

Spin-fluctuation theories of unconventional superconductivity

Andrey Chubukov

University of Wisconsin

Theory Winter School, Tallahassee, January 7 2013

Lecture I, summary

Weak coupling theory of unconventional (non-phonon) SC

Kohn-Luttinger mechanism for $U(q) = U$:

p-wave pairing for isotropic dispersion

d-wave ($d_{x^2-y^2}$) pairing in the cuprates

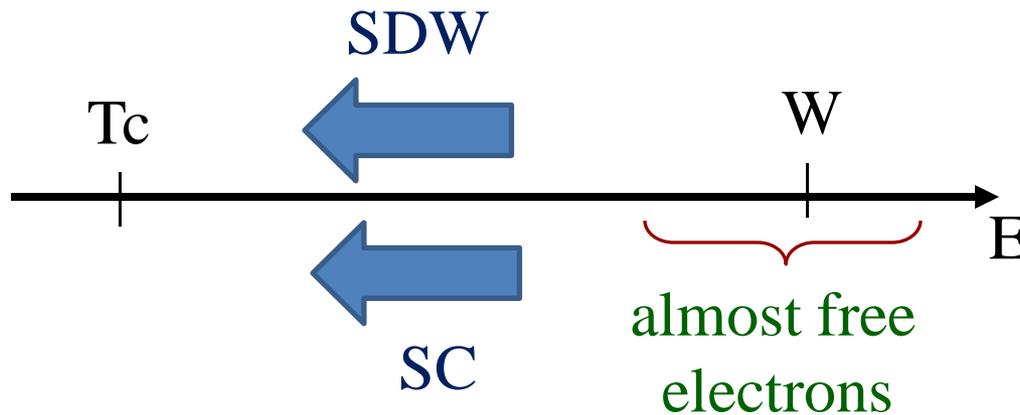
d+id ($d_{x^2-y^2} + d_{xy}$) in doped graphene

s+- in Fe-pnictides

If first-order (bare) interaction $U(q)$ in these channels is repulsive, SC is still possible when fluctuations in the density-wave channel are comparable to SC fluctuations (SC vertex is pushed up due to interaction with SDW)

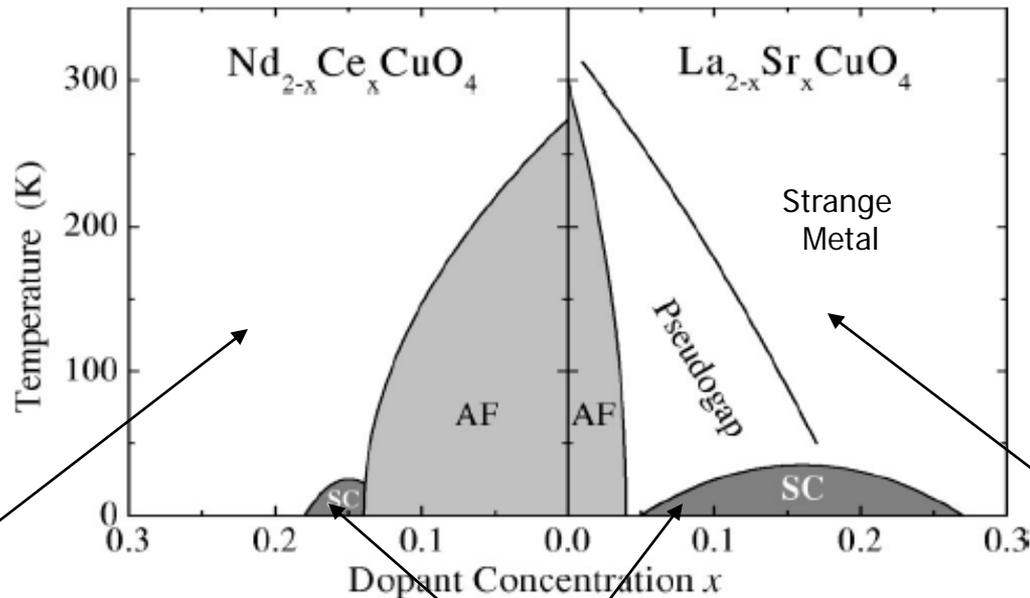
This is truly weak coupling theory: $U/W \ll 1$

$W = \text{bandwidth}$



SDW and SC fluctuations develop simultaneously at smaller energies, comparable to T_c (when $U \log W/T_c \sim 1$)

Cuprates: magnetism emerges at a larger scale than superconductivity



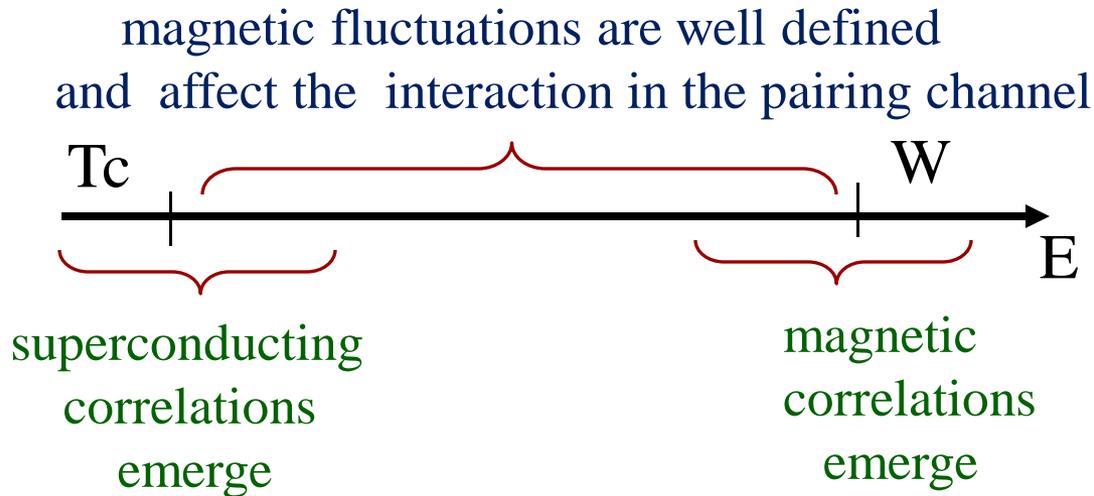
electron-doped

superconductor

hole-doped

Magnetic $J \sim 100$ meV (2 magnon Raman peak at 300 meV),
superconducting $\Delta \sim 5-30$ meV

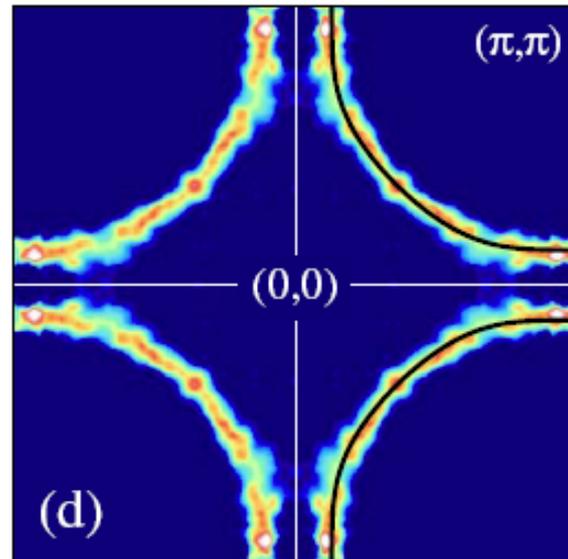
Another scenario: assume that magnetism emerges already at scales comparable to the bandwidth, W



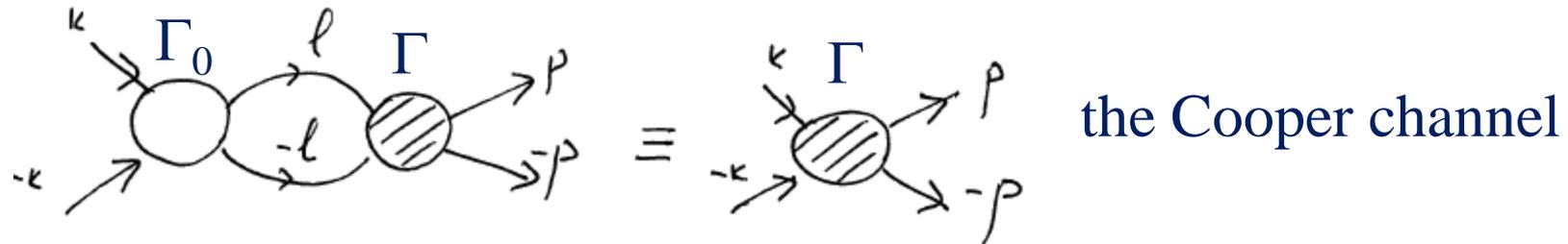
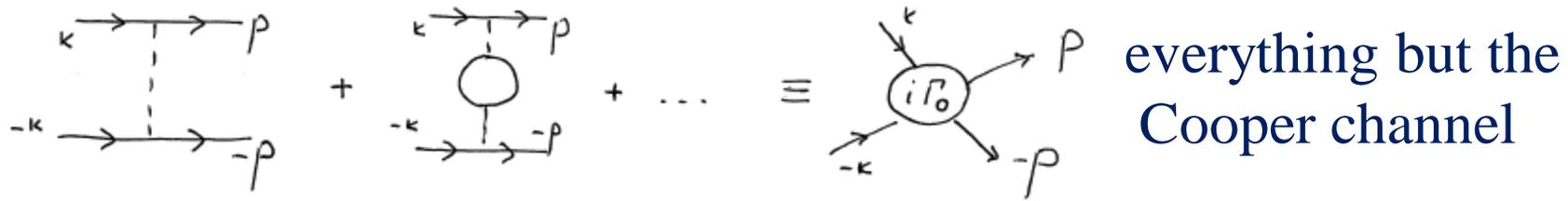
In this situation, one can introduce and explore the concept of spin-fluctuation-mediated pairing: effective interaction between fermions is mediated by already well formed spin fluctuations

This is not a controlled theory: $U/W \sim 1$
(intermediate coupling)

The key assumption is that at $U/W \sim 1$ Mott physics
does not yet develop, and the system
remains a metal with a large Fermi surface

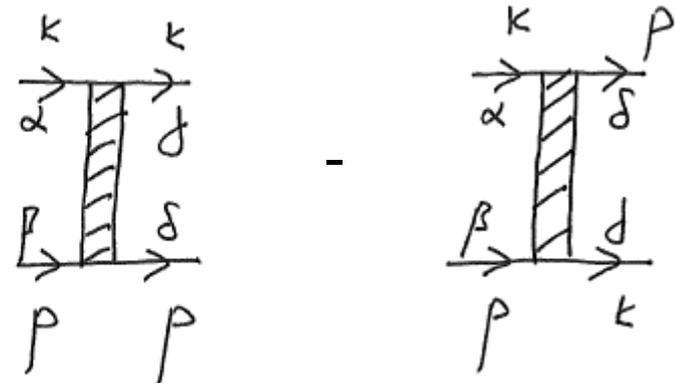


Problem I: how to re-write pairing interaction as the exchange of spin collective degrees of freedom?



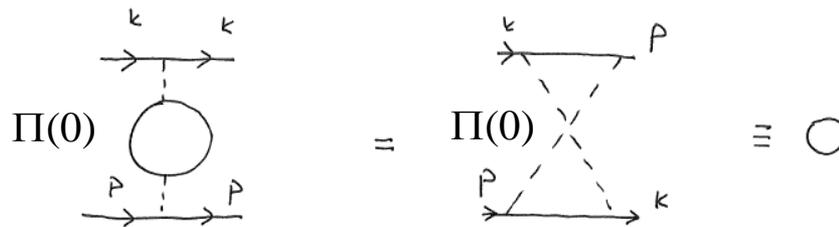
No well-defined perturbation theory for Γ_0 when $U \sim W$

Use the same strategy as for the derivation of Landau function for a Fermi liquid for a special case when $\Pi(q)$ is peaked at $q=0$

$$\Gamma_{\alpha\beta, \gamma\delta}^{\Omega}(p, k) =$$


Suppose that p and k are close, and $\Pi(k-p)$ is peaked at $k=p$

$\Pi(0)$ is the largest, but for Γ^{Ω}



$$\Pi(q=0, \Omega) = \int \mathcal{G}_{\vec{e}, \omega} \mathcal{G}_{\vec{e}, \omega+\Omega} = 0$$

Collect subleading terms with $\Pi(k-p)$

$$\Gamma_{\alpha\beta, \gamma\delta}^{\Omega}(p, k) =$$

The diagrammatic expansion consists of the following terms:

- Tree-level exchange with two incoming k legs and two outgoing k legs.
- Tree-level exchange with one incoming k leg and one incoming p leg, and two outgoing k legs.
- Tree-level exchange with one incoming k leg and one incoming k leg, and two outgoing k legs (crossed).
- Tree-level exchange with one incoming k leg and one incoming p leg, and two outgoing k legs, with a fermion loop on the top line.
- Tree-level exchange with one incoming k leg and one incoming p leg, and two outgoing k legs, with a ghost loop on the top line.
- Tree-level exchange with one incoming k leg and one incoming k leg, and two outgoing k legs (crossed).
- Tree-level exchange with one incoming k leg and one incoming p leg, and two outgoing k legs, with two fermion loops on the top line.
- Tree-level exchange with one incoming k leg and one incoming p leg, and two outgoing k legs, with a fermion loop and a ghost loop on the top line.
- Tree-level exchange with one incoming k leg and one incoming p leg, and two outgoing k legs, with a fermion loop and a ghost loop on the top line (crossed).

The expansion concludes with $+ \dots$

care has to be taken about summation of spin indices

The series can be summed up, and the result is

$$\Gamma_{\alpha\beta, \gamma\delta}^{\Omega} = - \delta_{\alpha\gamma} \delta_{\beta\delta} \frac{U}{1 - U \Pi(k-p)} + \delta_{\alpha\delta} \delta_{\beta\gamma} \frac{U}{1 - U^2 \Pi^2(k-p)}$$

$$= \frac{U}{2} \frac{\delta_{\alpha\delta} \delta_{\beta\gamma}}{1 + U \Pi(k-p)} - \frac{U}{2} \frac{\vec{\delta}_{\alpha\delta} \vec{\delta}_{\beta\gamma}}{1 - U \Pi(k-p)}$$

For repulsive interaction U , the dominant term is in the spin channel

$$(\Gamma_{\alpha\beta, \gamma\delta}^{\Omega})_{\text{spin}} = - \frac{U}{2} \frac{\vec{\delta}_{\alpha\delta} \vec{\delta}_{\beta\gamma}}{1 - U \Pi(k-p)}$$

$$(\Gamma_{\alpha\beta, \gamma\delta}^{\mathcal{R}})_{\text{spic}} = -\frac{U}{2} \frac{\vec{\sigma}_{\alpha\delta} \vec{\sigma}_{\beta\gamma}}{1 - U \Pi(\mathbf{k}-\mathbf{p})}$$

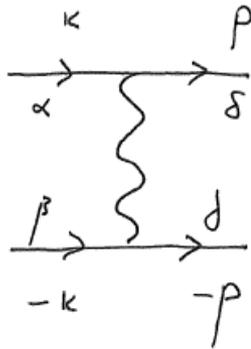
$$1 - U \Pi(\mathbf{k}-\mathbf{p}) = \xi^{-2} + (\mathbf{k}-\mathbf{p})^2 \quad (\text{in units of interatomic spacing})$$

$$(\Gamma_{\alpha\beta, \gamma\delta}^{\mathcal{R}})_{\text{spic}} = -\frac{U}{2} \frac{\vec{\sigma}_{\alpha\delta} \vec{\sigma}_{\beta\gamma}}{\xi^{-2} + (\mathbf{k}-\mathbf{p})^2}$$

$$= -U/2 \chi(\mathbf{k}-\mathbf{p}) \vec{\sigma}_{\alpha\delta} \vec{\sigma}_{\beta\gamma}$$

The same logics is applied, without proof,
to the derivation of effective pairing interaction

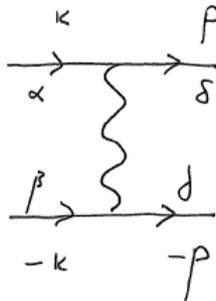
Near a ferromagnetic instability



A Feynman diagram showing two fermion lines. The top line has an incoming arrow labeled α with momentum \vec{k} and an outgoing arrow labeled δ with momentum \vec{p} . The bottom line has an incoming arrow labeled β with momentum $-\vec{k}$ and an outgoing arrow labeled γ with momentum $-\vec{p}$. A wavy line connects the two lines, representing a spin fluctuation.

$$\Gamma_0 = -g \frac{\vec{\sigma}_{\alpha\delta} \cdot \vec{\sigma}_{\beta\gamma}}{\xi^{-2} + |\vec{k} - \vec{p}|^2} \quad g \sim U$$

Near an antiferromagnetic instability



A Feynman diagram similar to the one above, but with an additional momentum \vec{Q} associated with the wavy line. The top line has an incoming arrow labeled α with momentum \vec{k} and an outgoing arrow labeled δ with momentum \vec{p} . The bottom line has an incoming arrow labeled β with momentum $-\vec{k}$ and an outgoing arrow labeled γ with momentum $-\vec{p}$. A wavy line connects the two lines, representing a spin fluctuation.

$$\Gamma_0 = -g \frac{\vec{\sigma}_{\alpha\delta} \cdot \vec{\sigma}_{\beta\gamma}}{\xi^{-2} + |\vec{k} - \vec{p} - \vec{Q}|^2} \quad g \sim U$$

The outcome of this analysis is the effective Hamiltonian for instantaneous fermion-fermion interaction in the spin channel

Near a ferromagnetic instability

$$\mathbf{H}^{\text{eff}} = -g (\mathbf{c}_\alpha^+ \vec{\sigma}_{\alpha\delta} \mathbf{c}_\delta) (\mathbf{c}_\gamma^+ \vec{\sigma}_{\gamma\beta} \mathbf{c}_\beta) \chi(\mathbf{q})$$
$$\chi(\mathbf{q}) = \frac{1}{\mathbf{q}^2 + \xi^{-2}}$$

Near an antiferromagnetic instability

$$\mathbf{H}^{\text{eff}} = -g (\mathbf{c}_\alpha^+ \vec{\sigma}_{\alpha\delta} \mathbf{c}_\delta) (\mathbf{c}_\gamma^+ \vec{\sigma}_{\gamma\beta} \mathbf{c}_\beta) \chi(\mathbf{q} + \mathbf{Q})$$
$$\chi(\mathbf{q} + \mathbf{Q}) = \frac{1}{\mathbf{q}^2 + \xi^{-2}}$$

THIS IS THE SPIN-FERMION MODEL

It can also be introduced phenomenologically, as a minimalistic low-energy model for the interaction between fermions and collective modes of fermions in the spin channel

Check consistency with Kohn-Luttinger physics

Antiferromagnetism for definiteness

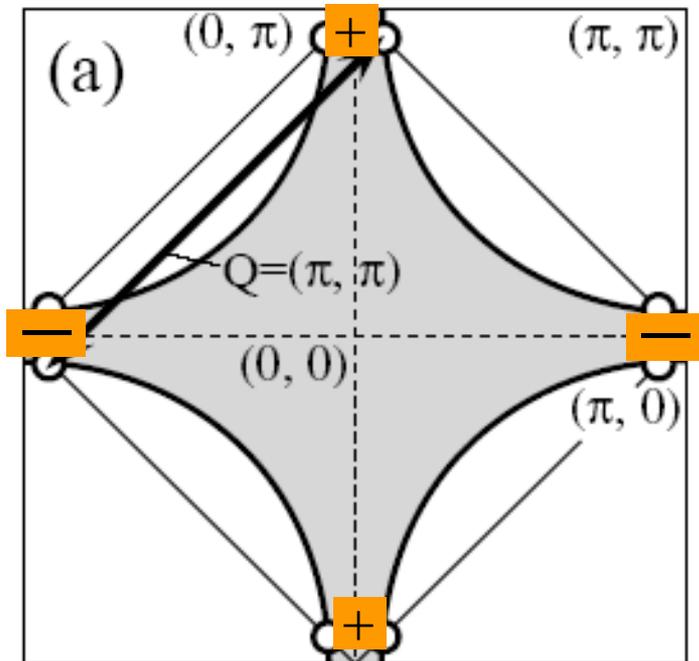
$$H^{\text{eff}} = -g (c_{\alpha}^{+} \vec{\sigma}_{\alpha\delta} c_{\delta}) (c_{\gamma}^{+} \vec{\sigma}_{\gamma\beta} c_{\beta}) \chi(q+Q)$$
$$\chi(q+Q) = \frac{1}{q^2 + \xi^{-2}}$$

Effective interaction is repulsive, but
is peaked at large momentum transfer

Check consistency with Kohn-Luttinger physics

Eqn. for a
sc gap

$$\Delta(\mathbf{k}) = - \int d\mathbf{q} \frac{\Delta(\mathbf{q})}{\sqrt{\Delta^2(\mathbf{q}) + E^2(\mathbf{q})}} \chi(\mathbf{k} - \mathbf{q})$$



$d_{x^2-y^2}$ superconductivity

KL analysis assumes weak coupling
(static interaction, almost free fermions)

To properly solve for the pairing we need to
know how fermions behave in the normal state

- Energy scales:
- coupling g
 - $v_F \xi^{-1}$
 - bandwidth W

Let's just assume for the next 30 min that $g \ll W$. Then high-energy and low-energy physics are decoupled, and we obtain a model with one energy scale g and one dimensional ratio $g/v_F \xi^{-1}$

$\lambda = \frac{g}{v_F \xi^{-1}}$ is the relevant parameter of the problem

$\lambda \ll 1$ truly weak coupling, KL pairing in a Fermi gas

$\lambda \gg 1$, the system is still a metal, but with strong correlations

Problem II: how to construct normal state theory for $\lambda \gg 1$

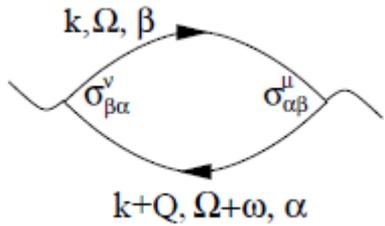
- fermions get dressed by the interaction with spin fluctuations
- spin fluctuations get dressed by the interaction with low-energy fermions

Bosonic and fermionic self-energies have to be computed self-consistently (c.f. Subir's talk)

Fermionic self-energy: mass renormalization & lifetime
Bosonic self-energy: Landau damping

At one loop level:

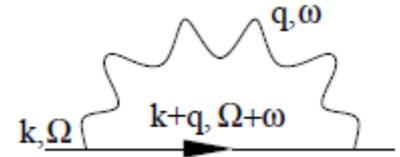
bosons (spin fluctuations) become Landau overdamped



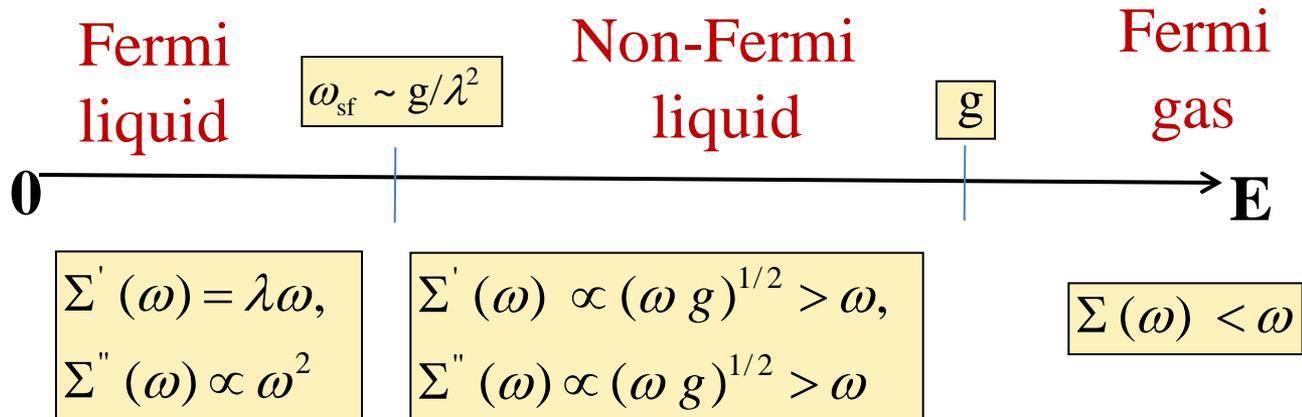
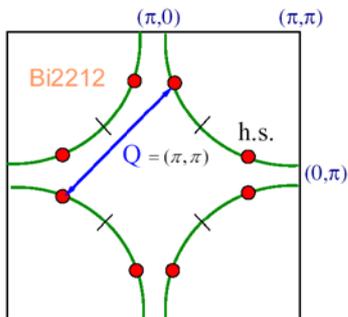
$$\chi(q, \omega) = \frac{1}{q^2 + \xi^{-2} - i \omega / \omega_{sf}}$$

$$\omega_{sf} \propto g / \lambda^2 \left(= \frac{9}{64 \pi} \frac{g}{\lambda^2} \right)$$

fermions acquire frequency dependent self-energy $\Sigma(\omega)$



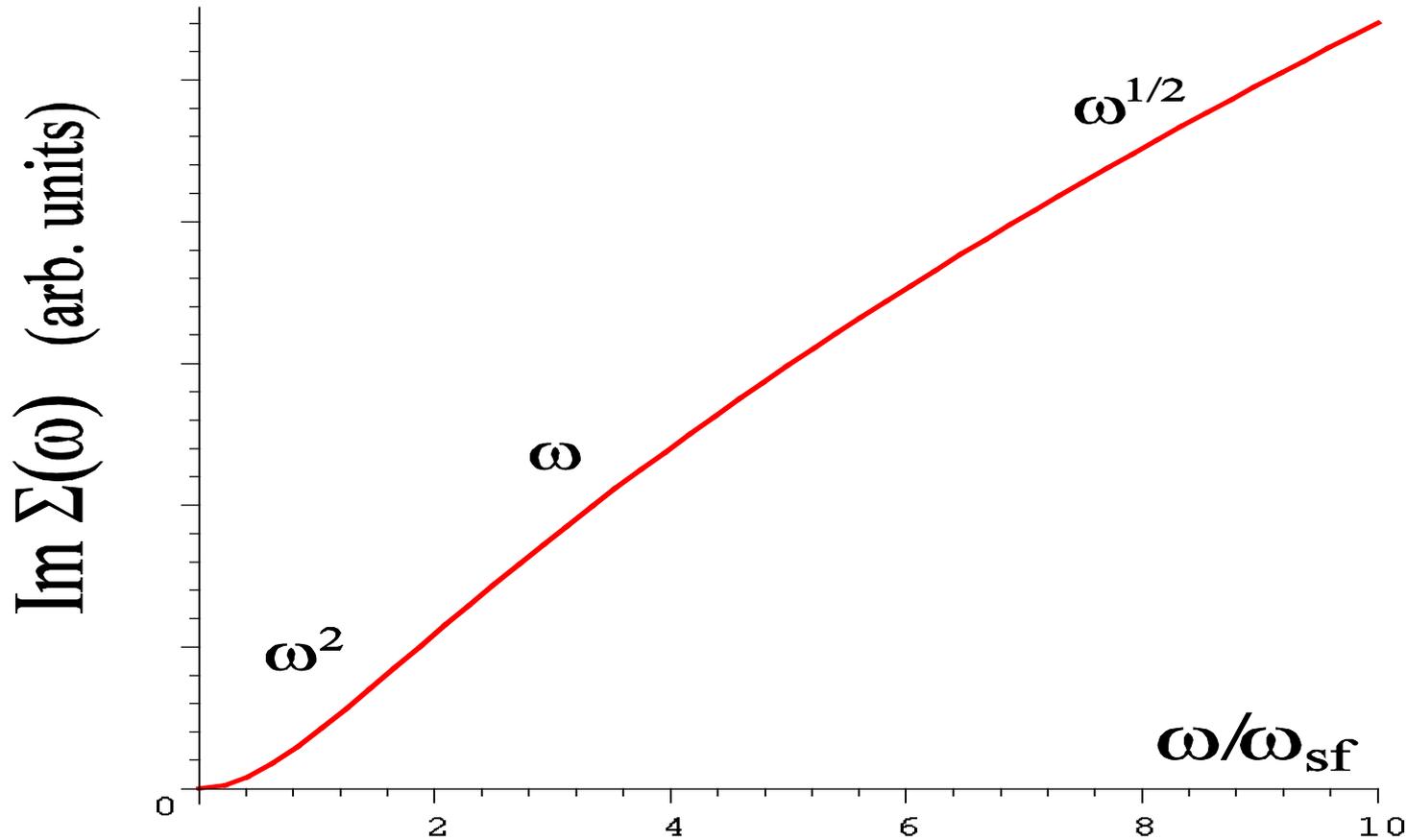
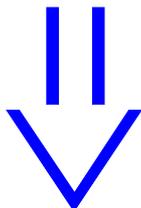
Hot spots

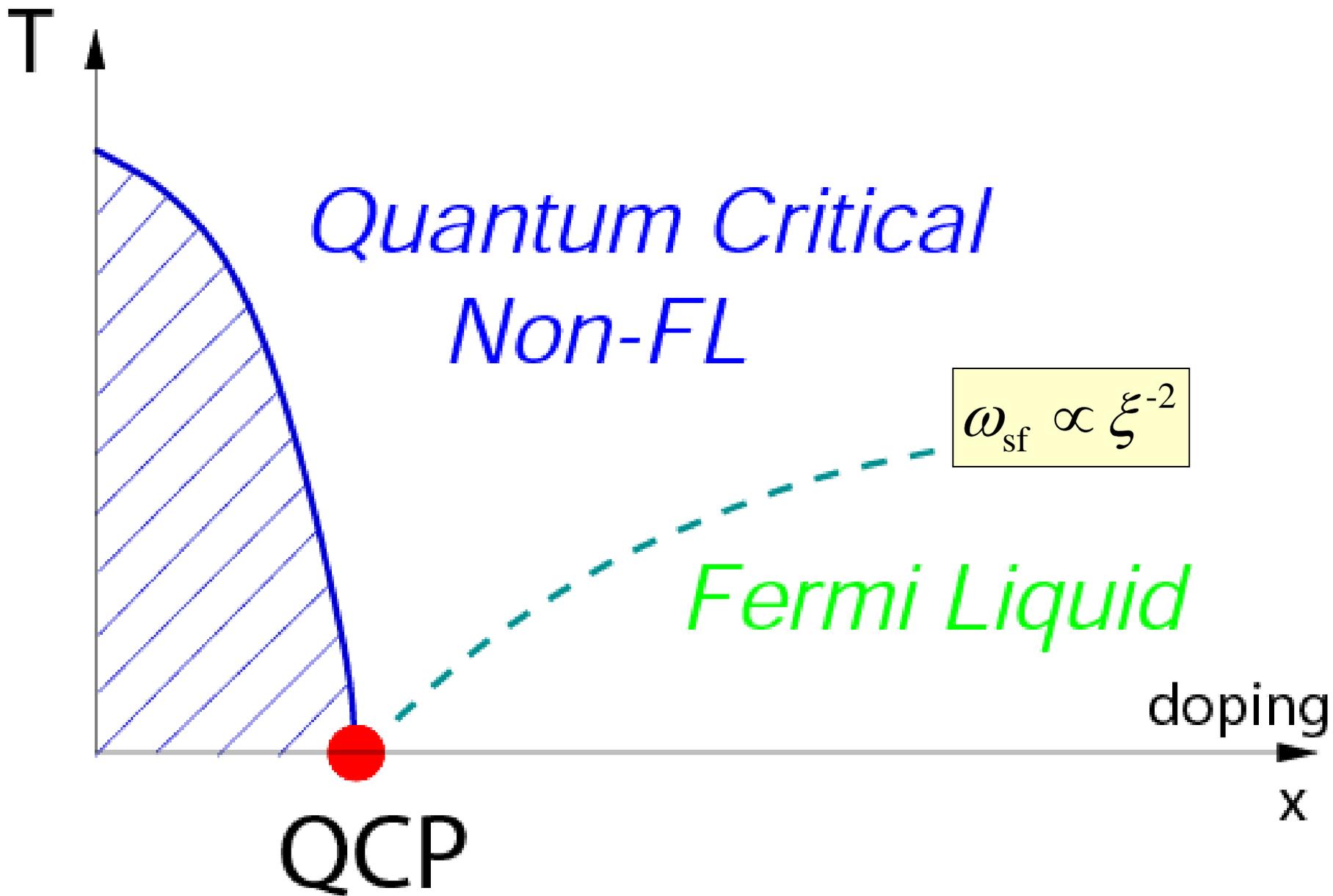


At $\xi^{-1} = 0$, Fermi liquid region disappears at a hot spot

Fermi Liquid

*Quantum Critical
Non-Fermi Liquid*





*Quantum Critical
Non-FL*

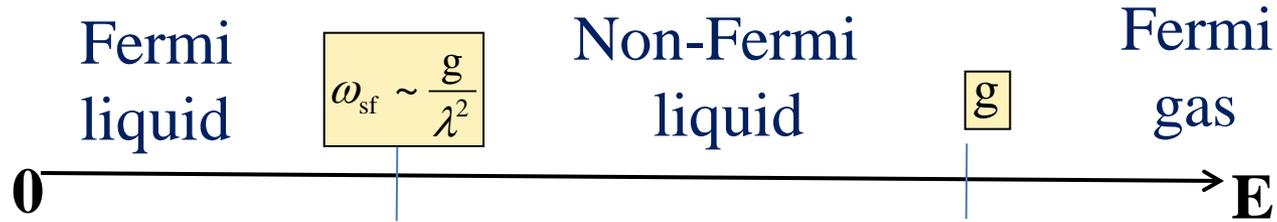
$$\omega_{sf} \propto \xi^{-2}$$

Fermi Liquid

QCP

doping
x

Problem III: pairing at $\lambda \gg 1$



Pairing in the Fermi liquid regime is KL physics

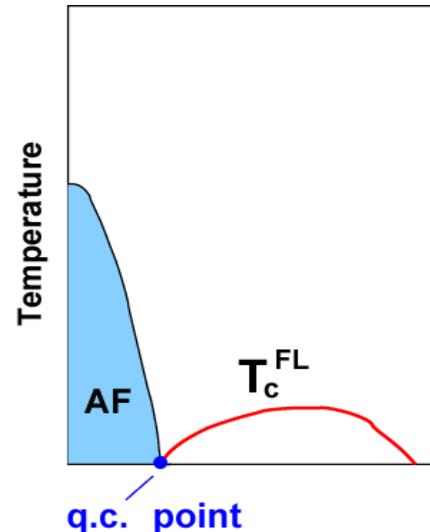
McMillan formula for phonons by analogy

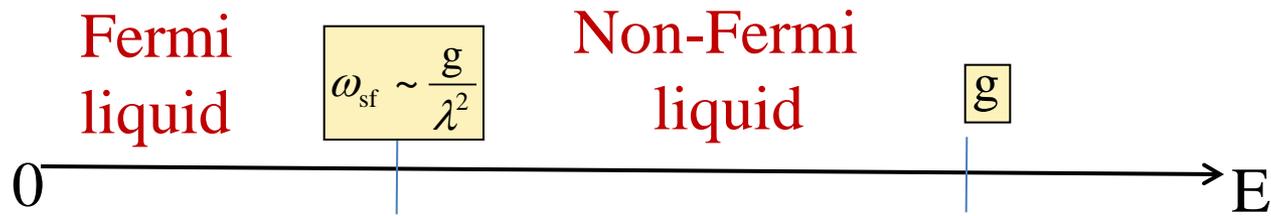
$$T_c \sim \omega_D \exp[-(1 + \lambda)/\lambda]$$



$$T_c \propto \xi^{-2} \exp\left(-\frac{\xi + \xi_0}{\xi}\right)$$

If only Fermi liquid region would contribute to d-wave pairing, T_c would be zero at a QCP





Pairing in non-Fermi liquid regime is a new phenomenon

Pairing vertex Φ becomes frequency dependent $\Phi(\Omega)$

Gap equation has non-BCS form

$$\Phi(\Omega) = \frac{\pi}{2} T \sum_{\omega > \omega_{sf}} \frac{\Phi(\omega)}{|\omega|^{1/2} |\Omega - \omega|^{1/2}} \frac{1}{1 + (|\omega|/g)^{1/2}}$$

$$\Sigma(\omega) \propto \omega^{1/2}$$

$$\int dq \chi(q, \omega) \propto \omega^{-1/2}$$

$$1 + \omega/\Sigma(\omega), \text{ soft cutoff}$$

For comparison,
in a Fermi liquid

$$\Phi(\Omega) = \frac{\lambda}{1 + \lambda} \pi T \sum_{\omega} \frac{\Phi(\omega)}{|\omega|} \frac{1}{(1 + |\omega|/\omega_{sf})^{1/2}}$$

Compare BCS and QC pairings

Quantum-critical pairing

$$\Phi(\Omega) = \frac{\pi}{2} T \sum_{\omega > \omega_{\text{sf}}} \frac{\Phi(\omega)}{|\omega|^{1/2} |\Omega - \omega|^{1/2}} \frac{1}{1 + (|\omega|/g)^{1/2}}$$

BCS pairing

$$\Phi(\Omega) = \frac{\lambda}{1 + \lambda} \pi T \sum_{\omega} \frac{\Phi(\omega)}{|\omega|} \frac{1}{(1 + |\omega|/\omega_{\text{sf}})^{1/2}}$$

- pairing problem in the QC case is universal (no overall coupling)
- pairing kernel is $|\omega|^{-1}$, like in BCS theory, only
a half of $|\omega|$ comes from self-energy, another from interaction

Is the quantum-critical problem like BCS?

Let's check:

Pairing kernel $|\omega|^{-1}$  logarithms!

BCS:

$$\Phi(\Omega) = \bar{\lambda} T \sum_{\omega}^{\omega_{sf}} \frac{\Phi(\omega)}{|\omega|} + \Phi_0, \quad \bar{\lambda} = \frac{\lambda}{1 + \lambda}$$

sum up logarithms

$$\Phi = \Phi_0 \left(1 + \bar{\lambda} \log \frac{\omega_{sf}}{T} + \bar{\lambda}^2 \log^2 + \dots \right) = \frac{\Phi_0}{\bar{\lambda} \log \frac{T}{T_c}}$$

pairing instability
at any coupling

QC case:

$$\Phi(\Omega) = \frac{\pi T}{2} \sum_{\omega}^g \frac{\Phi(\omega)}{|\omega|^{1/2} |\Omega - \omega|^{1/2}} + \Phi_0$$

sum up logarithms

$$\Phi(\Omega = 0, T) = \Phi_0 \left(1 + \frac{1}{2} \log \frac{g}{T} + \frac{1}{2} \left(\frac{1}{2} \log \frac{g}{T} \right)^2 + \dots \right) = \Phi_0 \left(\frac{g}{T} \right)^{1/2}$$

no divergence
at a finite T

Let's look a bit more carefully

$$\Phi(\Omega) = \varepsilon \frac{\pi}{2} T \sum \frac{\Phi(\omega)}{|\omega|^{1/2} |\Omega - \omega|^{1/2}} \frac{1}{1 + (|\omega|/g)^{1/2}} + \Phi_0$$

At small ε perturbation theory should be valid

$$\Phi(\Omega=0, T) = \Phi_0 \left(1 + \frac{\varepsilon}{2} \log \frac{g}{T} + \frac{\varepsilon^2}{2} \left(\frac{1}{2} \log \frac{g}{T} \right)^2 + \dots \right) = \Phi_0 \left(\frac{g}{T} \right)^{\varepsilon/2}$$

Focus on the regime $T < \Omega < g$, from which we get logarithms

$$\Phi(\Omega) = \frac{\varepsilon}{4} \int \frac{\Phi(\omega)}{|\omega|^{1/2}} \left(\frac{1}{|\Omega - \omega|^{1/2}} + \frac{1}{|\Omega + \omega|^{1/2}} \right)$$

Solve in this regime, and then see whether we can satisfy boundary conditions at $\Omega = T$ and at $\Omega = g$

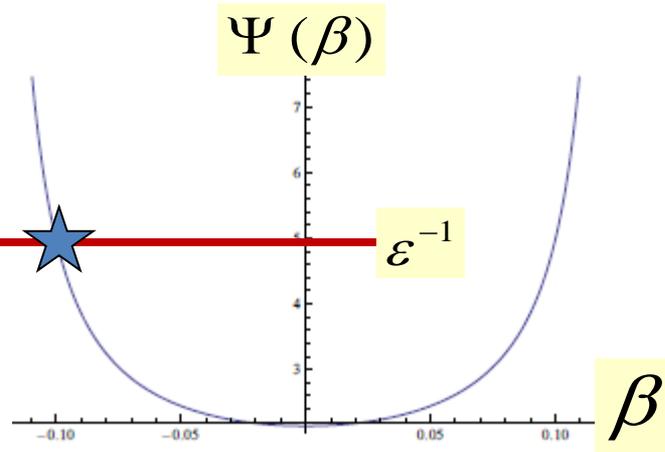
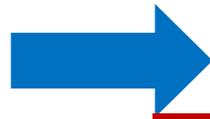
$$\Phi(\Omega) = \frac{\varepsilon}{4} \int \frac{\Phi(\omega)}{|\omega|^{1/2}} \left(\frac{1}{|\Omega - \omega|^{1/2}} + \frac{1}{|\Omega + \omega|^{1/2}} \right)$$

Solution is a power-law

$$\Phi(\Omega) = \Omega^{-(1/4-2\beta)}$$

Substitute, we get

$$\varepsilon^{-1} = \Psi(\beta)$$

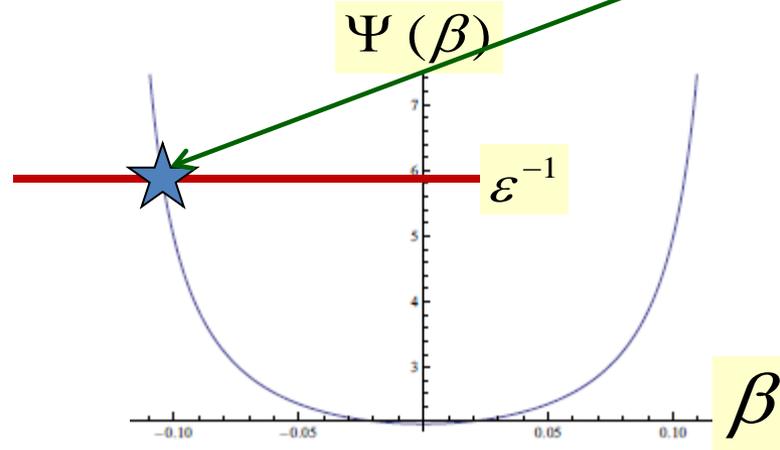


At small ε we do indeed reproduce perturbation theory

$$\Phi(\Omega, T=0) = \Phi_0 \left(1 + \frac{\varepsilon}{2} \log \frac{g}{\Omega} + \frac{\varepsilon^2}{2} \left(\frac{1}{2} \log \frac{g}{\Omega} \right)^2 + \dots \right) = \Phi_0 \left(\frac{g}{\Omega} \right)^{\varepsilon/2}$$

$$\Phi(\Omega) = \Omega^{-(1/4-2\beta)}$$

$$1/4 - 2\beta = \varepsilon/2$$



Now recall that we need to satisfy boundary conditions:
an upper one at g and a lower one at T

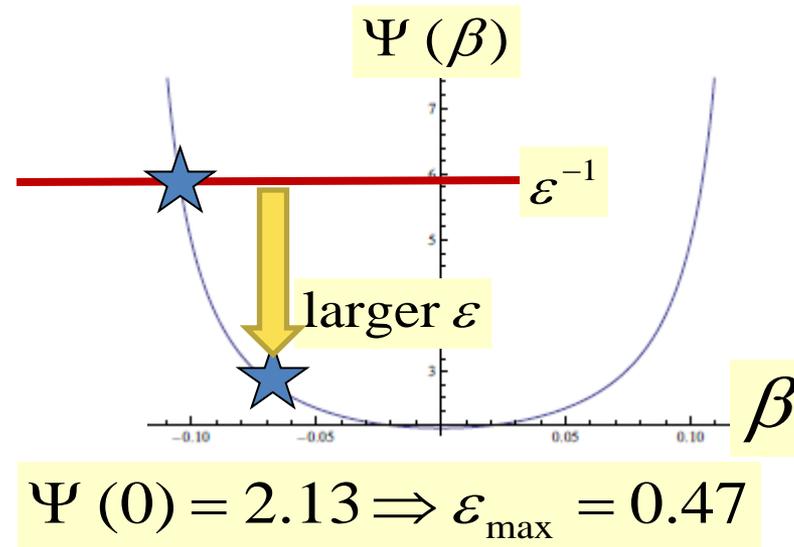
$$\Phi(\Omega) = \frac{\varepsilon}{4} \int_T^g \frac{\Phi(\omega)}{|\omega|^{1/2}} \left(\frac{1}{|\Omega - \omega|^{1/2}} + \frac{1}{|\Omega + \omega|^{1/2}} \right)$$

With $\Phi(\Omega) = \Omega^{-(1/4-2\beta)}$ one can satisfy one boundary condition by varying T , but not both

No QC superconductivity?

No QC superconductivity?

Not so fast....



Perturbation theory does not work for $\varepsilon > \varepsilon_{\max}$,
and, in particular, it does not work for $\varepsilon = 1$

Set $\varepsilon=1$ $\Phi(\Omega) = \frac{1}{4} \int \frac{\Phi(\omega)}{|\omega|^{1/2}} \left(\frac{1}{|\Omega - \omega|^{1/2}} + \frac{1}{|\Omega + \omega|^{1/2}} \right)$

Still search for a power-law solution $\Phi(\Omega) = \Omega^{-(1/4-2\beta)}$

But now take β to be imaginary, $i\beta$ $1 = \Psi(i\beta)$

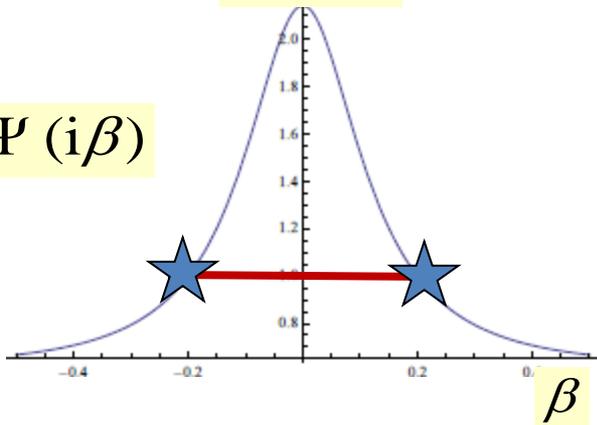
$\Psi(i\beta)$

$$\Phi(\Omega) = C \left(\frac{1}{\Omega} \right)^{1/4} \cos(2\beta \log(\Omega) + \phi_0)$$

$1 = \Psi(i\beta)$

A free parameter: phase!

Now back to boundary conditions



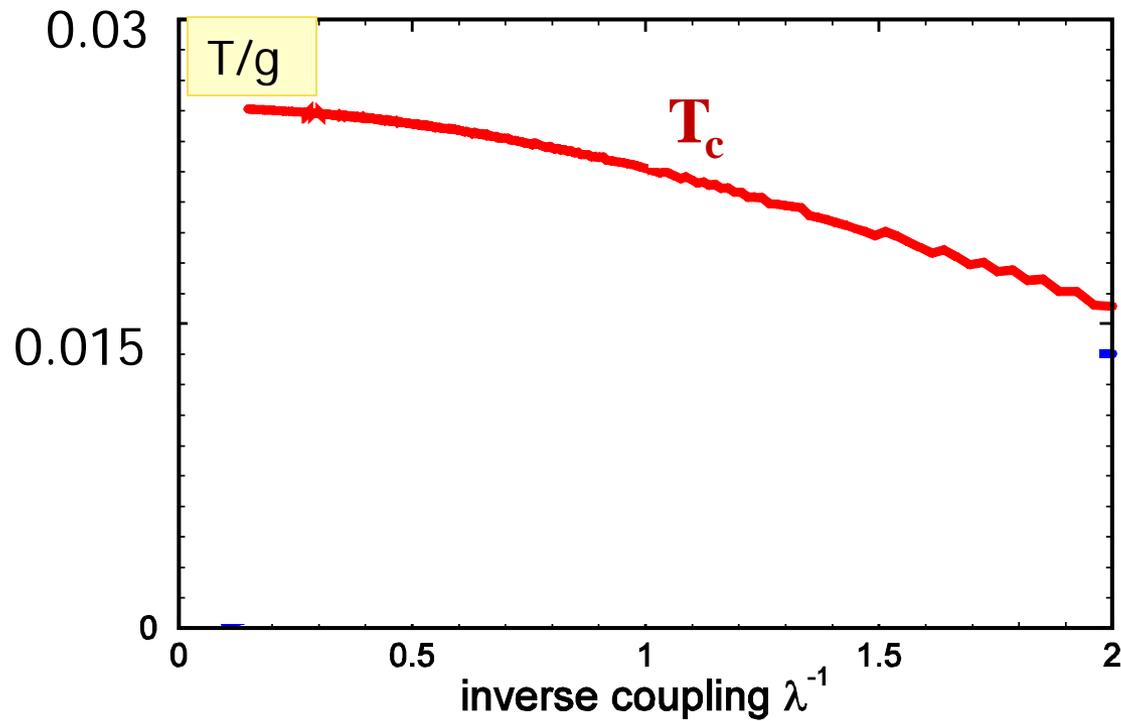
$$\Phi(\Omega) = \frac{1}{4} \int_{\text{T}}^{\text{g}} \frac{\Phi(\omega)}{|\omega|^{1/2}} \left(\frac{1}{|\Omega - \omega|^{1/2}} + \frac{1}{|\Omega + \omega|^{1/2}} \right)$$

Two solutions!

One boundary: fix the phase
another: set $T=T_c$

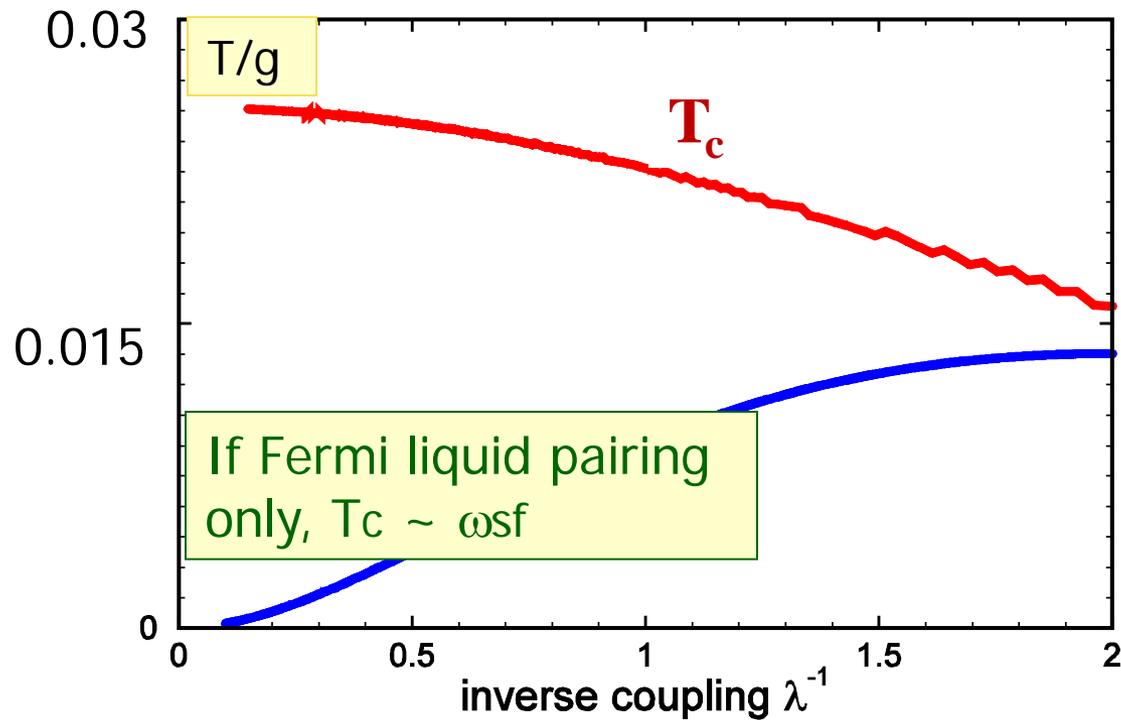
Now we get T_c at which the linearized gap equation has a solution!

The result: a finite T_c right at the quantum-critical point



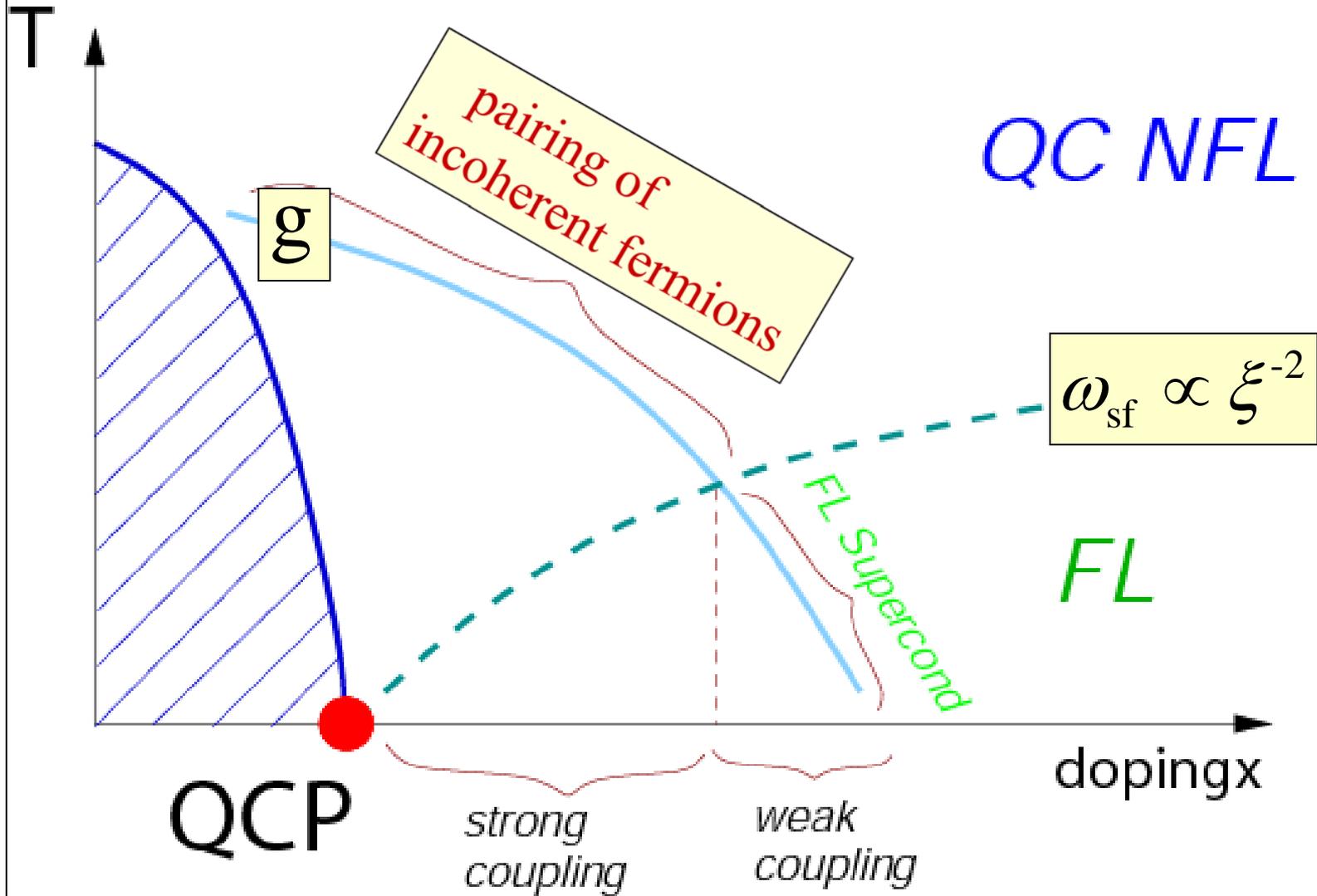
$$T_c = 0.025 g \text{ at QCP}$$

The result: a finite T_c right at the quantum-critical point



$$T_c = 0.025 g \text{ at QCP}$$

Dome of a pairing instability above QCP



This problem is quite generic and goes beyond the cuprates

$$\Phi(\Omega) = \frac{1-\gamma}{2} \int_0^g d\omega \frac{\Phi(\omega)}{|\omega|^{1-\gamma}} \left(\frac{1}{|\Omega-\omega|^\gamma} + \frac{1}{|\Omega+\omega|^\gamma} \right)$$

Abanov et al, Moon,
She, Zaanen

$$\gamma = 1/2$$

Antiferromagnetic QCP

Abanov et al, Metlitski, Sachdev

$$\gamma = 1/3$$

FM QCP, nematic, composite
fermions, $\Omega^{2/3}$ problem

Bonesteel, McDonald, Nayak,
Haslinger et al, Millis et al, Bedel et al...

$$\gamma = +0 \text{ (log } \omega \text{)}$$

3D QCP, Color superconductivity

Son, Schmalian, A.C,
Metlitski, Sachdev

$$\gamma = 1$$

Z=1 pairing problem

Schmalian, A.C....

$$\gamma = +0 \rightarrow \gamma = 1$$

pairing in the presence of SDW

Moon, Sachdev

$$\gamma \approx 0.7$$

fermions with Dirac cone dispersion

Metzner et al

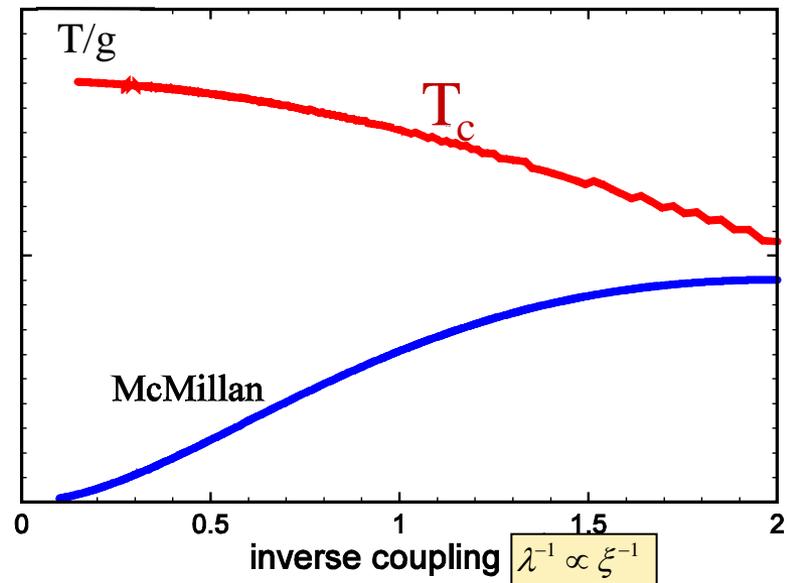
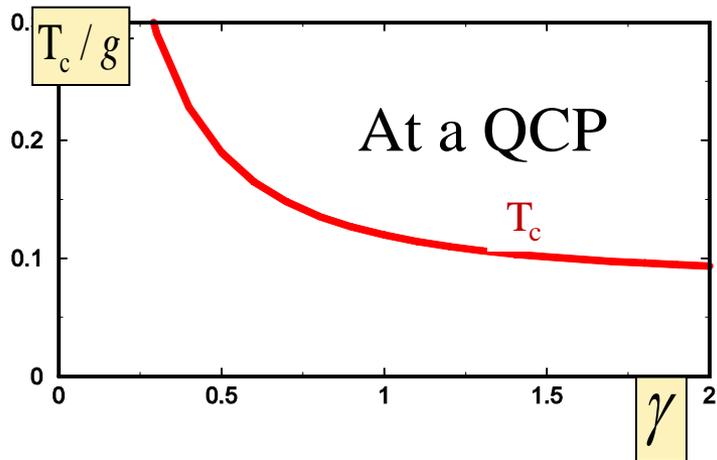
$$\gamma = 2$$

Pairing by near-gapless phonons

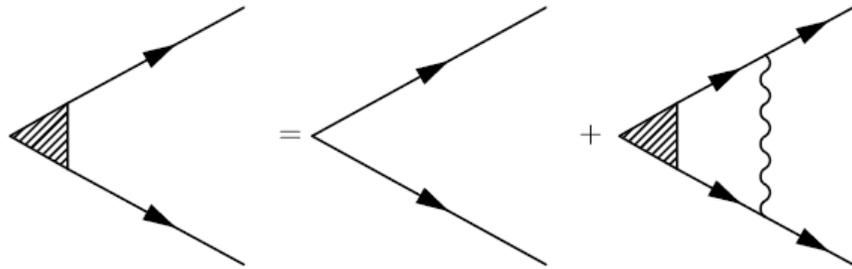
$$T_c^{\text{ad}} = 0.1827 g$$

Allen, Dynes, Carbotte, Marsiglio, Scalapino,
Combescot, Maksimov, Bulaevskii, Dolgov,

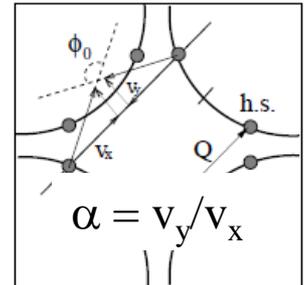
It turns out that for all γ , the coupling $(1 - \gamma)/2$ is larger than the threshold



The actual problem near antiferromagnetic QCP in a metal is more complex, because fermions away from hot spots have Fermi liquid self-energy at the lowest frequencies



$$\Phi(\omega \sim T, 0) = \Phi_0 \left(1 + \frac{\lambda}{2\pi} \log^2 \Lambda/T \right), \quad \lambda = \frac{2\alpha}{(1 + \alpha^2)}$$



$T_c = 0.006 g$ at QCP
(instead of $0.025g$)

Metlitski & Sachdev

Y. Wang, A.C.

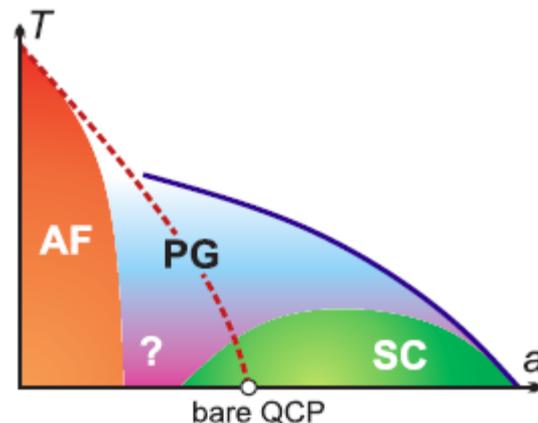
$g = 1.7 \text{ eV}, T_c \sim 120\text{K}$

Re: Subir's talk

Superconducting and bond-density-wave order
are almost degenerate at $T \sim T_c$

Metlitski, Sachdev
Efetov, Meier, Pepin

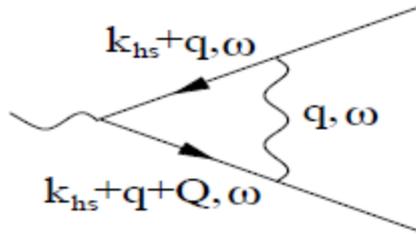
$T_c \sim 0.006g$ is the temperature at which the
“modulus” of the combined SC+ CDW order
parameter develops.



Moon Sachdev
Metlitski, Sachdev
Efetov, Meier, Pepin

Accuracy: corrections are $O(1)$, the leading ones can be accounted for in the $1/N$ expansion

Leading vertex corrections are log divergent



$$\frac{\Delta g}{g} = \frac{Q(v)}{N} \log \lambda$$

$$Q(v) = \frac{4}{\pi} \tan^{-1} \frac{v_x}{v_y}$$

To order $O(1/N)$:

$$\chi(q, \omega) \propto \frac{1}{(q^2 + |\omega|)^\eta}$$

$$\eta = 1 - \frac{1}{2N}$$

The only change is

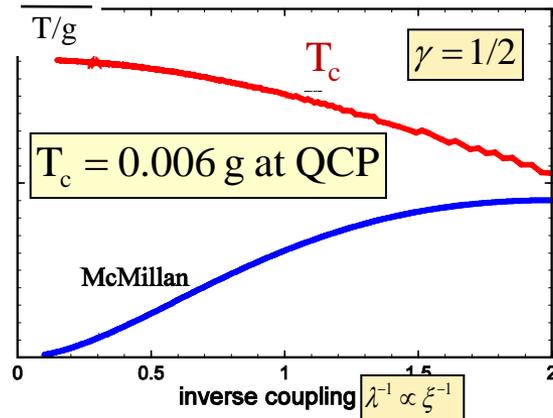
$$\gamma \rightarrow \frac{1}{2} - \frac{1}{2N}$$

Now, we assumed before that g is smaller than $W \sim v_F/a$

What if the coupling is comparable to bandwidth

$$T_c = g \Psi_\xi$$

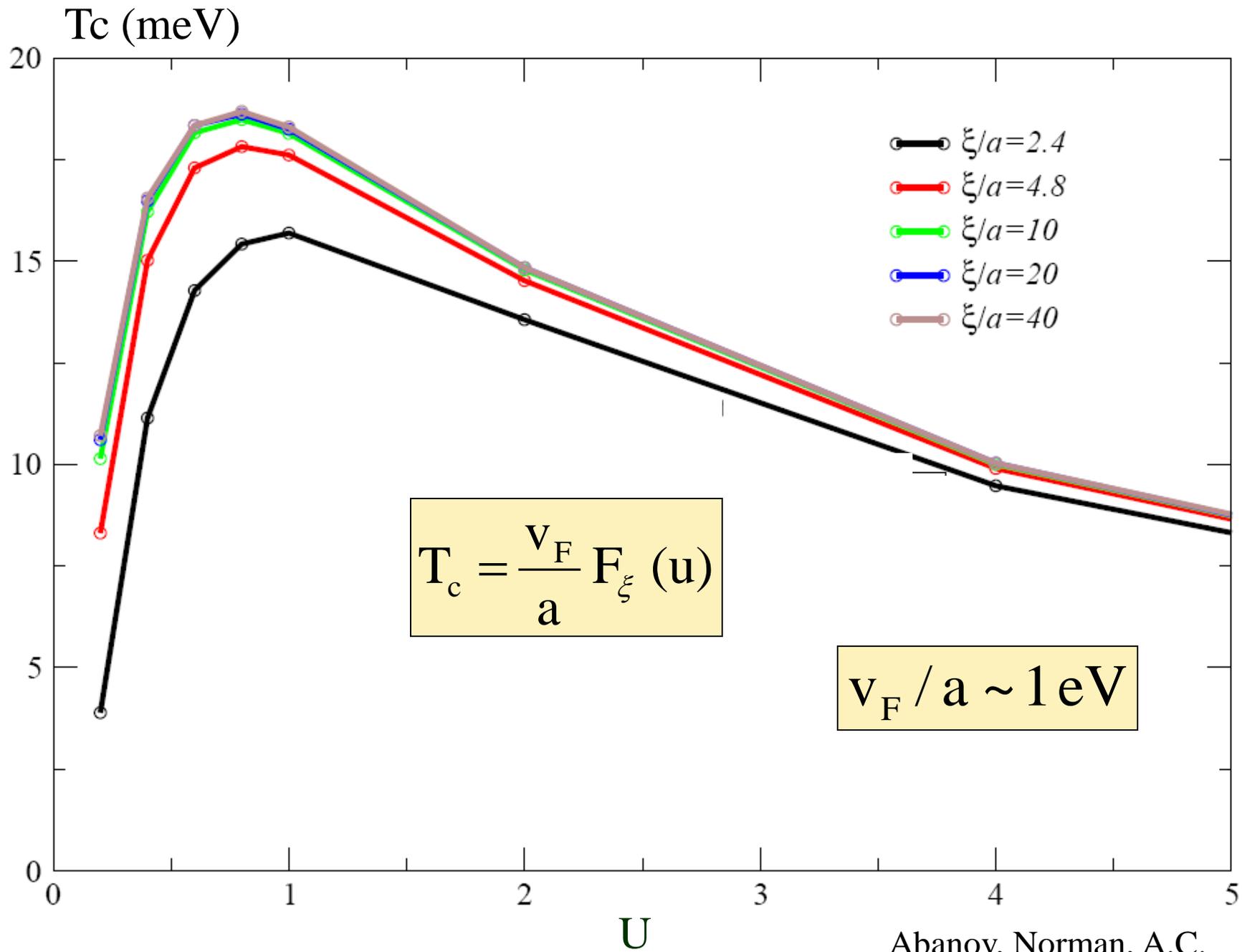
The larger is g ,
the larger is T_c



In general, we have two parameters,

$$u = \frac{g}{W} = \frac{g a}{v_F} \quad \text{and} \quad \lambda = u \xi$$

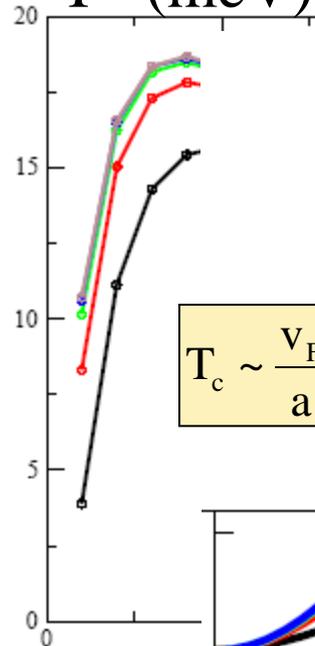
$$T_c = \frac{v_F}{a} F_\xi(u) \quad (\Rightarrow g \Psi_\xi \text{ when } u \text{ is small})$$



$$u < 1, \lambda = u \xi > 1$$

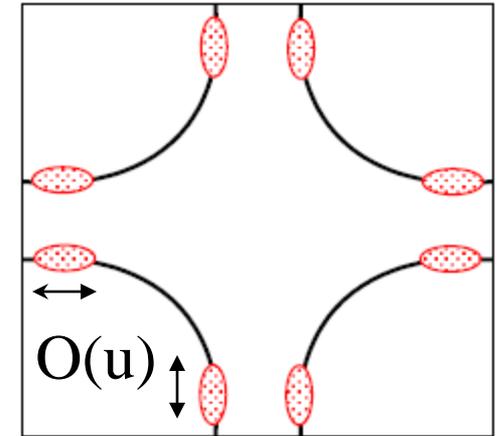
$$u \sim g a / v_F$$

T^* (meV)

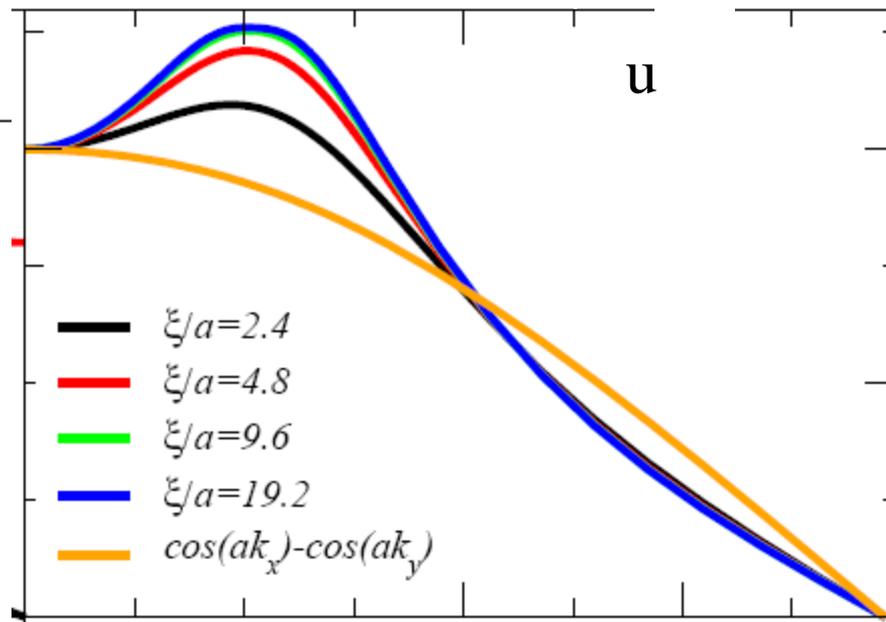


Hot spot story:
Pairing involves only
fermions near hot spots

$$T_c \sim \frac{v_F}{a} u \quad (= 0.006 g)$$



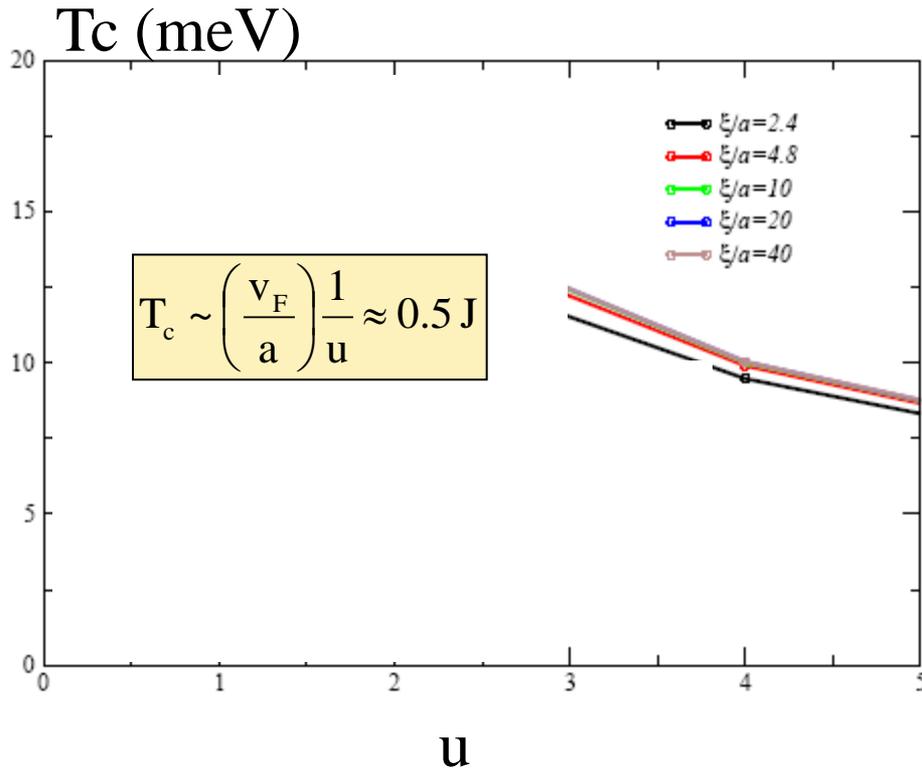
Gap, Δ



Angle along the Fermi surface

The gap is
anisotropic,
not simply
 $\cos k_x - \cos k_y$

Strong coupling, $u > 1$



$$\chi_q(\Omega) = \frac{1}{(q-\pi)^2 + \xi^{-2} + \frac{16}{3} u \frac{|\Omega|}{v_F a}}$$

d-wave
attraction

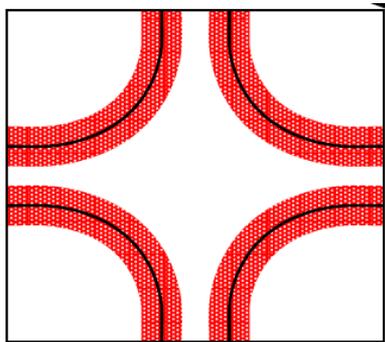
A tendency
towards
 $\chi(\Omega) \sim 1/\Omega$

Balance when
 $T u \sim v_F/a$

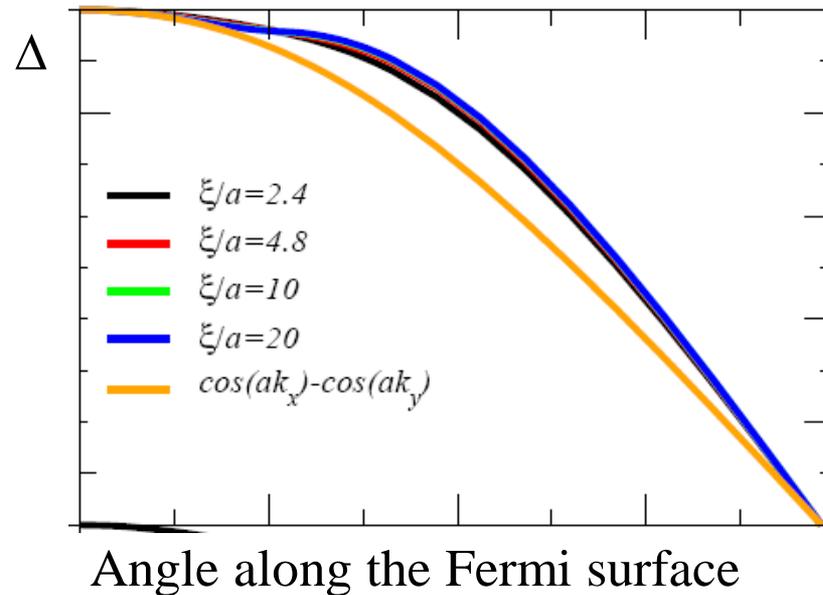
At strong coupling, T_c scales with the magnetic exchange J

Strong coupling, $u > 1$

The whole Fermi surface is involved in the pairing



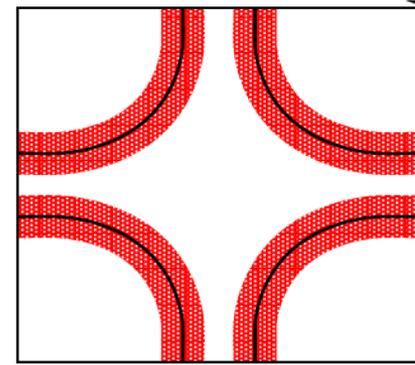
Gap variation along the Fermi surface



Almost $\cos k_x - \cos k_y$ d-wave gap
(as if the pairing is between nearest neighbors)

Strong coupling, $u > 1$

On one hand, the whole Fermi surface is involved in the pairing

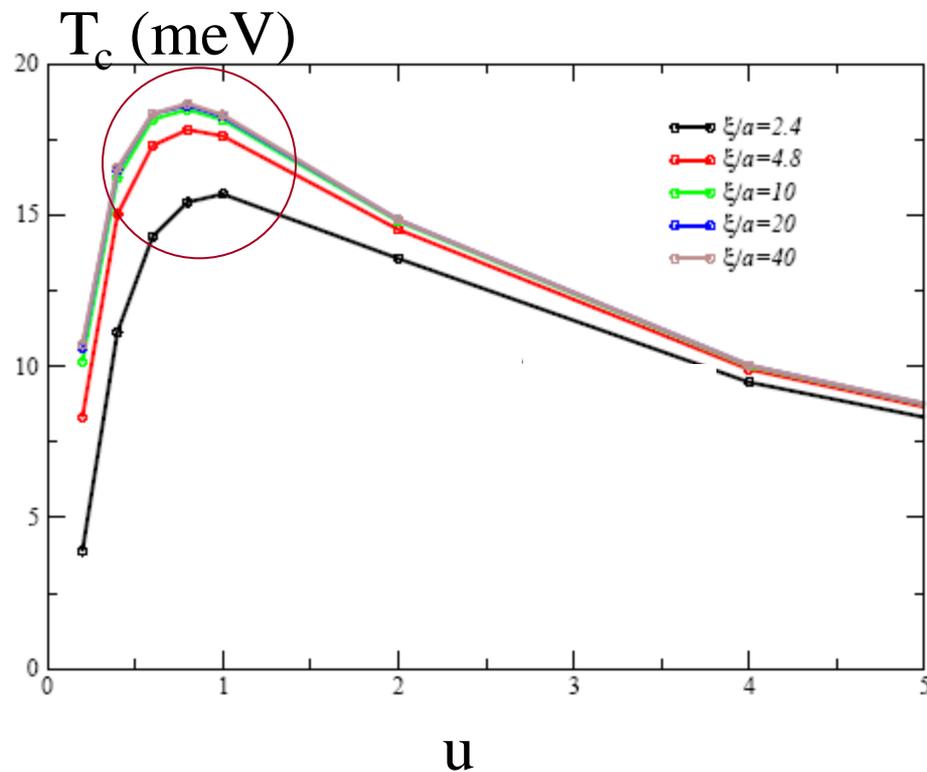


On the other, the fact that T_c does not grow with u , restricts relevant fermionic states: $\varepsilon_k \approx v_F (k - k_F) \sim J \ll v_F/a$

$$|k - k_F| \sim \frac{(3-4)J}{v_F} \sim 0.1 \frac{\pi}{a} \ll \frac{\pi}{a}$$

d-wave pairing at strong coupling still involves fermions in the near vicinity of the Fermi surface

Intermediate $u = O(1)$



$$T_{c,\max} \sim 0.02 \frac{V_F}{a}$$

$$T_{c,\max} \sim 200 - 250 \text{ K}$$

Universal pairing scale

Robustness of $T_{c,\max}$



FLEX (similar, but not identical to our calculations)

**Monthoux, Scalapino
Monthoux, Pines,
Eremin, Manske,
Bennemann, Schmalian,
Dahn, Tewordt**

$$T_c \sim (0.01-0.015) \frac{V_F}{a} \sim 100-150 \text{ K for } u \sim 0.25$$

CDA, cluster DMFT

**Majer, Jarrell, ...
Haule, Kotliar, Capone ...
Tremblay, Senechal,**

$$T_c \sim 0.01 \frac{V_F}{a} \text{ for } u \sim 0.25, T^* \sim 0.015 \frac{V_F}{a} \text{ for } u = 0.75$$

**FLEX with
experimental inputs**

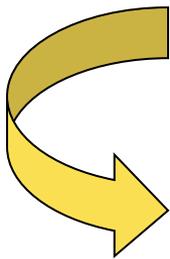
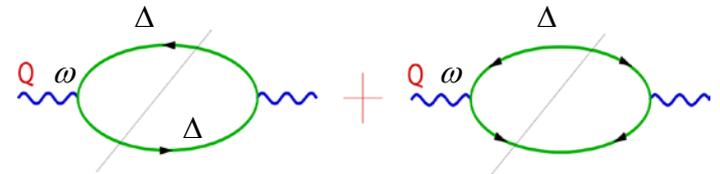
**Scalapino, Dahn, Hinkov,
Hanke, Keimer, Fink, Borisenko,
Kordyuk, Zabolotny, Buechner**

$$T_c \sim 170 \text{ K}$$

The superconducting phase

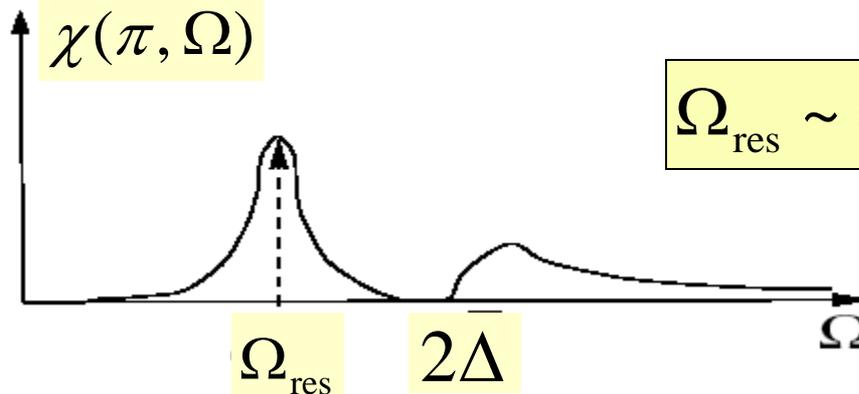
Spin dynamics changes because of d-wave pairing -- the resonance peak appears

- no low-energy decay below 2Δ due to fermionic gap
- residual interaction is "attractive" for d-wave pairing



Collective spin fluctuation mode at the energy well below 2Δ

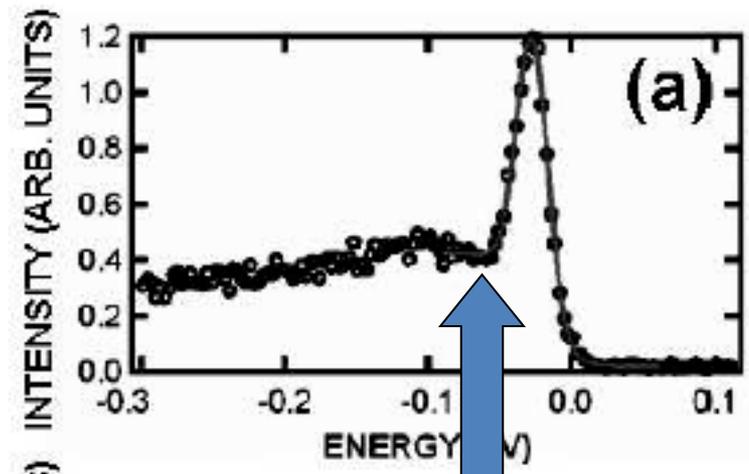
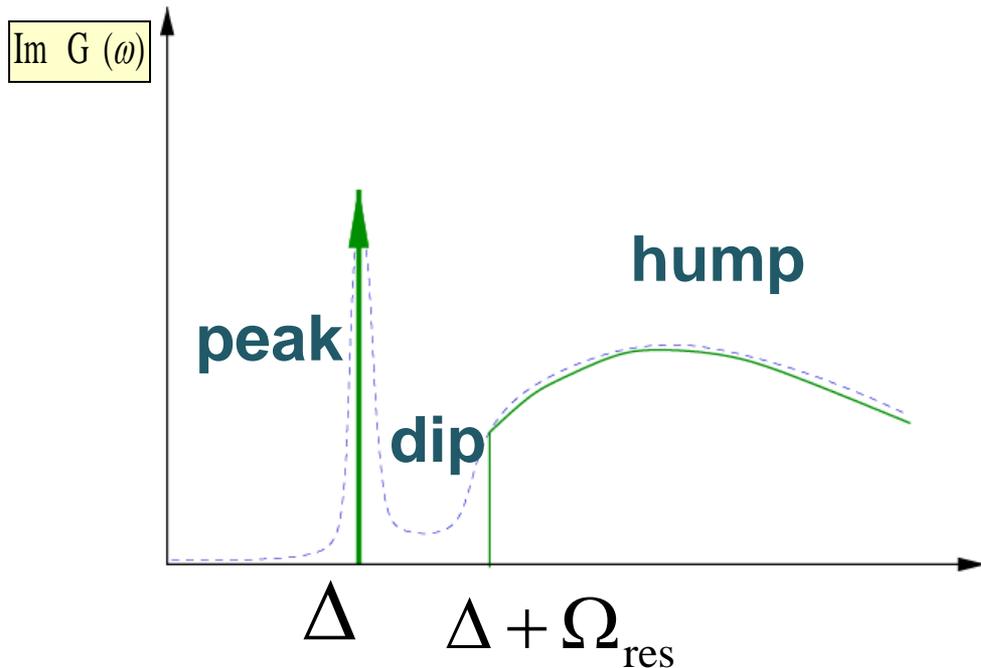
$$\chi(\Omega) \sim \frac{1}{\Omega^2 - \Omega_{res}^2}$$



$$\Omega_{res} \sim (g \omega_{sf})^{1/2} \sim \xi^{-1}$$

By itself, the resonance is NOT a fingerprint of spin-mediated pairing,
nor it is a glue to a superconductivity

A fingerprint is the observation how the
resonance peak affects the electronic behavior,
if the spin-fermion interaction is the dominant one

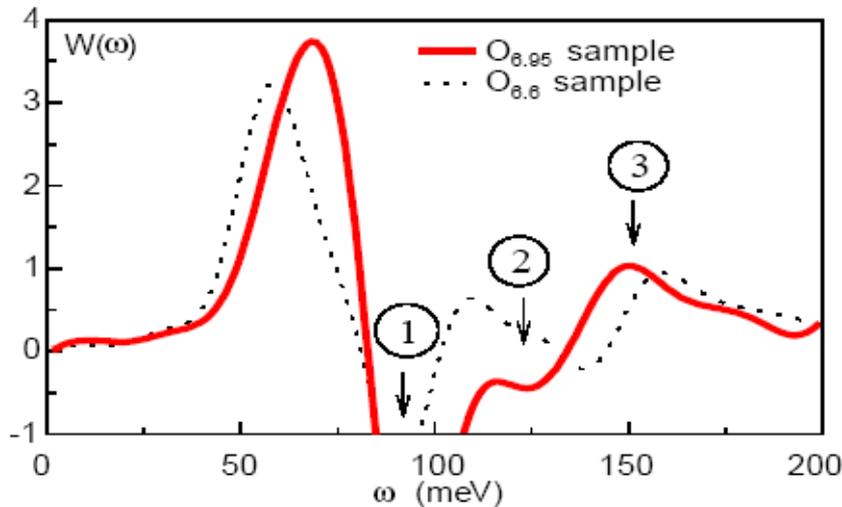


$\Omega_{\text{mode}} \sim 38-40$ meV

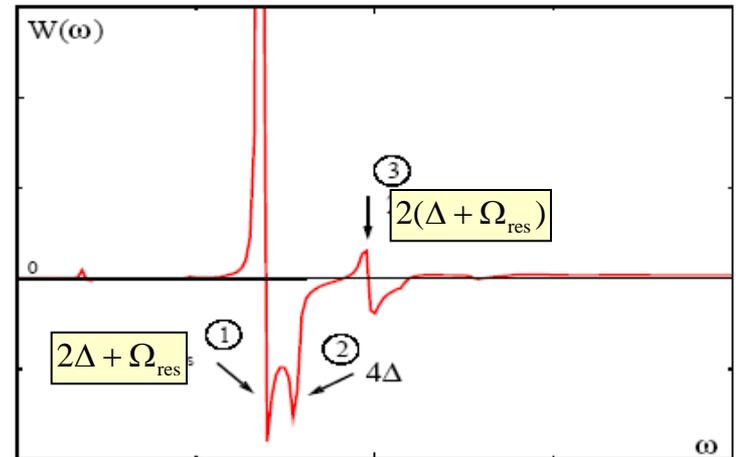
The resonance mode also affects optical conductivity

$$W(\omega) = \frac{d^2}{d\omega^2} \left[\omega \operatorname{Re} \frac{1}{\sigma(\omega)} \right]$$

YBCO_{6.95}



Theory



Basov et al,
Timusk et al,
J. Tu et al.....

$$\Delta \approx 30 \text{ meV}, \quad \Omega_{\text{res}} \approx 40 \text{ meV}$$

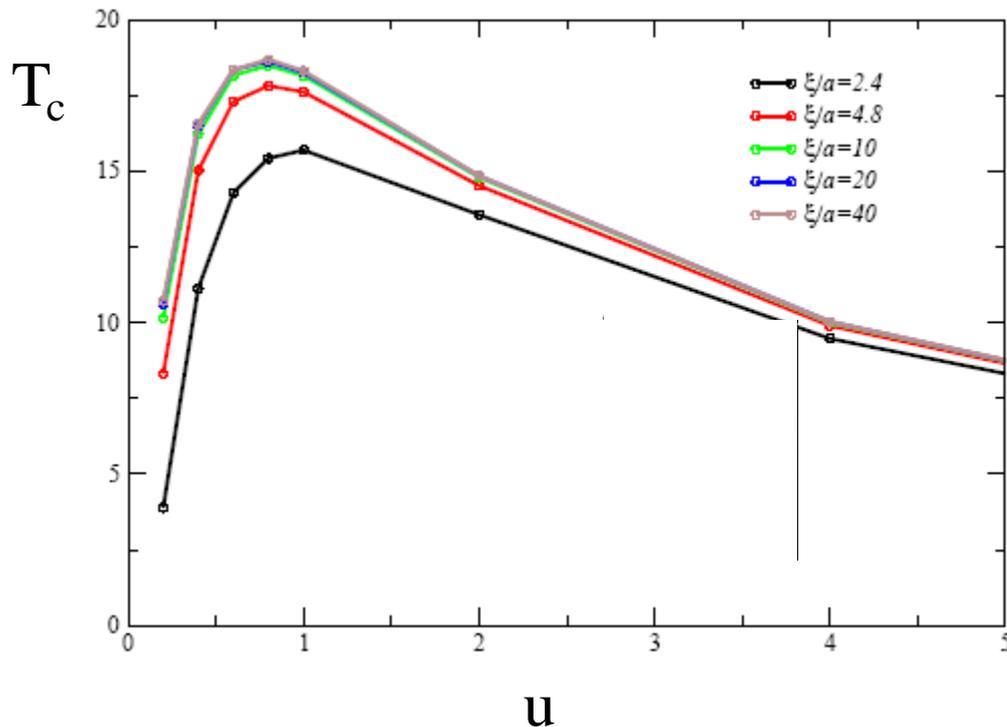
Abanov et al
Carbotte et al

Conclusions

Spin-fermion model: the minimal model which describes the interaction fermions, mediated by spin collective degrees of freedom

Some phenomenology is unavoidable (or RPA)

Once we selected the model, how to get $\Sigma(\omega)$ and the pairing are legitimate theoretical issues (and not only for the cuprates).



Universal pairing scale

$$T_{c, \max} \sim 0.02 \frac{V_F}{a}$$

The gap

$$\Delta(\mathbf{k}) \approx \Delta (\cos k_x - \cos k_y)$$

Low-energy collective mode

$$\Omega_{\text{res}} \sim \Delta / \lambda < \Delta$$

THANK YOU