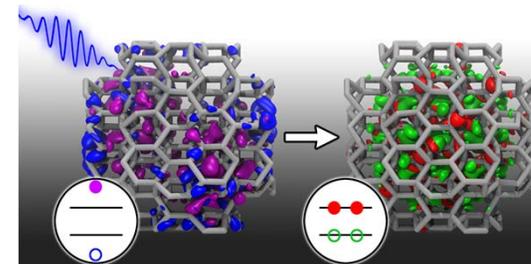


Rocca *et al.*, J. Chem Phys. 133, 164109 (2010)
Ping *et al.*, Chem Soc. Rev. 42, 2437 (2013)

To simulate **carrier recombinations** (electronic/thermal)

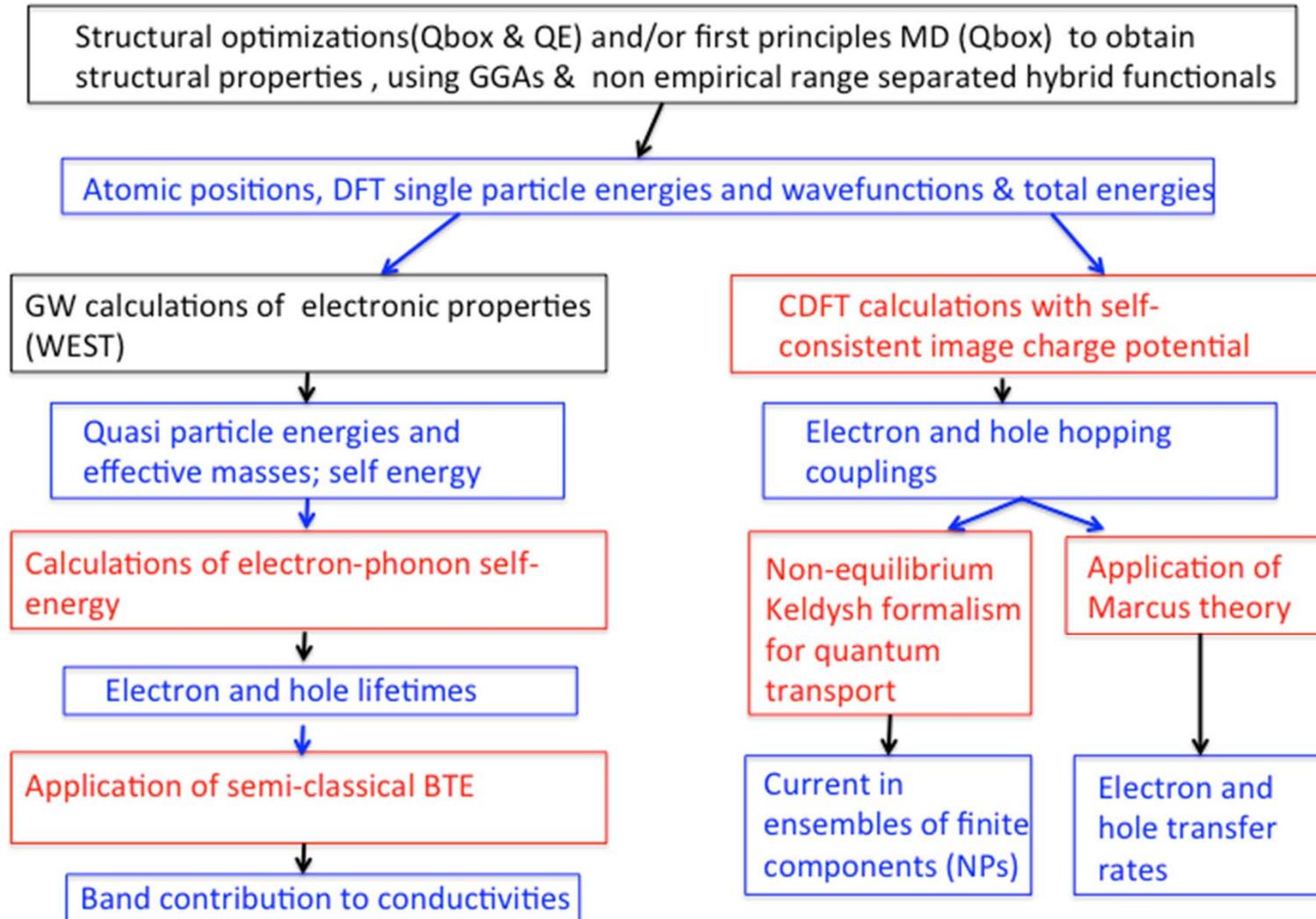
Govoni *et al.*, Nat. Photonics 6, 672-679 (2012)

Wiperman *et al.*, PRL 110, 046804 (2013)



They may also be used in conjunction with **DMFT**

Transport



Transport



Boltzmann Transport Equation (approximate solutions):

$$\sigma_{\alpha\beta} = -2e \sum_n \int_{\Omega} \frac{d^3k}{(2\pi)^3} v_{n\vec{k}\alpha} \left(-e \frac{\partial f_{n\vec{k}}^0}{\partial \epsilon_{n\vec{k}}} v_{n\vec{k}\beta} \tau_{n\vec{k}} \right)$$

With e-e and e-phonon contributions to lifetimes):

$$\sum_{n\vec{k}}^{Fan}(\mathbf{i}\omega, T) = \sum_{n'\vec{q}\lambda} \frac{|g_{n\vec{k}}^{\vec{q}\lambda}|^2}{N_q} \times \left[\frac{N_{\vec{q}\lambda}(T) + 1 - f_{n'\vec{k}-\vec{q}}}{\omega - \epsilon_{n'\vec{k}-\vec{q}} - \omega_{\vec{q}\lambda} - i0^+} + \frac{N_{\vec{q}\lambda}(T) + f_{n'\vec{k}-\vec{q}}}{\omega - \epsilon_{n'\vec{k}-\vec{q}} + \omega_{\vec{q}\lambda} - i0^+} \right].$$

Transfer rates from Marcus theory for hopping transport:

$$k_{et} = \frac{2\pi}{\hbar} |t_{AB}|^2 \frac{1}{\sqrt{4\pi\lambda k_B T}} \exp\left(-\frac{(\lambda + \Delta G)^2}{4\lambda k_B T}\right)$$

Currents from non equilibrium Keldish formalism

$$I_{r,r'} = \frac{4e}{\hbar} \int \frac{dE}{2\pi} t_{r,r'} \text{Re}(G^<(r, r', E)) \quad (5)$$

$$G^<(r, r', E) = \sum_k \frac{t_{r,k} t_{k,r'}}{E - \epsilon_k \pm i\delta}$$

Acknowledgements



MICCoM <http://miccom-center.org>

