

Weak coupling theories of unconventional superconductivity (I)

(Superconductivity from repulsion)

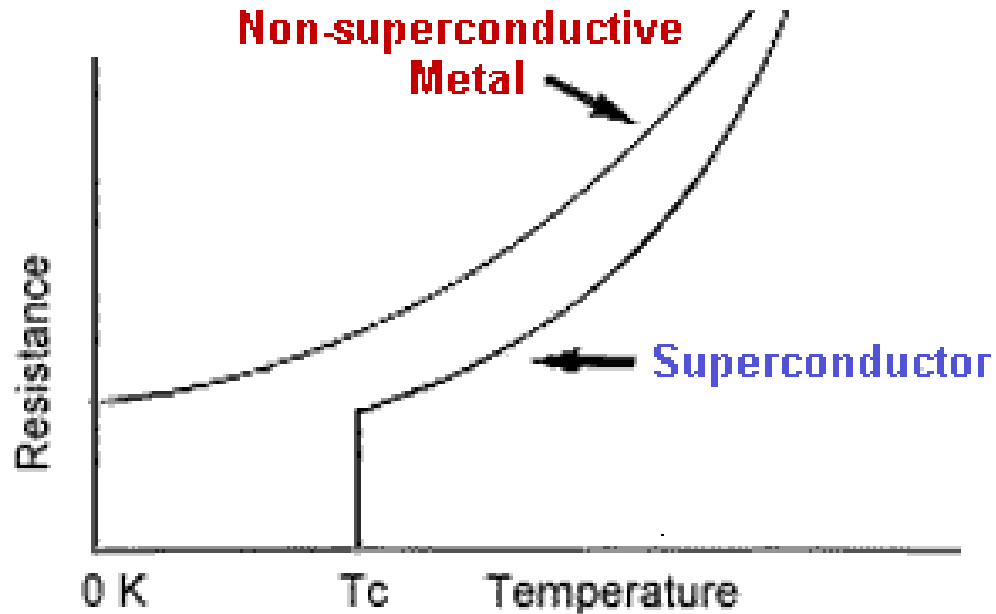
Andrey Chubukov

University of Wisconsin

Theory Winter School, Tallahassee, January 7 2013

Superconductivity:

Zero-resistance state of interacting electrons



Let's start with some basics:

Consider a system of fermions with $k^2/2m$ dispersion and (screened) Coulomb interaction $U(r)$.

Single-particle (fermionic) excitations are described by the poles of the fermionic Green's function $G(\mathbf{k}, \omega)$

For free fermions, $G(\mathbf{k}, \omega) = 1/(\omega - v_F (\mathbf{k}-\mathbf{k}_F))$

In a Fermi liquid, $G(\mathbf{k}, \omega) = Z/(\omega - v_F^* (\mathbf{k}-\mathbf{k}_F))$

Superconductivity is a two-particle instability of a system of interacting fermions

Collective two-particle (bosonic) excitations are described by the poles of the vertex function $\Gamma(\mathbf{q}, \Omega)$ = fully renormalized interaction

Examples: sound (or zero sound) waves in a Fermi liquid

$$\Gamma \propto \frac{1}{\Omega - v_s q + i0}$$



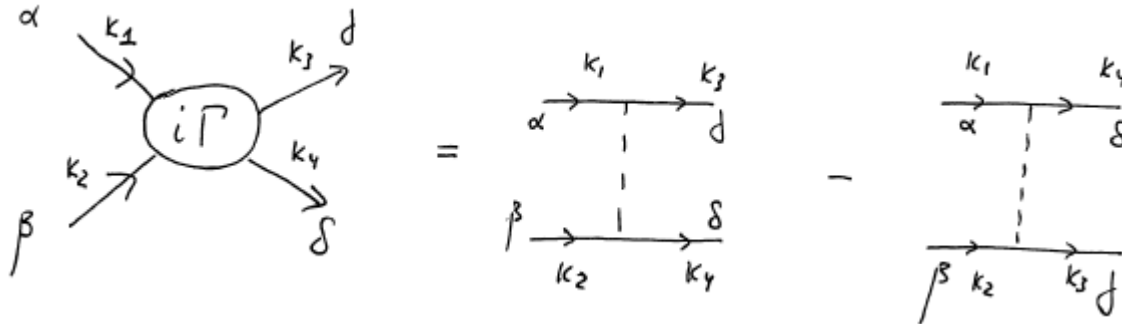
$$\Omega = v_s q - \underline{i0}$$

Pole in the lower frequency half-plane

If $\Gamma(\mathbf{q}, \Omega)$ had a pole in the upper half-plane, perturbations would increase with time and eventually destroy a Fermi liquid

Superconducting instability is of this kind

To first order in the interaction, $\Gamma(\mathbf{q}, \Omega)$ is just an antisymmetrized interaction – no poles!



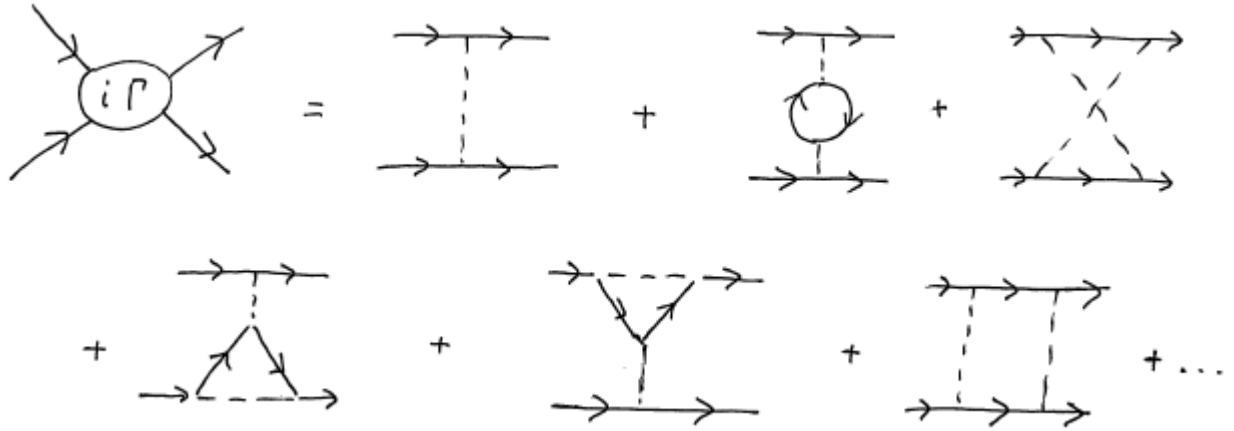
Roughly, $\Gamma = -U$

$$\Gamma = U(k_1 - k_4) \delta_{\alpha\delta} \delta_{\beta\gamma} - U(k_1 - k_3) \delta_{\alpha\gamma} \delta_{\beta\delta}$$

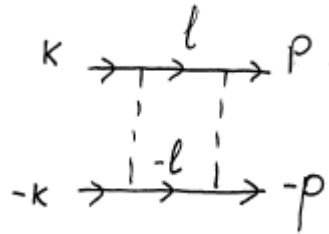
$$= \frac{1}{2} [U(k_1 - k_4) + U(k_1 - k_3)] (\delta_{\alpha\delta} \delta_{\beta\gamma} - \delta_{\alpha\gamma} \delta_{\beta\delta}) \quad \text{singlet}$$

$$+ \frac{1}{2} [U(k_1 - k_4) - U(k_1 - k_3)] (\delta_{\alpha\delta} \delta_{\beta\gamma} + \delta_{\alpha\gamma} \delta_{\beta\delta}) \quad \text{triplet}$$

Let's now include higher-order terms:

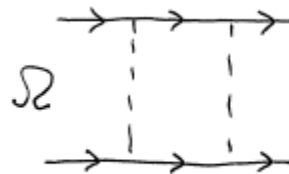


For generic momenta, a boring perturbation theory, but if total incoming momenta and frequency are zero, there is a singularity



$$\int \frac{d^d l d\omega}{(2\pi)^{d+1}} G_e G_{-e} = \frac{i N_F}{2} \int_{-\Lambda}^{\Lambda} \frac{d\varepsilon}{|\varepsilon|} = \log \text{ singular}$$

Let's keep total frequency non-zero



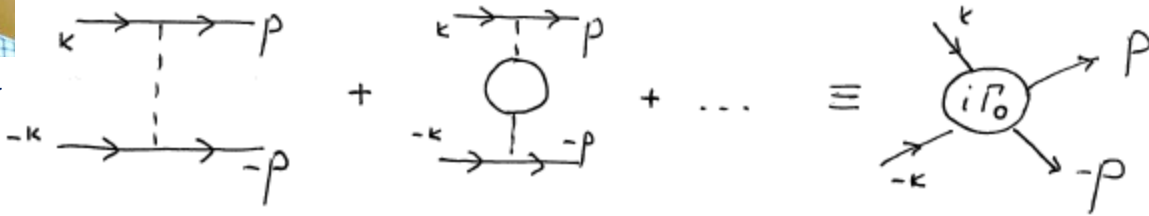
$$\frac{1}{2} (\log \text{ singular}) \Rightarrow \log \frac{\Lambda}{|\Omega|} + i \frac{\pi}{2}$$



L.P. Gorkov

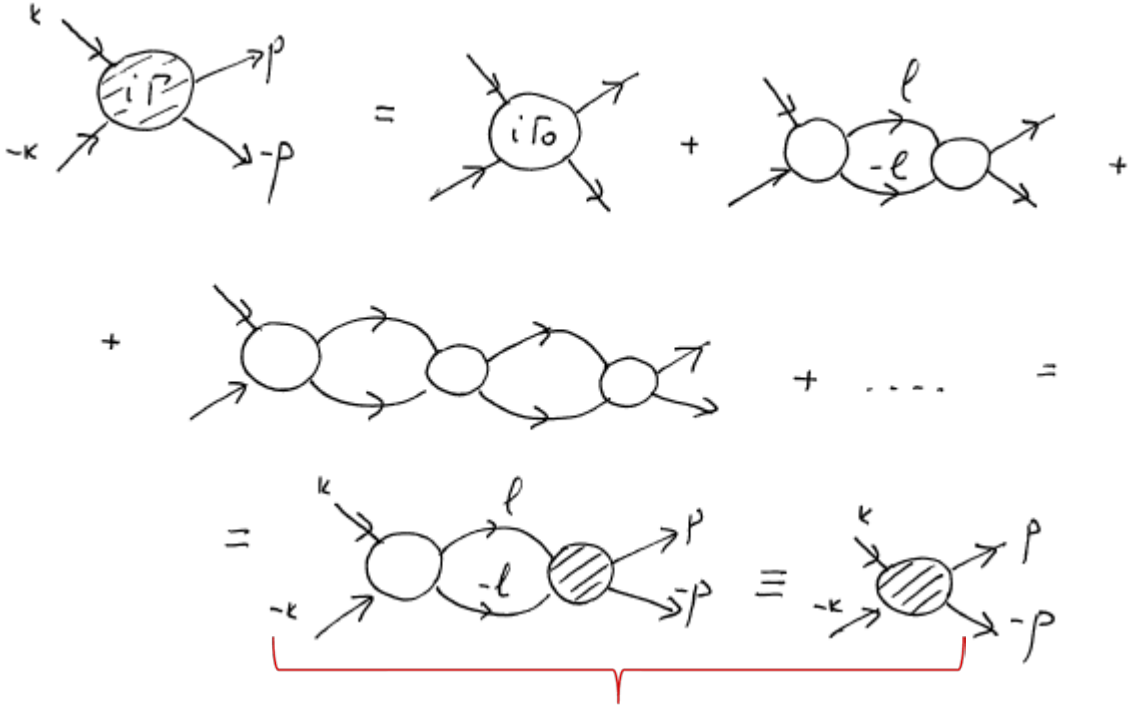
There is a recipe how to proceed

Collect all non-singular diagrams into a “bare” vertex



This is a regular pert. theory

And keep adding singular particle-particle renormalizations



Integral equation on the full vertex

Take $\Gamma_0 = \text{const}$ ($\Gamma_0 = -U$ in the Hubbard model)

$$\Gamma = \frac{\Gamma_0}{1 - \Gamma_0 N_F \left[\log \frac{\Lambda}{|\Omega|} + i\frac{\pi}{2} \right]}$$

For repulsive interaction, Γ_0 is negative, and

$$\Gamma \propto \frac{1}{N_F \log \frac{\Lambda}{|\Omega|}} \rightarrow 0$$

But if $\Gamma_0 > 0$ (attraction)

$$\Gamma \propto \frac{1}{\Omega - i\Omega_0} \quad \Omega_0 = \Lambda e^{-\frac{1}{\Gamma_0 N_F}}$$

Pole in the upper frequency half-plane, i.e., perturbations grow with time and destroy the normal state

This is true only at small total momentum

$$\Gamma \propto \frac{1}{\Omega - i\Omega_0 \left(1 - \frac{V_F^2 q^2}{6 \Omega_0^2} \right)}$$

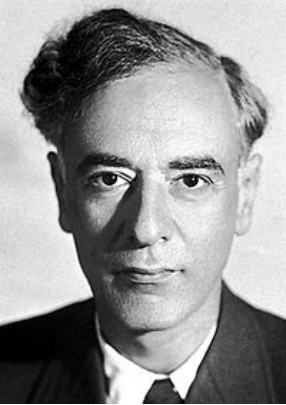
Superconductivity from repulsive interaction

How one possibly get $\Gamma_0 > 0$ out of repulsion?



©Visual Impact Resources Ltd 2007

www.visualimpactresources.com



Lev Landau

What if Γ_0 is a function of momentum?

$$\Gamma_0(\vec{k}, \vec{p})$$

$$|\vec{k}| = p_F$$

$$|\vec{p}| = p_F$$



Lev Pitaevskii

$$\Gamma_0(\theta) = \sum_{l=0}^{\infty} (2l+1) \Gamma_{l,0} P_l(\cos \theta)$$

$$\Gamma(\theta) = \sum_{l=0}^{\infty} (2l+1) \Gamma_l P_l(\cos \theta)$$

Different angular harmonics decouple

$$\Gamma_l = \frac{\Gamma_{l,0}}{1 - \Gamma_{l,0} N_F \left[\log \frac{\Lambda}{|\omega|} + i\frac{\pi}{2} \right]}$$

It is sufficient to have $\Gamma_{1,0} > 0$ for just one value of l



**Walter
Kohn**

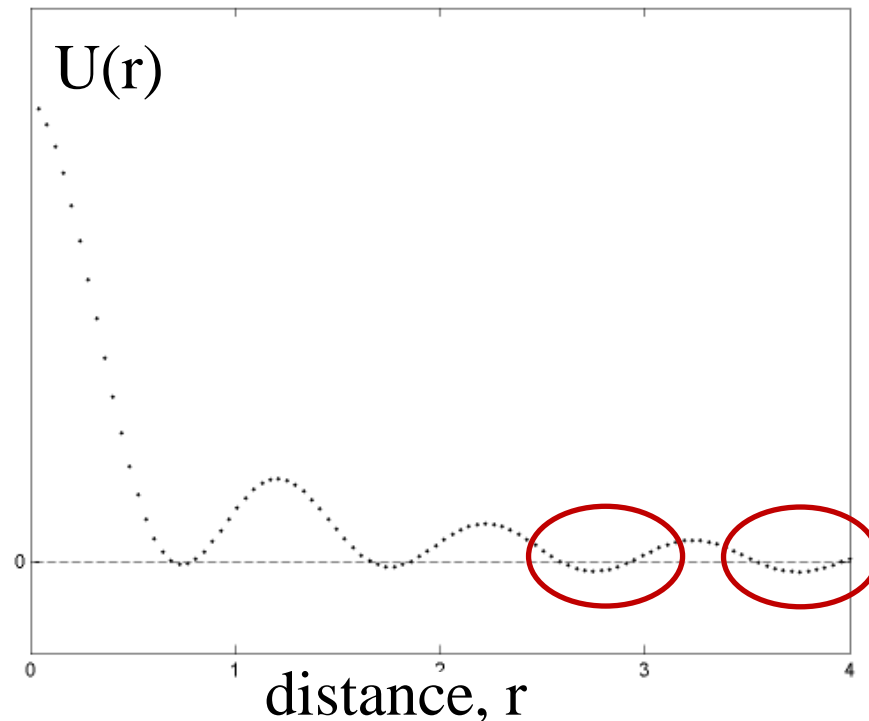
Kohn-Luttinger mechanism 1965

Components of the interaction with large l come from large distances. At such distances, bare repulsive interaction occasionally gets over-screened and acquires oscillations

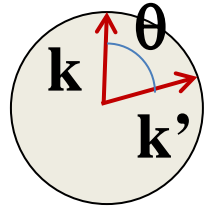
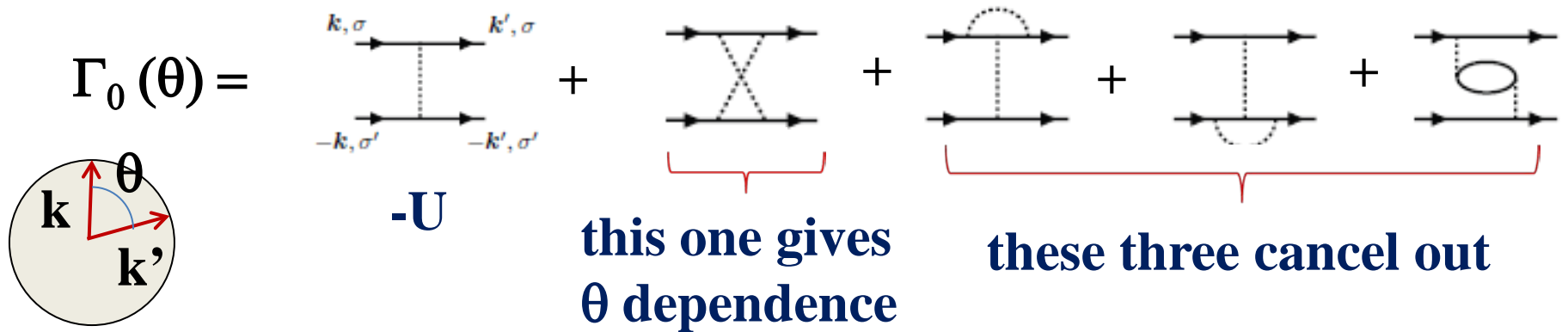
$[U(r) = \cos(2k_F r)/r^3]$,
often called Friedel oscillations



**Joaquin
Luttinger**



How this actually works?



$$\Gamma_0(\theta) = -U^2 \Pi(2p_F \cos \theta/2)$$

Particle-hole polarization bubble has a non-analyticity at $2p_F$ (i.e., at $\theta=0$)

$$\Pi(2p_F + x) - \Pi(2p_F) \sim x \log x$$

Because of non-analyticity, components $\Gamma_{l \gg 1}$ decay by a power-law, as $1/l^4$

$$\Gamma_{l \gg 1} = U^2 N_F / (2l^4) > 0$$

Components of the screened Coulomb interaction with large l are attractive, independent on the parity of l



Later developments:

Fay&Layzer,
Kagan&A.C...

The attraction extends down to $l=1$,
and Γ_1 is the largest:

$$\Gamma_1 = U^2 (2 \log 2 - 1) > 0 \quad \text{p-wave instability}$$

There is no interference with the bare U
because bare U only contributes to s-wave channel

If $U=U(q)$, situation is different, one needs to overcome bare $U_{l=1}$

However, $U_{l=1} \sim p_F^2$, while the second order term $\sim p_F$,
and it definitely wins at low density

Kohn and Luttinger applied their result to ^3He

At that time (1965) the general belief was that the pairing in ^3He must be d-wave ($l=2$)

KL obtained $T_c \sim E_F \exp[-2.5 l^4]$, substituted $l=2$, and found $T_c \sim 10^{-17} \text{ K}$

A few years later it was found that $l=1$ for ^3He .

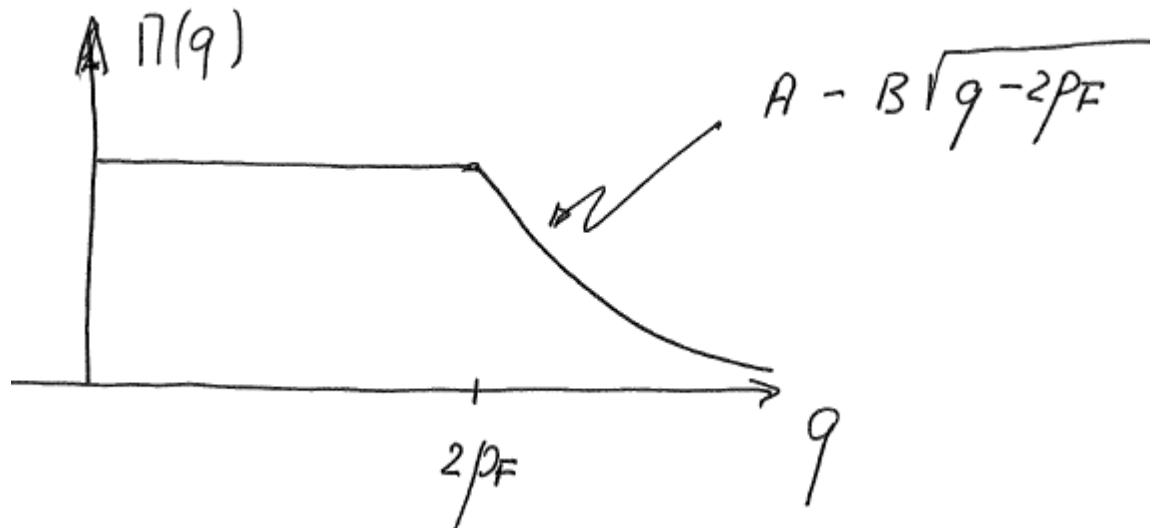
$T_c (l=1) \sim 10^{-3} E_F \sim 10^{-3} \text{ K}$ ($T_c \sim 3 \text{ mK}$ in ^3He)

I will focus on 2D systems for the rest of the lectures

Kohn-Luttinger effect in 2D

$$\Gamma_0(\theta) = -U^2 \Pi(2p_F \cos \theta/2)$$

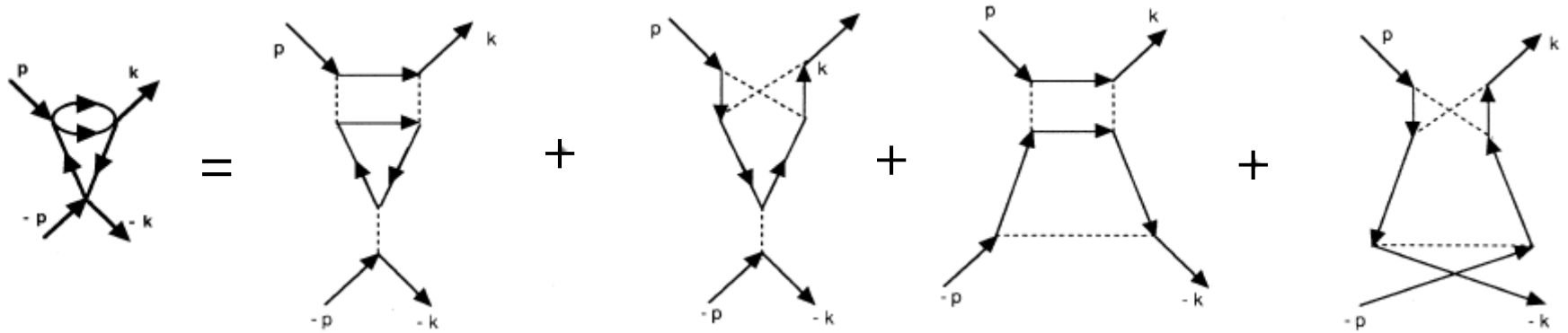
$$\Pi(q < 2p_F) = m/(2\pi) = \text{const}$$



No superconductivity at this stage

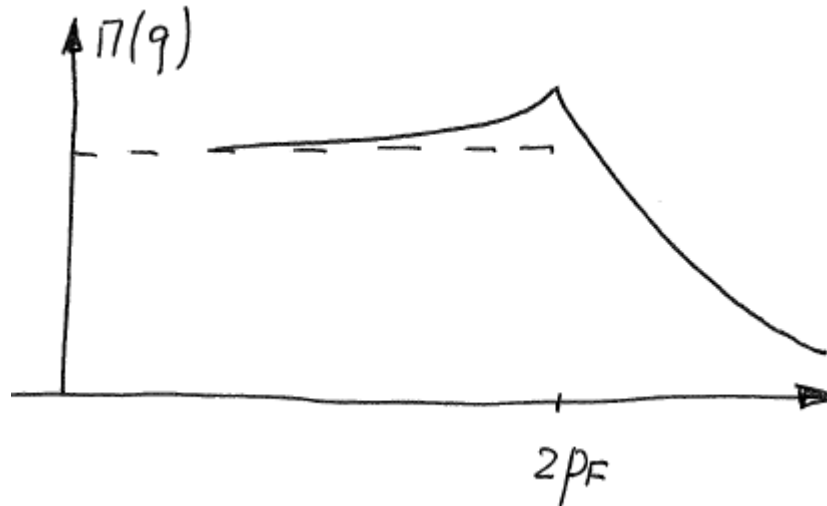
Two ways to extend the analysis:

I. Go to higher order in U (U^3)



Two ways to extend the analysis:

To order U^3



$$\Gamma_{l>>1} \sim U^3 N_F^2/l^2 > 0$$

Attraction again persists down to $l=1$, and $\Gamma_{l=1}$ is the largest

p-wave instability in a 2D isotropic Fermi liquid

Two ways to extend the analysis:

II. Do calculations on a lattice, with full $E(\mathbf{k})$

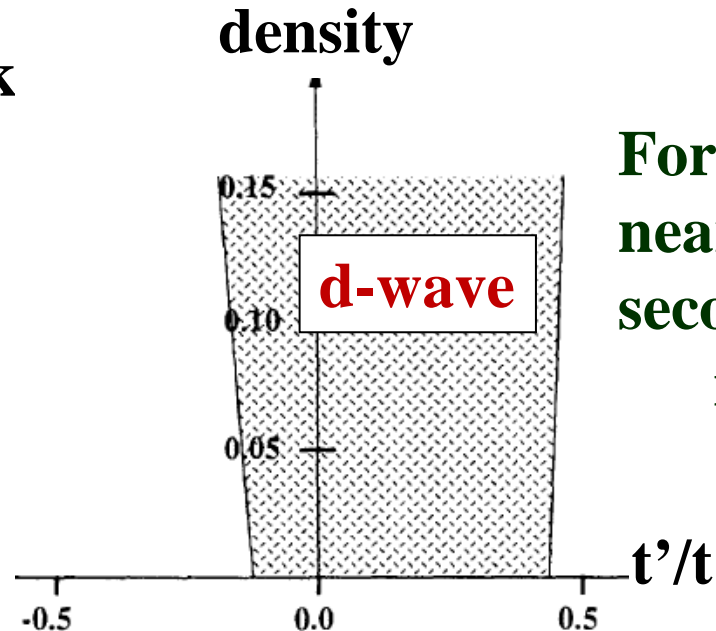
Still, second order

$$\Gamma_0(\mathbf{k}, \mathbf{k}') = \begin{array}{c} k, \sigma \longrightarrow k', \sigma \\ \vdots \\ -k, \sigma' \longrightarrow -k', \sigma' \end{array} + \begin{array}{c} \longrightarrow \\ \diagdown \quad \diagup \\ \longrightarrow \end{array} + \begin{array}{c} \longrightarrow \\ \text{---} \\ \longrightarrow \end{array} + \begin{array}{c} \longrightarrow \\ \text{---} \\ \longrightarrow \end{array} + \begin{array}{c} \longrightarrow \\ \text{---} \\ \longrightarrow \end{array} + \begin{array}{c} \longrightarrow \\ \text{---} \\ \longrightarrow \end{array}$$

$$\Gamma_0(\mathbf{k}, \mathbf{k}') = -U^2 \Pi(\mathbf{k} + \mathbf{k}')$$

Details matter, but
most likely outcome
is d-wave

(Raghu's lectures)



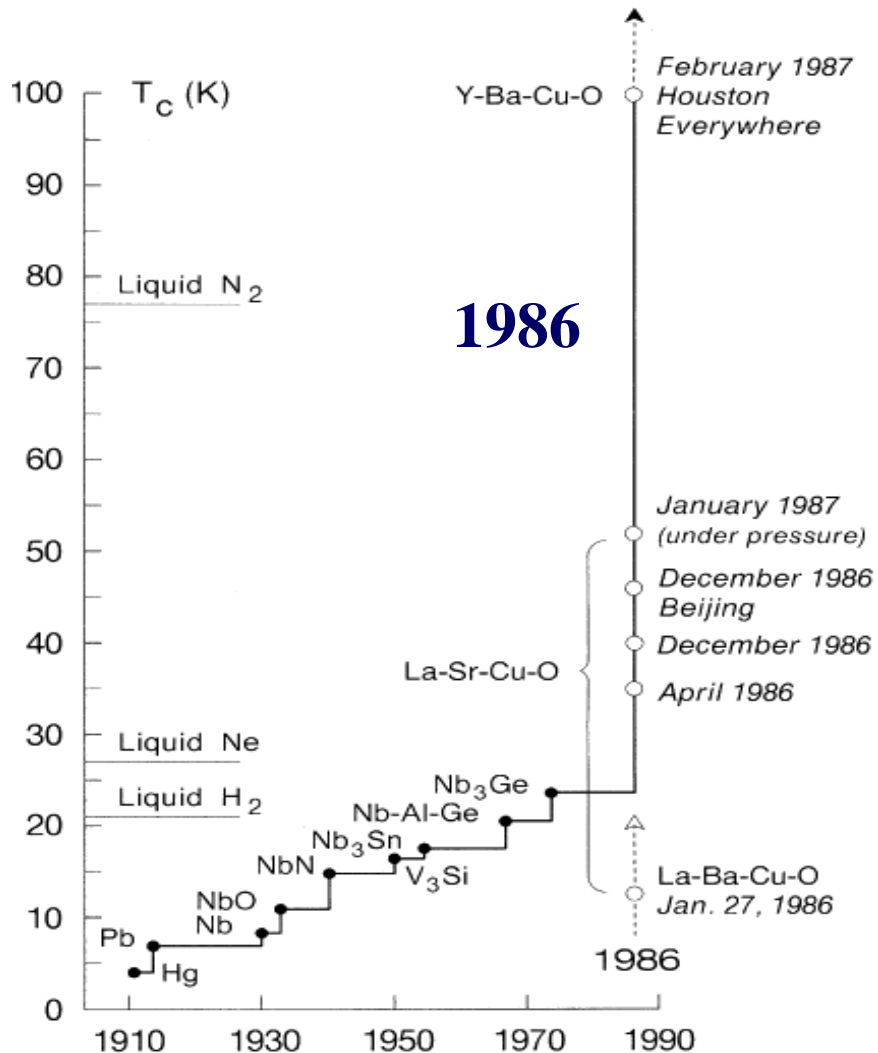
For hopping between
nearest (t) and
second nearest (t')
neighbors

For the rest of the lectures I will explore KL idea that the effective pairing interaction is different from a bare repulsive U due to screening by other fermions, and it may have attractive components in some channels

- **cuprates**
- **doped graphene**
- **Fe-pnictides**

Each case will represent different lattice version of KL physics

Cuprates (1986...)

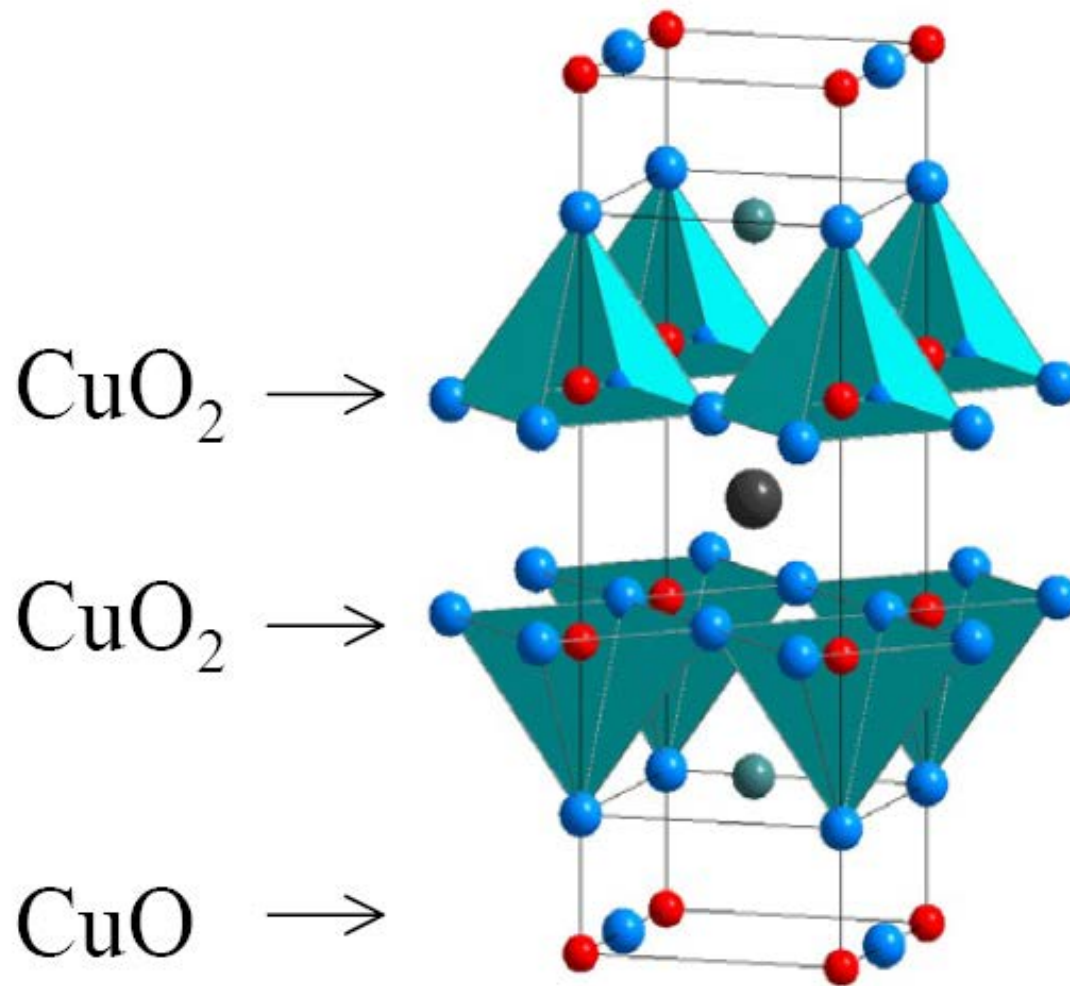


Alex Muller and Georg Bednortz

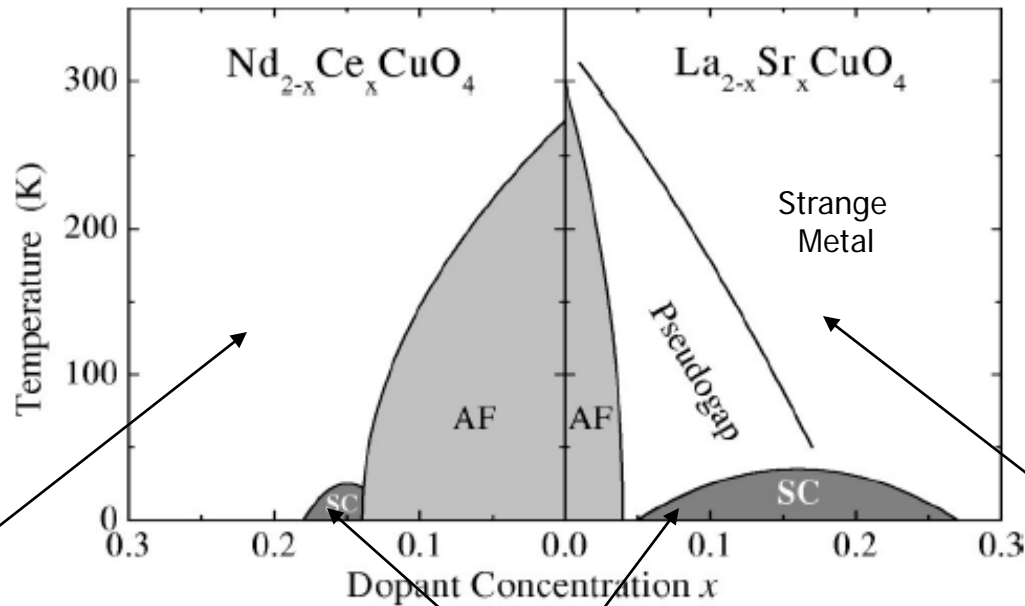
Nobel prize, 1987

Fig. 1. Evolution of the superconductive transition temperature subsequent to the discovery of the phenomenon.

Quasi-2D



Phase diagram



electron-doped

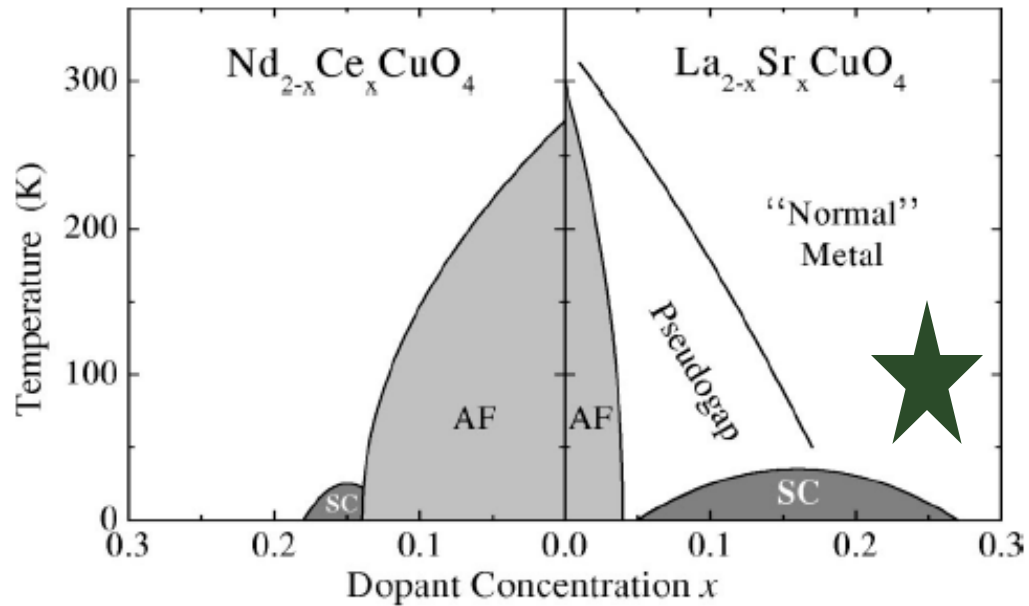
superconductor

hole-doped

Parent compounds are antiferromagnetic insulators

Superconductivity emerges upon either hole or electron doping

Overdoped compounds are metals and Fermi liquids



Overdoped compounds are metals and Fermi liquids



Photoemission

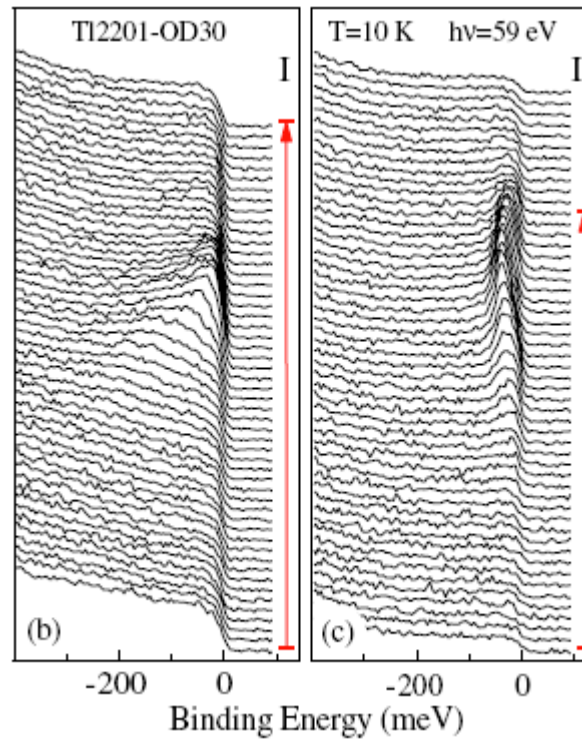
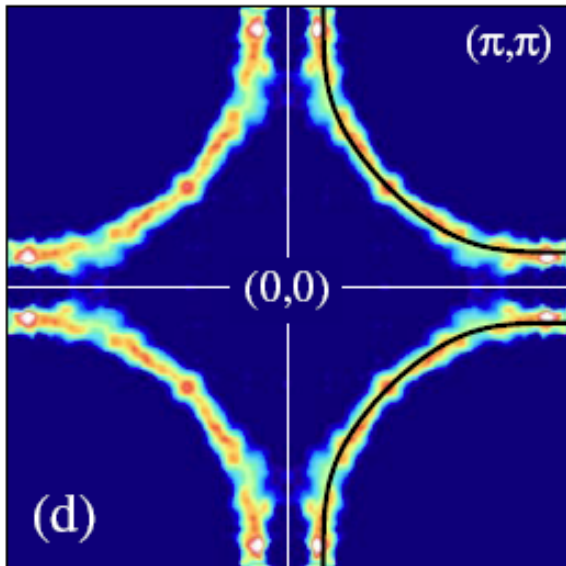
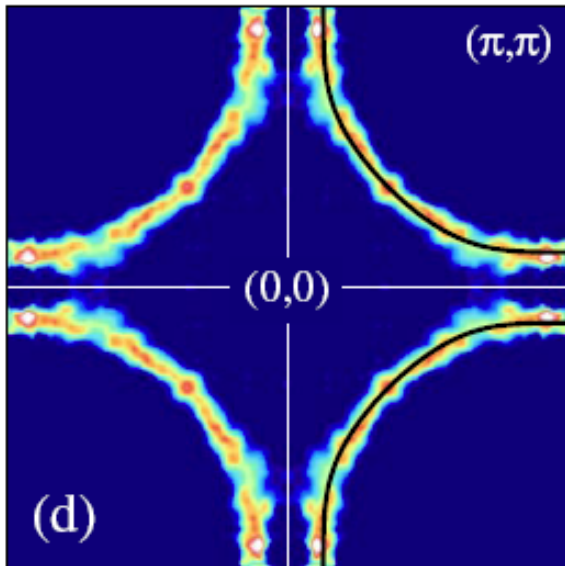


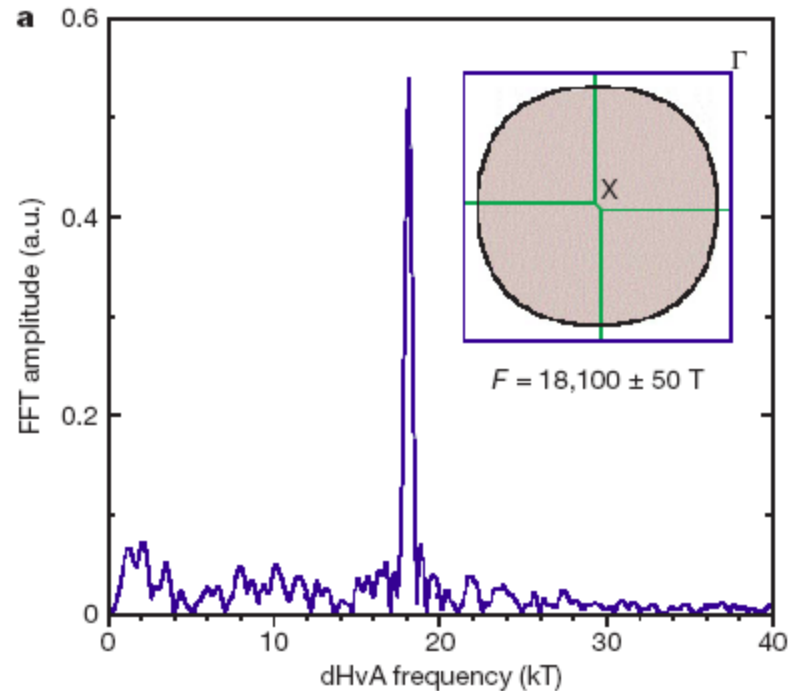
Plate et al

Areas are consistent with Luttinger count for electrons in a Fermi liquid

Overdoped compounds are metals and Fermi liquids



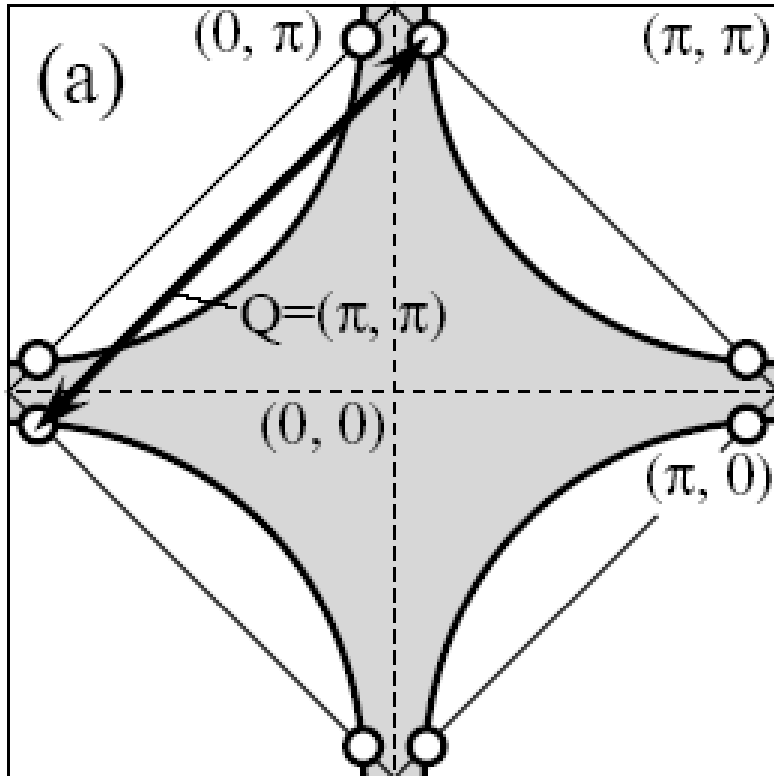
Oscillations in magnetoresistance



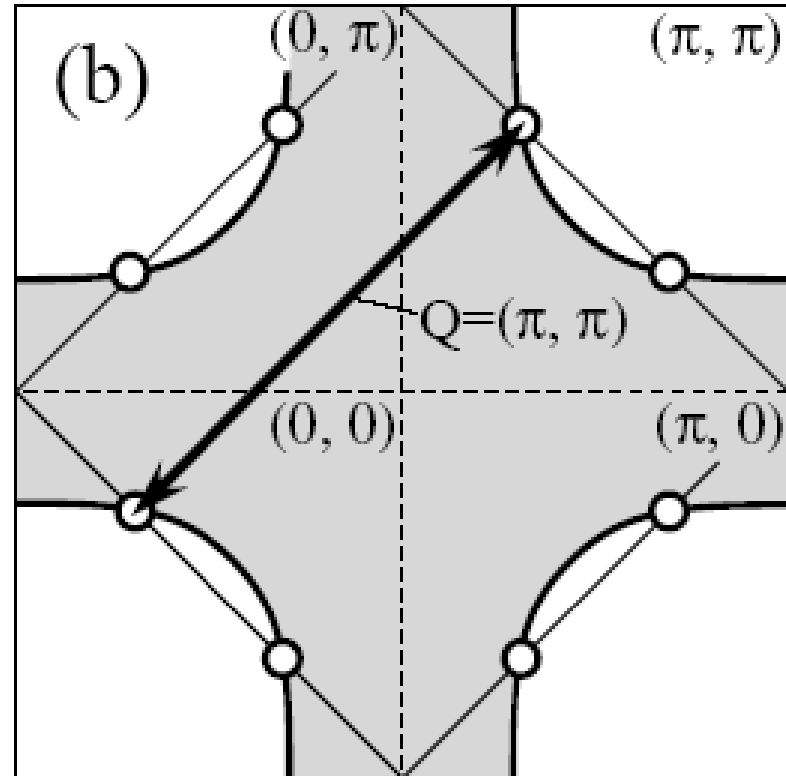
Vignolle et al

Areas are consistent with Luttinger count for electrons in a Fermi liquid

Fermi surface



Hole-doped



Electron-doped

$$E(\mathbf{k}) = -2t (\cos k_x + \cos k_y) + 4t' \cos k_x \cos k_y - \mu$$

For a square lattice, the symmetry group is D_{4h}

$$X \leftrightarrow Y \text{ and } X, Y \leftrightarrow -X, -Y$$

Four 1D representations:

$$X \leftrightarrow Y$$

$$X \leftrightarrow -X$$

s-wave

$$A1g : \cos kx + \cos ky,$$

+

+

$d_{x^2-y^2}$

$$B1g : \cos kx - \cos ky,$$

-

+

d_{xy}

$$B2g : \sin kx * \sin ky,$$

+

-

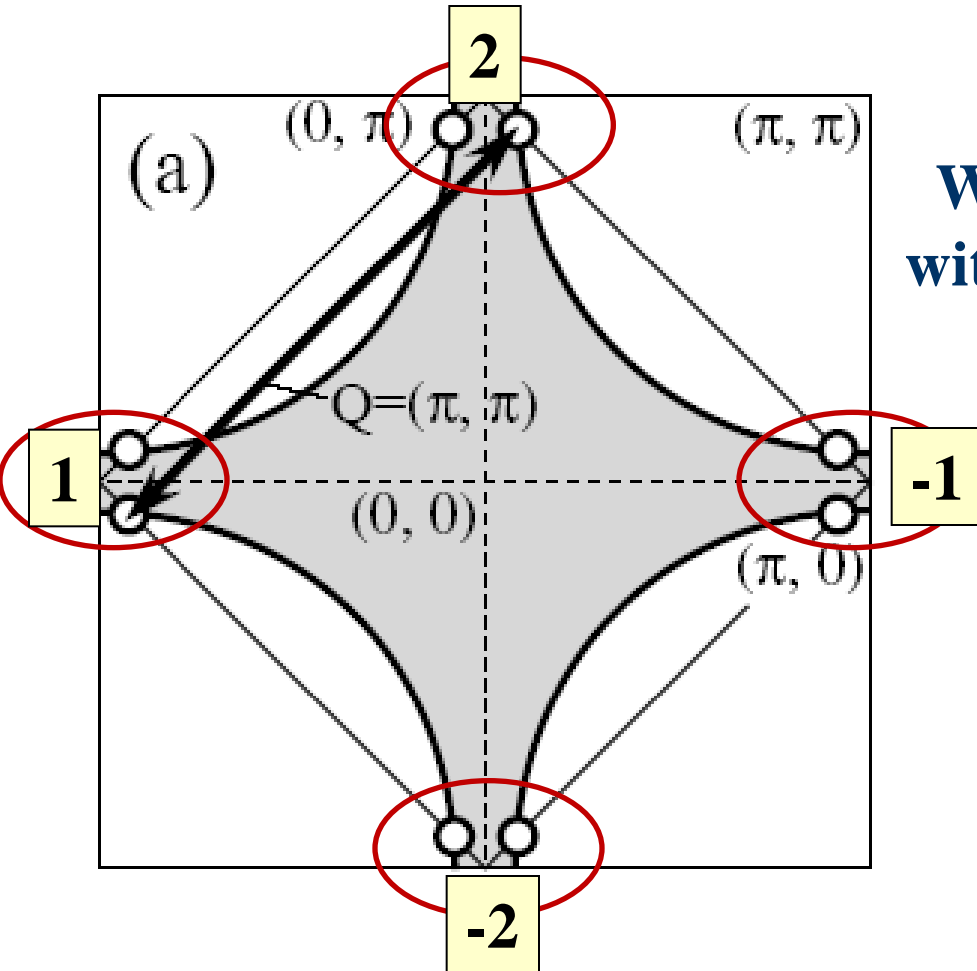
$$A2g : (\cos kx - \cos ky) * \sin kx * \sin ky,$$

-

-

g-wave

Kohn-Luttinger-type consideration



We have repulsive interactions within a patch and between patches

Consider Hubbard U

To first order, we only have a repulsive s-wave component.

To order U^2

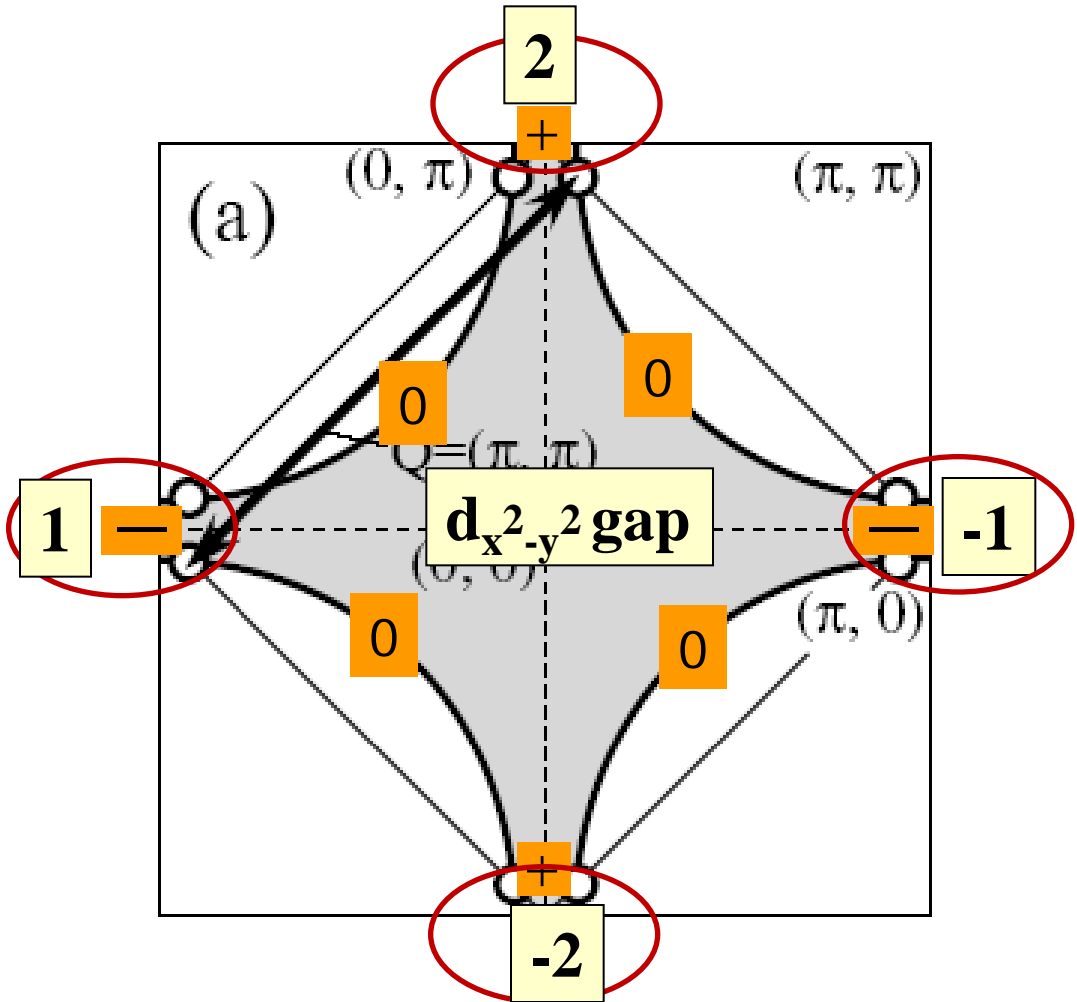
$$\Gamma_0 = \begin{array}{c} k, \sigma \longrightarrow k', \sigma \\ \vdots \\ -k, \sigma' \longrightarrow -k', \sigma' \end{array} + \begin{array}{c} \longrightarrow \longrightarrow \\ \diagdown \quad \diagup \\ \longrightarrow \longrightarrow \end{array}$$

$$\Gamma_0(1,2) > \Gamma_0(1,1)$$

Let's momentarily consider only a larger $\Gamma_0(1,2)$

Eqn. for a sc gap

$$\Delta(1) = - \int d q_2 \frac{\Delta(2)}{\sqrt{\Delta^2(2) + E^2(q_2)}} \Gamma_0(1,2)$$

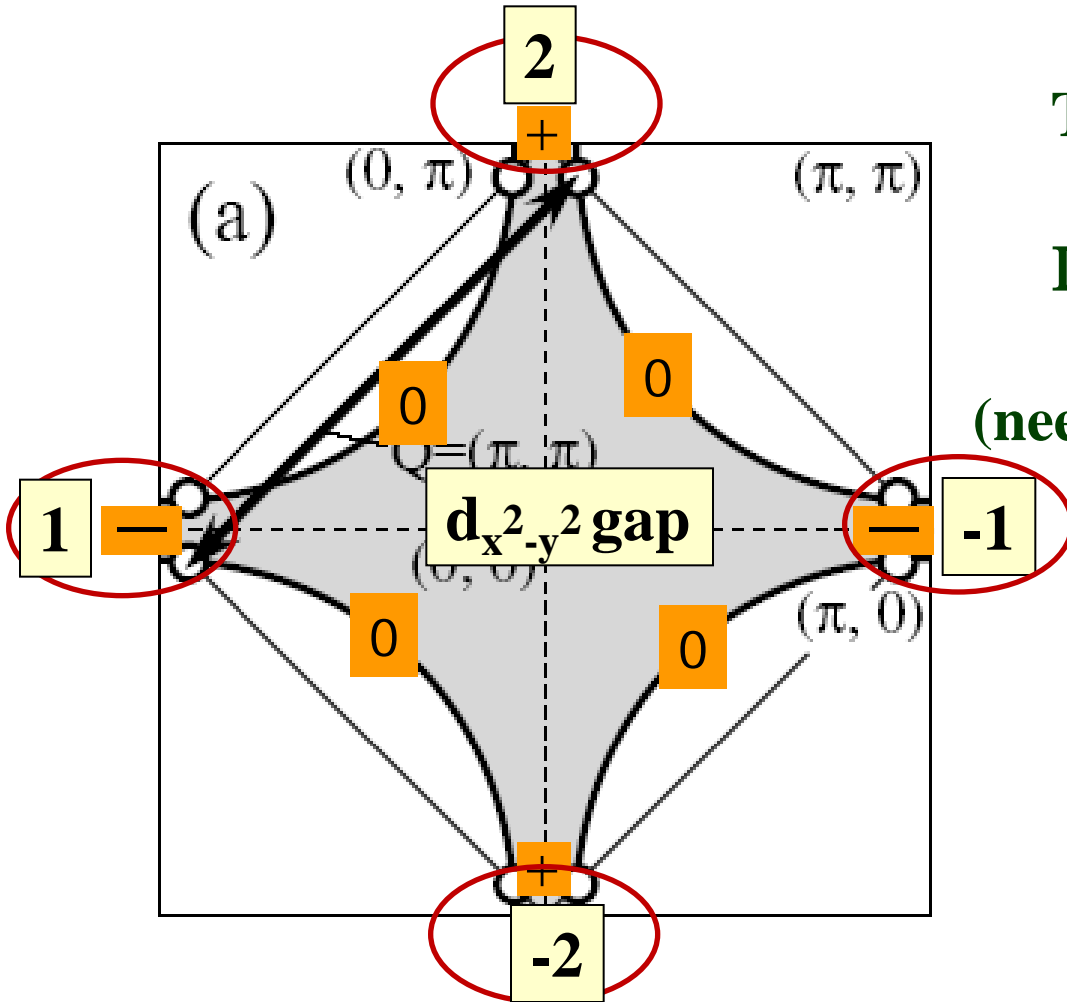


$$\Delta(1) = - \Delta(2)$$

Let's momentarily consider only a larger $\Gamma_0(1,2)$

Eqn. for a sc gap

$$\Delta(1) = - \int d q_2 \frac{\Delta(2)}{\sqrt{\Delta^2(2) + E^2(q_2)}} \Gamma_0(1,2)$$



The full solution is

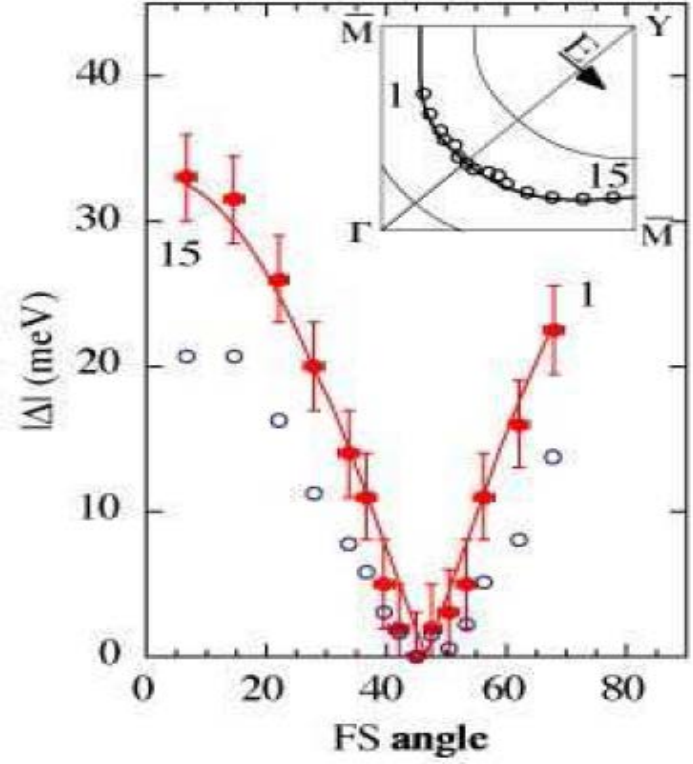
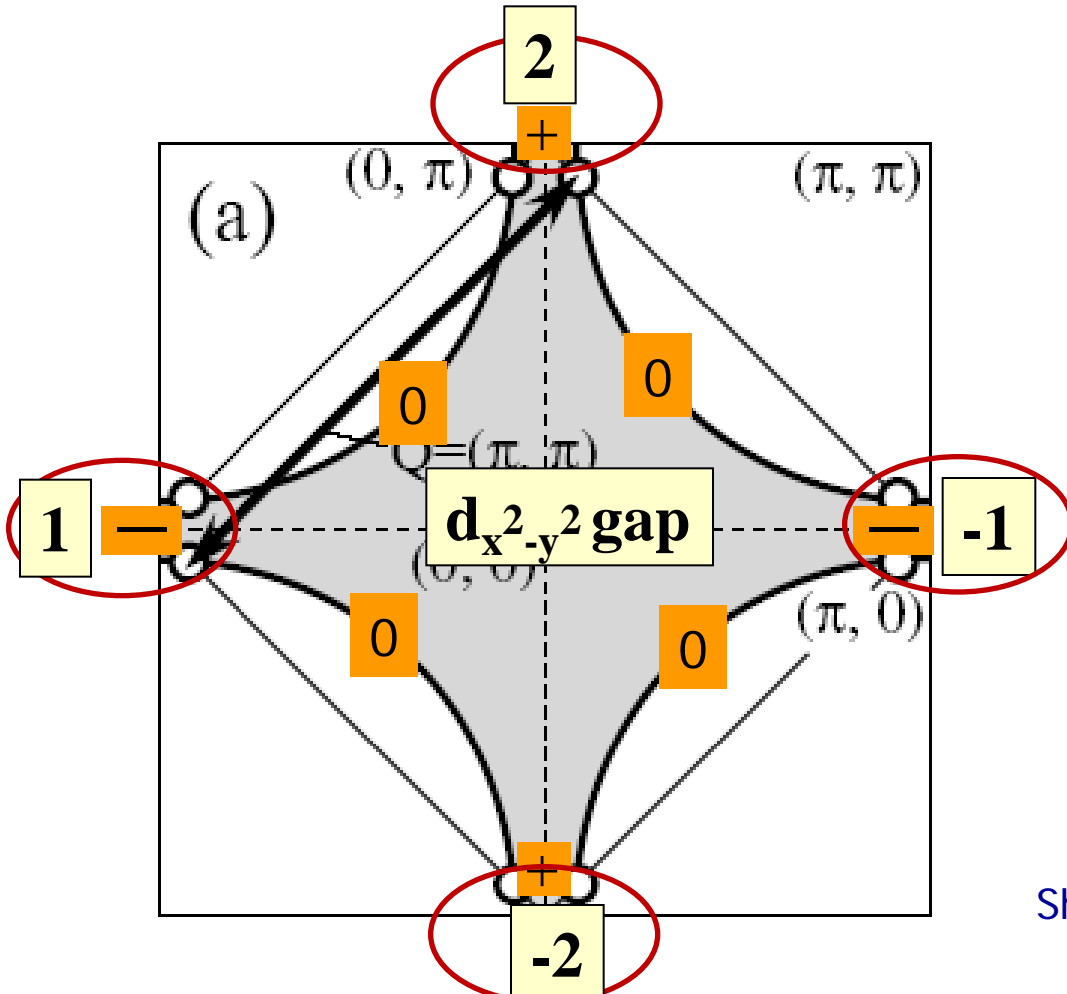
$$\Gamma_{d,0} = \Gamma_0(1,2) - \Gamma_0(1,1)$$

(need $\Gamma_{d,0} > 0$ for d-wave instability)

Let's momentarily consider only a larger $\Gamma_0(1,2)$

Eqn. for a sc gap

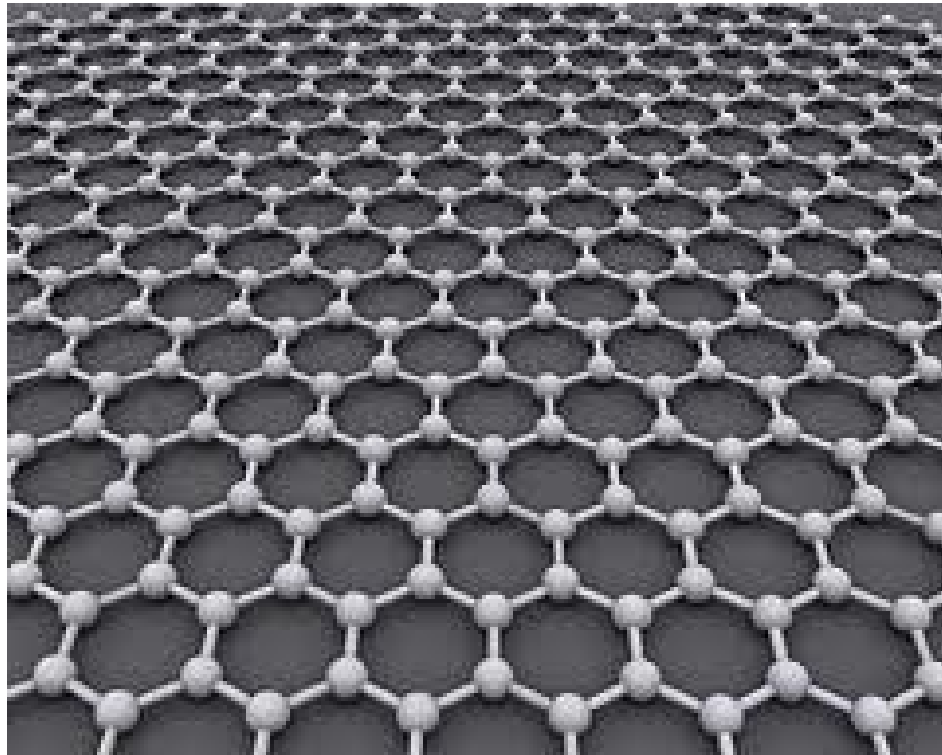
$$\Delta(1) = - \int d q_2 \frac{\Delta(2)}{\sqrt{\Delta^2(2) + E^2(q_2)}} \Gamma_0(1,2)$$



Shen, Dessau et al 93, Campuzano et al, 96

Doped graphene

Graphene -- an atomic-scale honeycomb lattice made of carbon atoms.



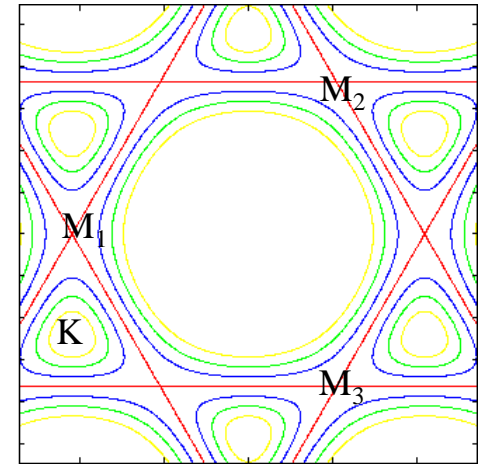
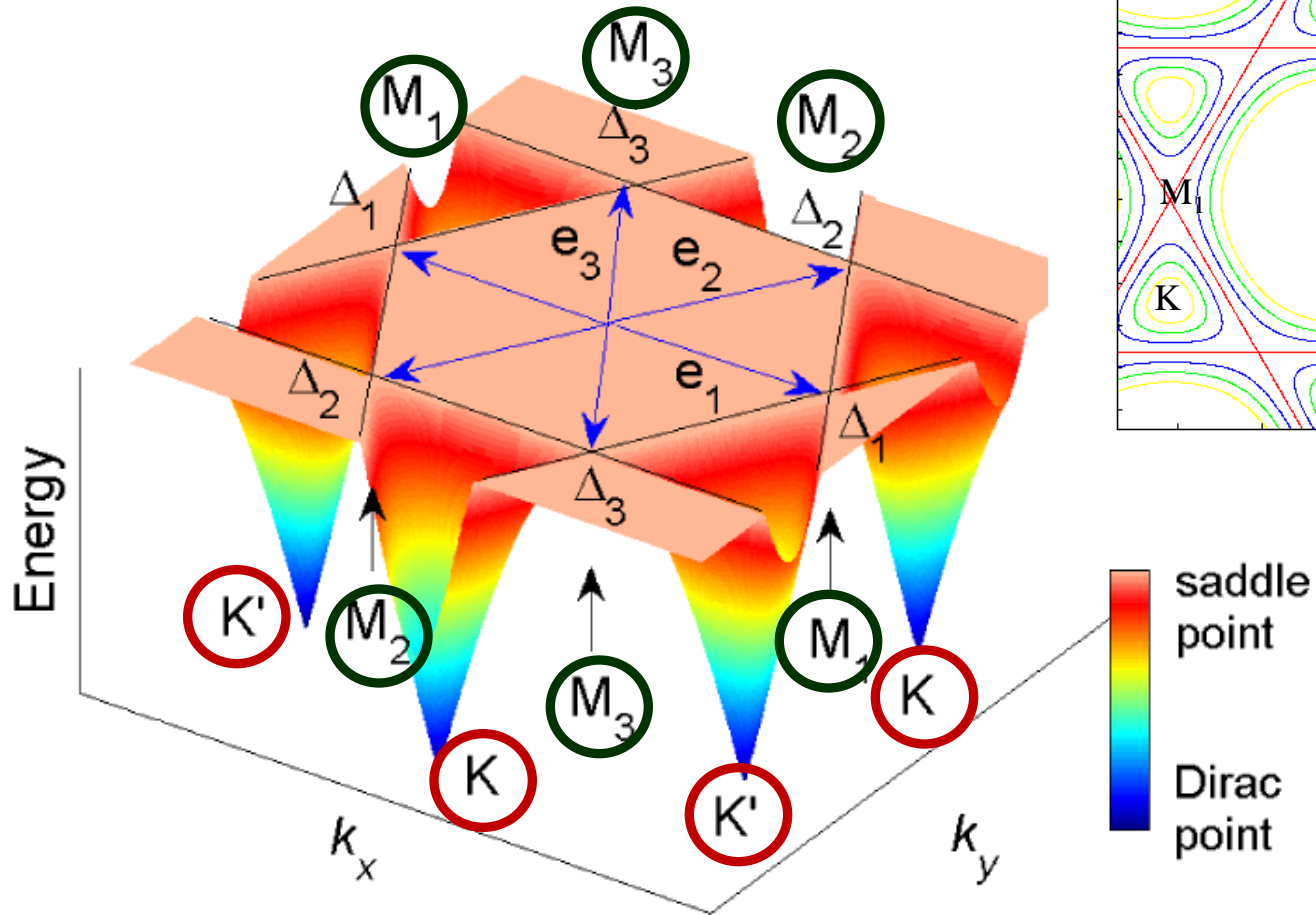
**Nobel Prize 2010
Andre Geim, Konstantin Novoselov**

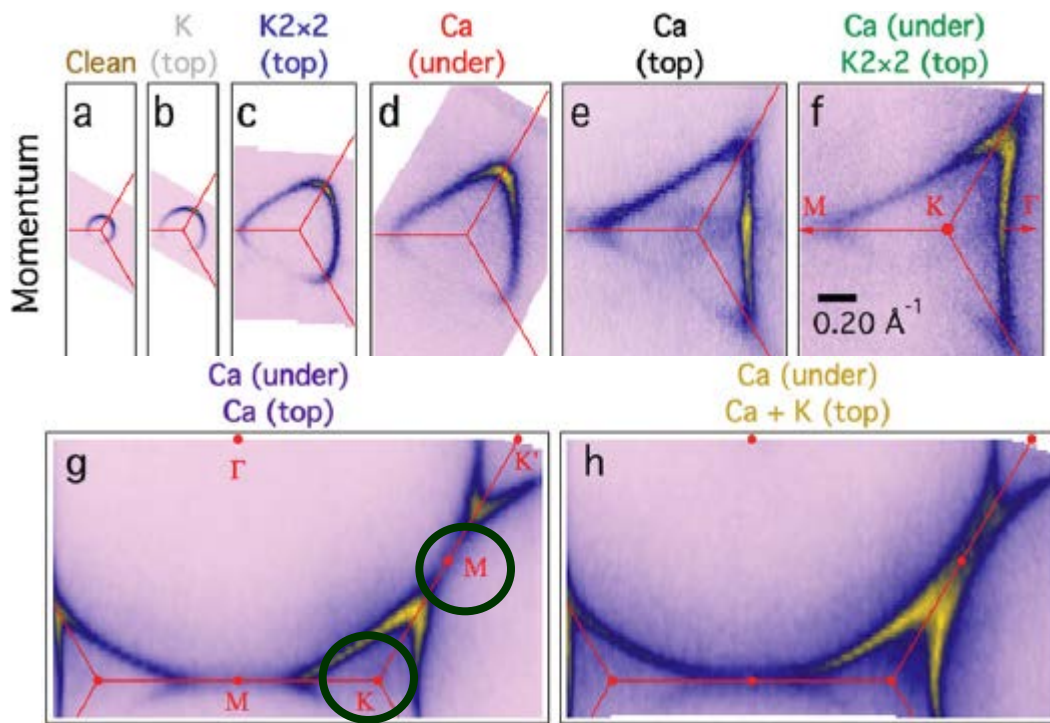


$$\varepsilon(\mathbf{k}) = t_1 \sqrt{1 + 4 \cos \frac{k_y \sqrt{3}}{2} \cos \frac{3k_x}{2} + 4 \cos^2 \frac{k_y \sqrt{3}}{2}} - \mu$$

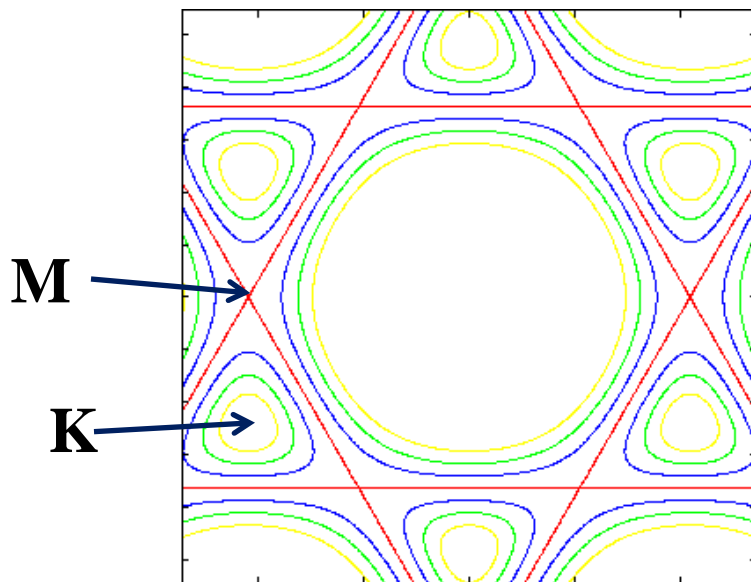
$\mu = 0$, Dirac points

$\mu = t_1$, van Hove points



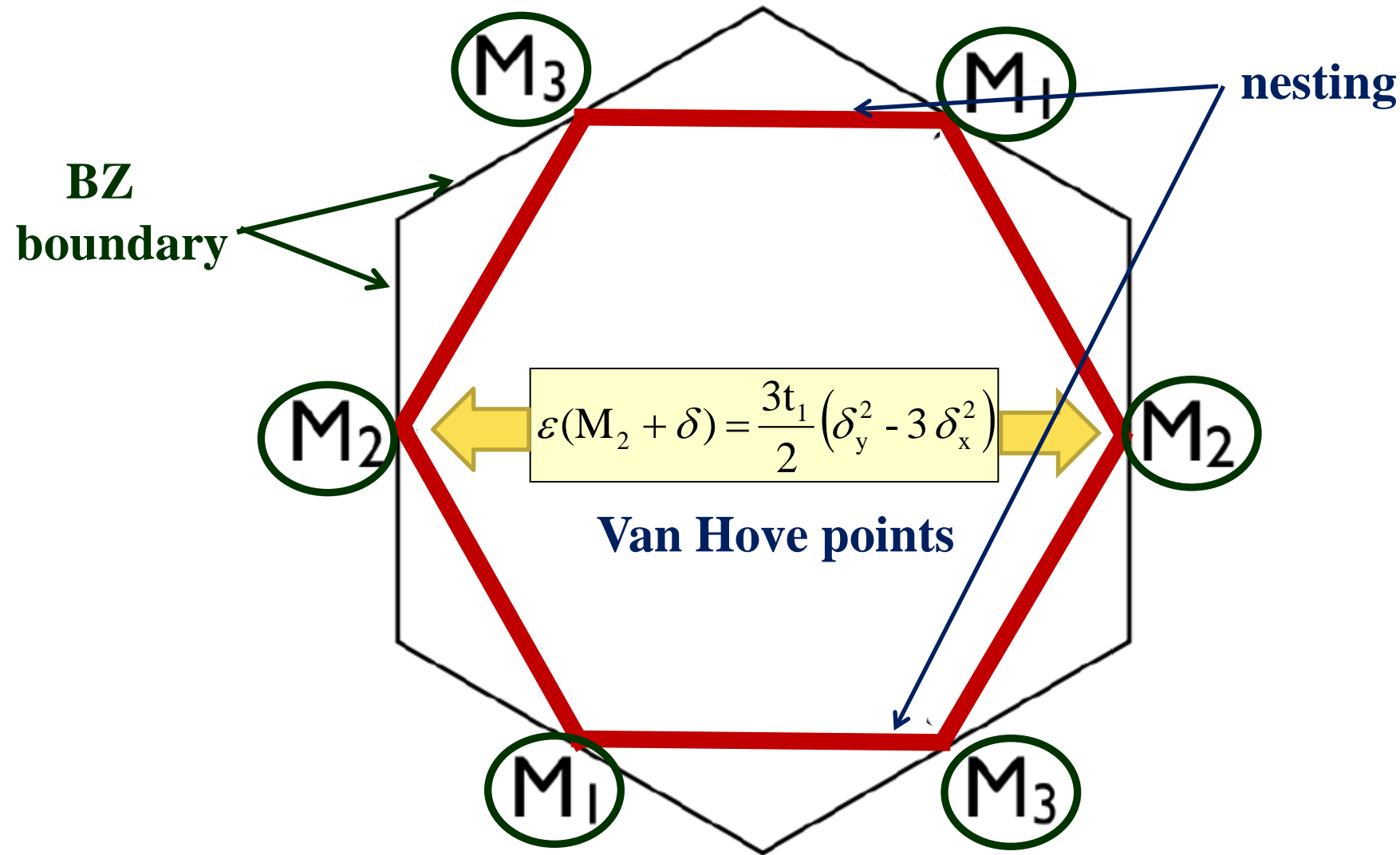


E. Rotenberg et al
PRL 104, 136803 (2010)

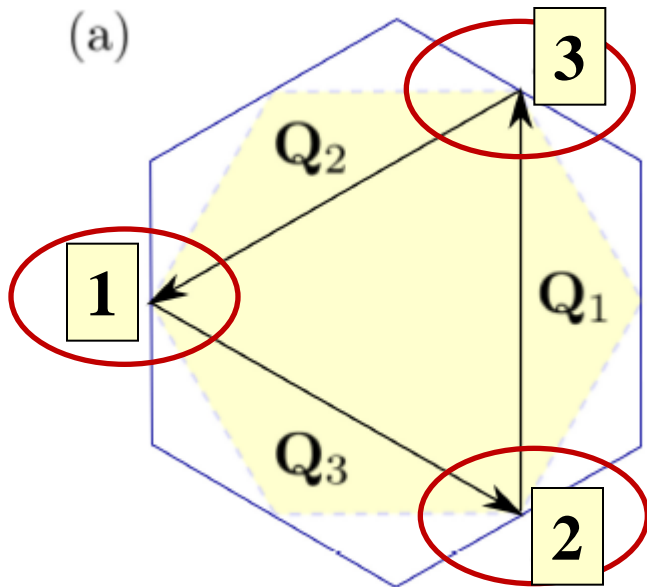


At van Hove doping

$$\varepsilon(\mathbf{k}) = t_1 \sqrt{1 + 4 \cos \frac{k_y \sqrt{3}}{2} \cos \frac{3k_x}{2} + 4 \cos^2 \frac{k_y \sqrt{3}}{2}} - t_1$$

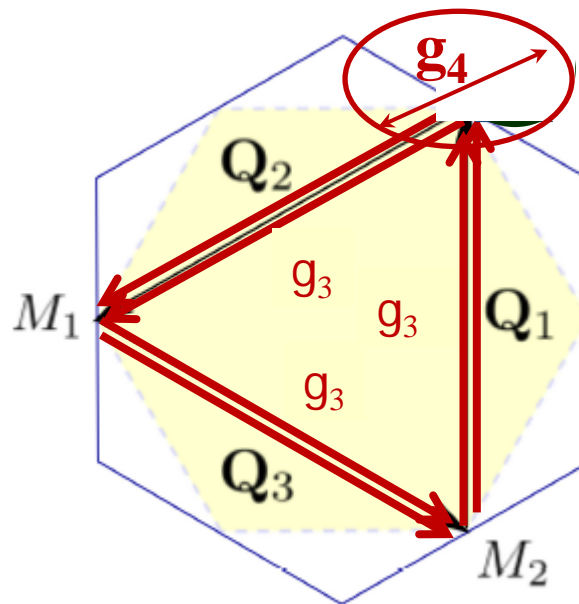


(a)

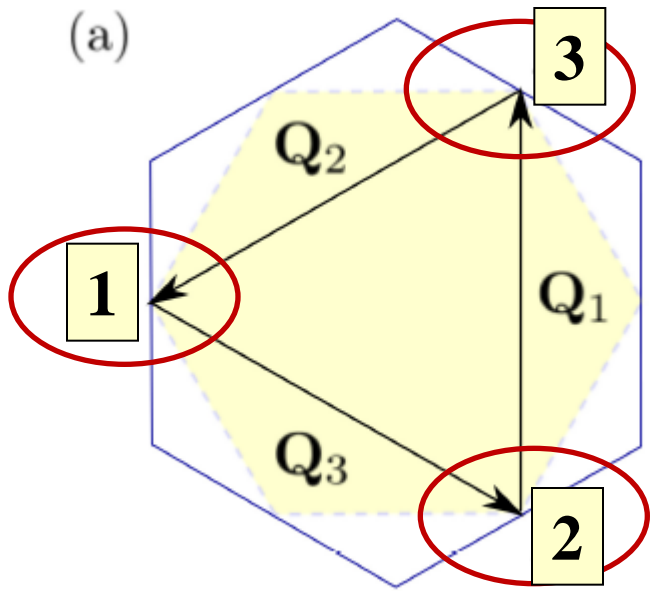


$$\Gamma_0(1,1) = \Gamma_0(2,2) = \Gamma_0(3,3) = \mathbf{g}_4$$

$$\Gamma_0(1,2) = \Gamma_0(2,3) = \Gamma_0(1,3) = \mathbf{g}_3$$



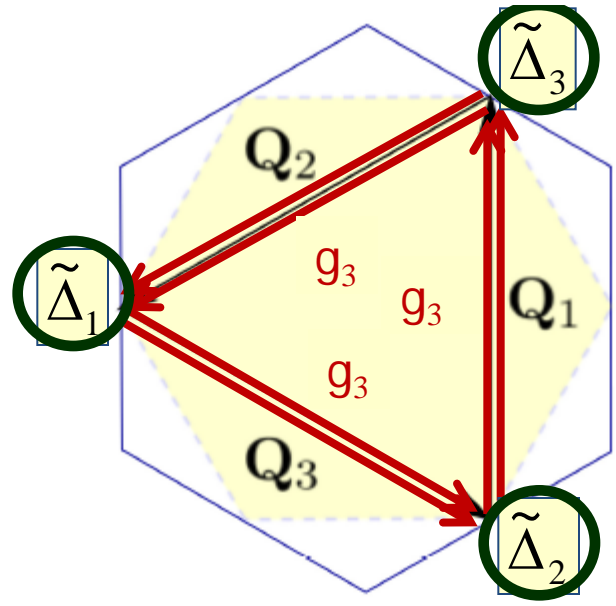
(a)



$$\Gamma_0(1,1) = \Gamma_0(2,2) = \Gamma_0(3,3) = \mathbf{g}_4$$

$$\Gamma_0(1,2) = \Gamma_0(2,3) = \Gamma_0(1,3) = \mathbf{g}_3$$

Eigenfunctions



$$\tilde{\Delta}_a = \frac{\tilde{\Delta}}{\sqrt{2}}(0, 1, -1), \quad \tilde{\Delta}_b = \sqrt{\frac{2}{3}}\tilde{\Delta}\left(1, -\frac{1}{2}, -\frac{1}{2}\right)$$

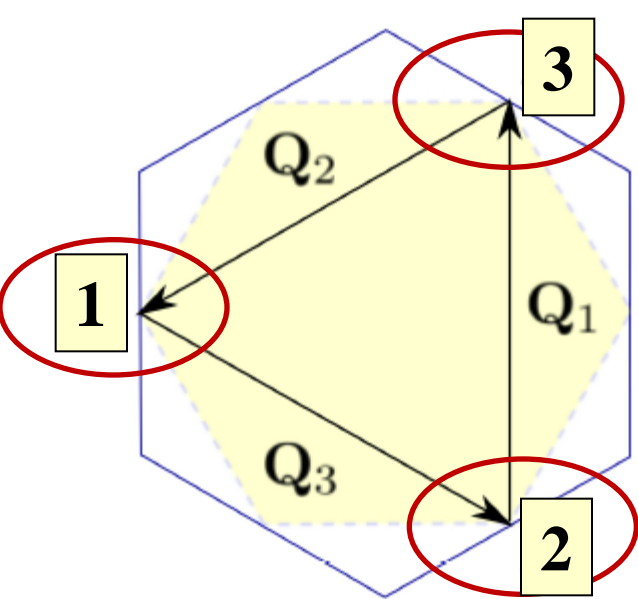
$$\tilde{\Delta}_c = \frac{\tilde{\Delta}}{\sqrt{3}}(1, 1, 1).$$

Eigenvalues

$$\Gamma_{c,0} = -g_4 - 2g_3$$

$$\Gamma_{a,b,0} = -g_4 + g_3$$

doubly degenerate solution



$$\Gamma_0(1,1) = \Gamma_0(2,2) = \Gamma_0(3,3) = g_4$$

$$\Gamma_0(1,2) = \Gamma_0(2,3) = \Gamma_0(1,3) = g_3$$

$$\Gamma_{c;0} = -g_4 - 2g_3, \quad \Gamma_{a,b;0} = -g_4 + g_3,$$

need $\Gamma > 0$ for pairing

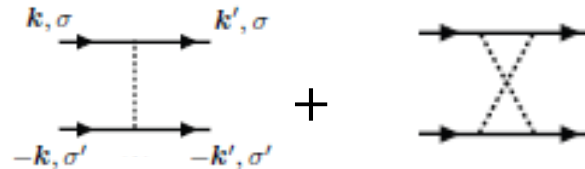
Do Kohn-Luttinger analysis:

Consider Hubbard U

To first order in U, $g_4 = g_3 = U$, and we only have a repulsive s-wave component $\Gamma_{c,0} < 0, \Gamma_{a,b,0} = 0$

To order U^2

$$\Gamma_0 =$$



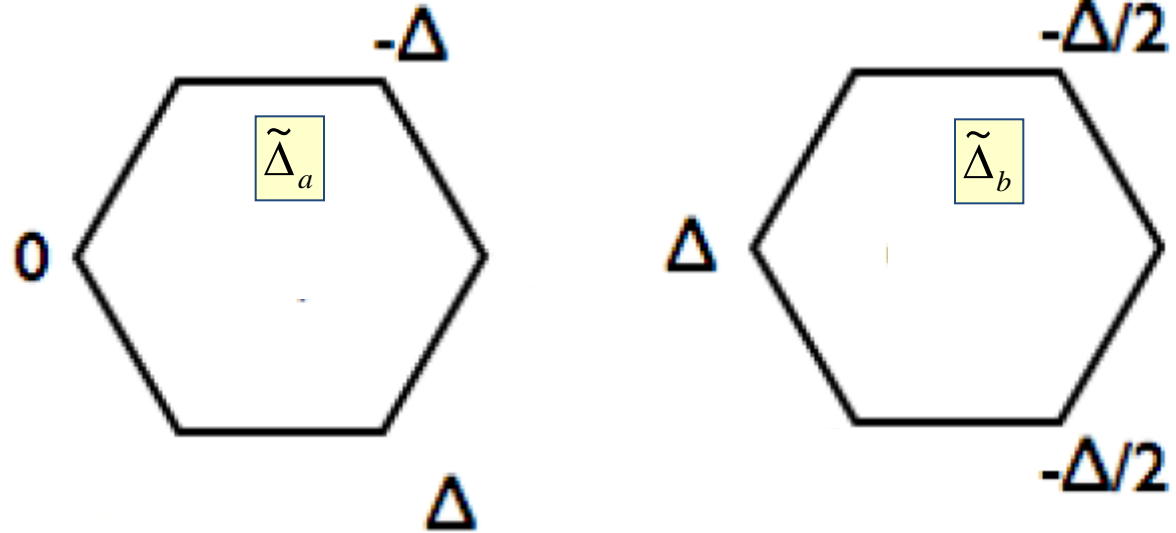
$$\Gamma_0(1,2) > \Gamma_0(1,1), \text{ i.e., } g_3 > g_4 \text{ and } \Gamma_{a,b;0} > 0$$

$$\Gamma_{a,b;0} > 0$$

doubly degenerate
solution for SC

$$\tilde{\Delta}_a = \frac{\Delta}{\sqrt{2}}(0, 1, -1)$$

$$\tilde{\Delta}_b = \sqrt{\frac{2}{3}}\Delta\left(1, -\frac{1}{2}, -\frac{1}{2}\right)$$



The two d-wave solutions are degenerate by symmetry

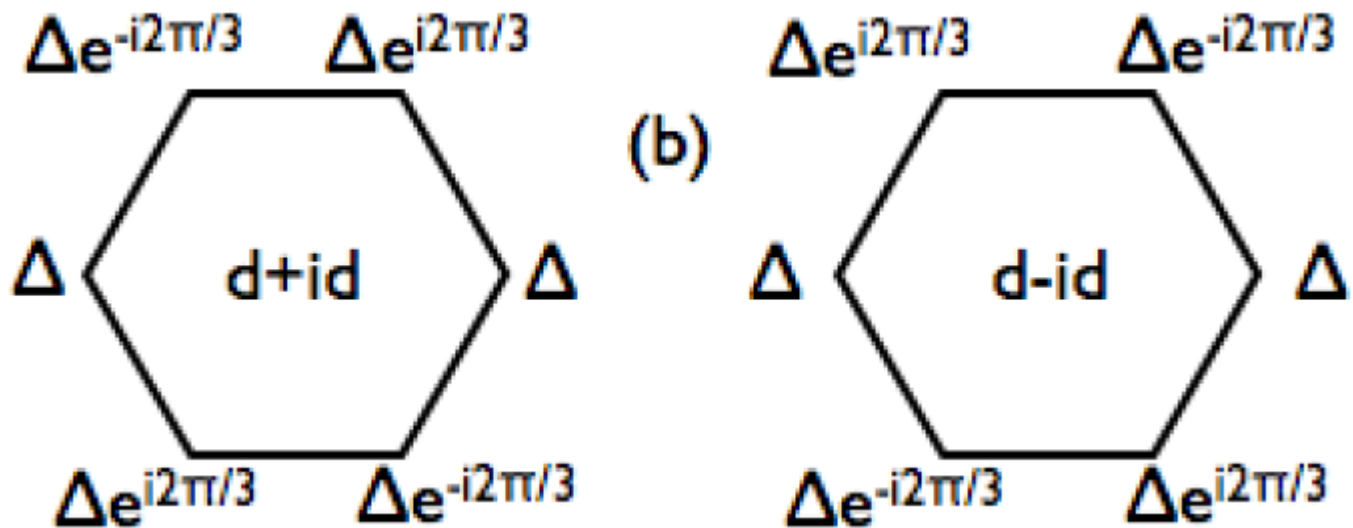
Gonzales

Landau-Ginzburg expansion

$$F = \alpha(T - T_c)(|\Delta_a|^2 + |\Delta_b|^2) + K_1(|\Delta_a|^2 + |\Delta_b|^2)^2 + K_2|\Delta_a^2 + \Delta_b^2|^2 + O(\Delta^6)$$

d+id state wins

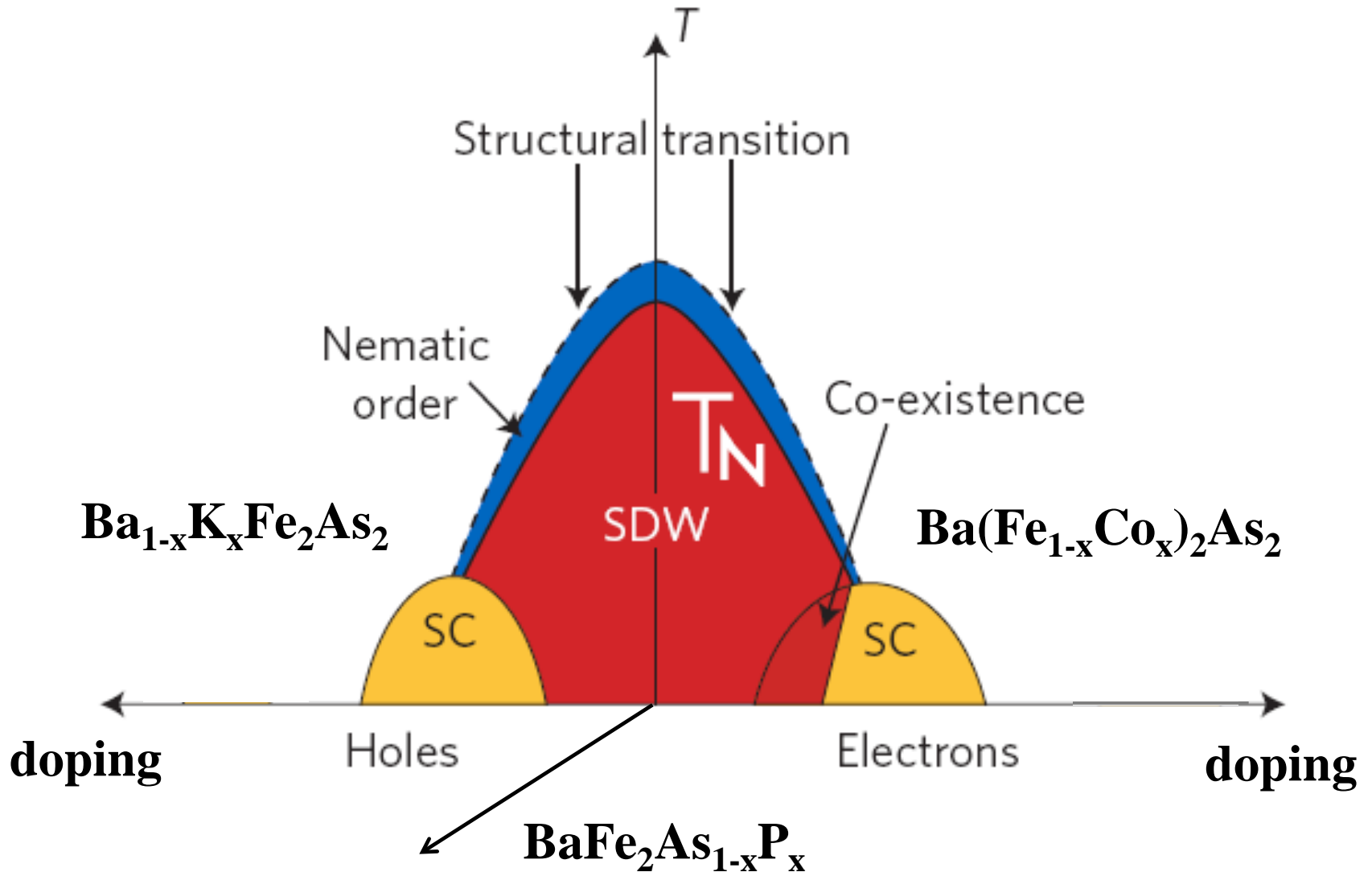
chiral superconductivity (phase winds up by 4π)



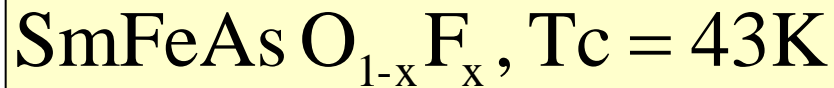
d+id state

chiral superconductivity (phase winds up by 4π)

Weakly/moderately doped systems:



New breakthrough in 2008: Fe-pnictides



Hideo Hosono, ITTech

Hideo Hosono

nature

International weekly journal of science

nature

International weekly journal of science

go Advanced search

Letter

Nature 453, 761-762 (5 June 2008) | [doi:10.1038/nature07904](#)

Superconductivity at

X. H. Chen¹, T. Wu¹

¹ Hefei National Laboratory for Physical Sciences at Microscale and Department of Physics, University of Science and Technology of China, Hefei, Anhui 230026, China

Correspondence to: X. H. Chen

nature

International weekly journal of science

Letter

Nature 459, 64-67 (7 May 2009) | [doi:10.1038/nature07904](#); Received 4 November 2008; Accepted 13 March 2009

Since the discovery of high-temperature superconductivity in the copper oxide superconductor La_{2-x}Nd_xFeAsO (ref. 1) and the iron pnictide superconductor LaO_{1-x}F_xFeAs (ref. 2), the search for new high-temperature superconductors has been devoted to exploring the Bardeen-Cooper-Schrieffer mechanism. Here we report the discovery of a new iron pnictide superconductor, SmFeAsO_{1-x}F_x, with a superconducting transition temperature T_c = 43 K (ref. 3). The superconductivity in SmFeAsO_{1-x}F_x is characterized by a large iron isotope effect, which is not observed in LaO_{1-x}F_xFeAs (ref. 2). Our results reveal a new class of high-temperature superconductors.

A large iron isotope effect in SmFeAsO_{1-x}F_x and Ba_{1-x}K_xFe₂As₂

R. H. Liu¹, T. Wu¹, G. Wu¹, H. Chen¹, X. F. Wang¹, Y. L. Xie¹, J. J. Ying¹, Y. J. Yan¹, Q. J. Li¹, B. C. Shi¹, W. S. Chu^{2,3}, Z. Y. Wu^{2,3} & X. H. Chen¹

1. Hefei National Laboratory for Physical Sciences at Microscale and Department of Physics, University of Science and Technology of China, Hefei, Anhui 230026, China
2. Beijing Synchrotron Radiation Facility, Institute of High Energy Physics, Chinese Academy of Sciences, Beijing 100049, China
3. National Synchrotron Radiation Laboratory, University of Science and Technology of China, Hefei 230026, China

Accepted 5 May 2008; Published online 4 June 2008

10.1038/nature07904

X. H. Chen [e-mail](#)

1218, USA

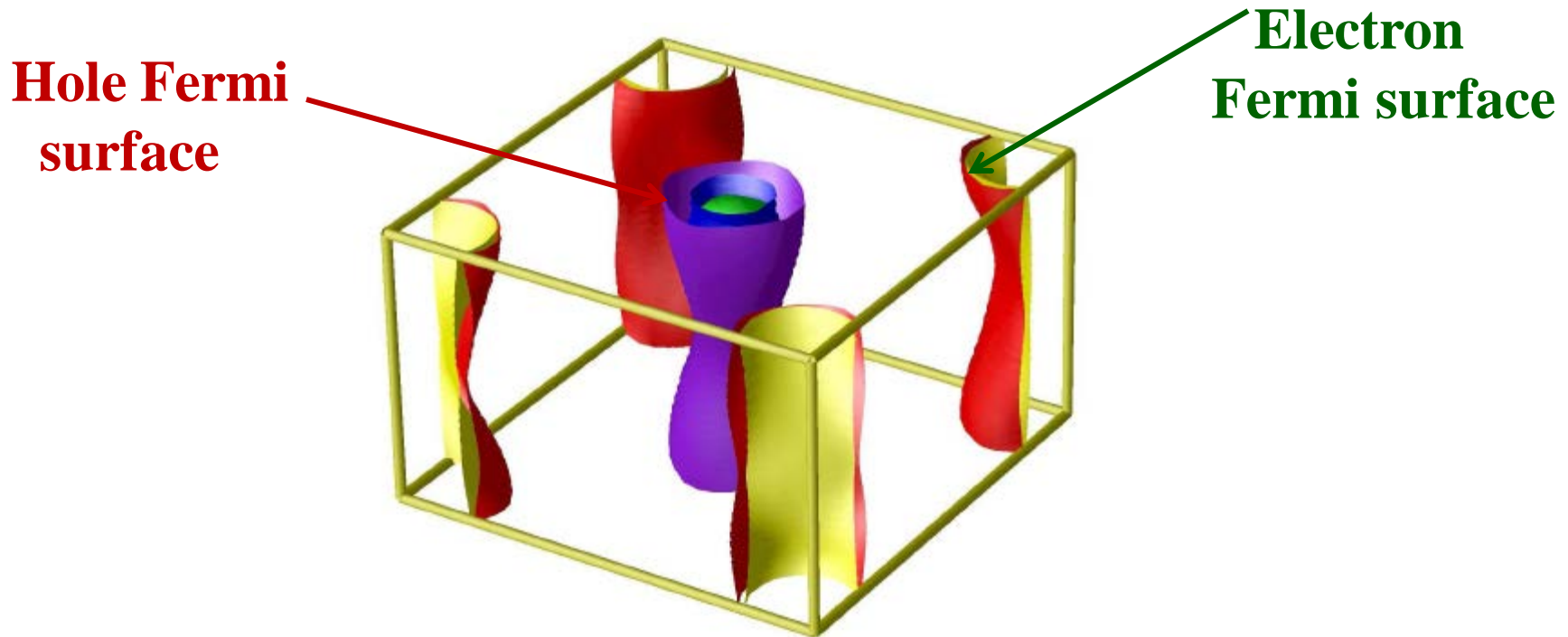
1218, USA

Search This journal go Advanced search

2. Hefei National Laboratory for Physical Sciences at Microscale and Department of Physics, University of Science and Technology of China, Hefei, Anhui 230026, China

Band theory calculations for Fe-pnictides agree with experiments

Lebegue, Mazin et al, Singh & Du, Cvetkovic & Tesanovic...



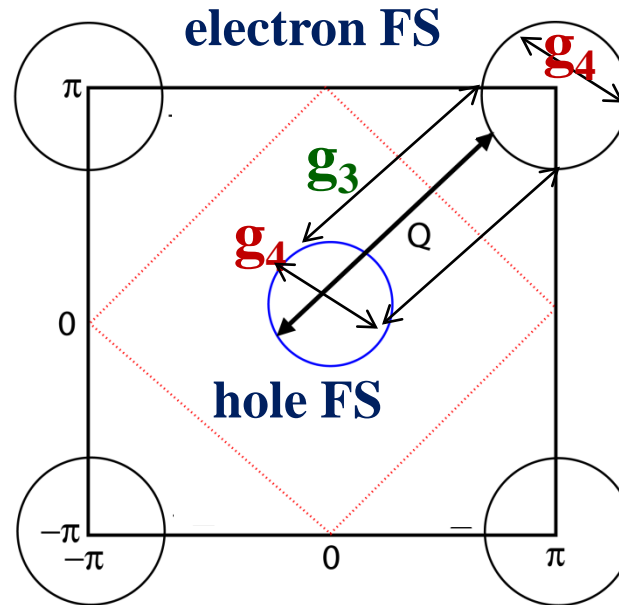
2-3 circular hole pockets around $(0,0)$

2 elliptical electron pockets around (π,π)
(folded BZ), or $(0,\pi)$ and $(\pi,0)$ (unfolded BZ)

A toy model: one hole and one electron pocket

Inter-pocket
repulsion g_4

Intra-pocket
repulsion g_3



Eigenfunctions

$$\Delta_a(1,1)$$

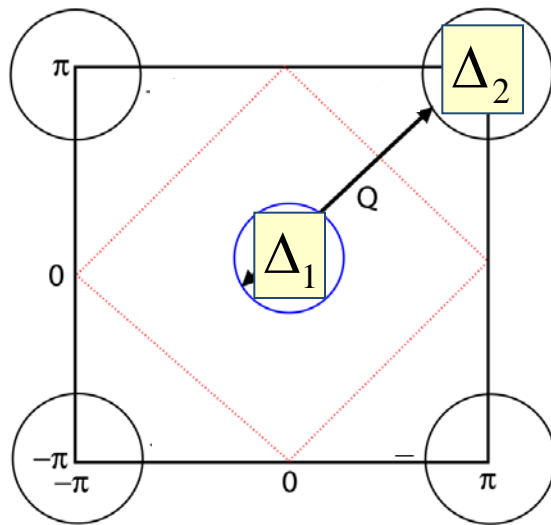
$$\Delta_b(1,-1)$$

Eigenvalues

$$\Gamma_{a,0} = -g_3 - g_4,$$

$$\Gamma_{b,0} = -g_4 + g_3,$$

$\Gamma > 0$ is needed for SC



$$\Gamma_{a,0} = -g_3 - g_4,$$

$$\Gamma_{b,0} = g_3 - g_4,$$

$\Gamma > 0$ is needed for SC

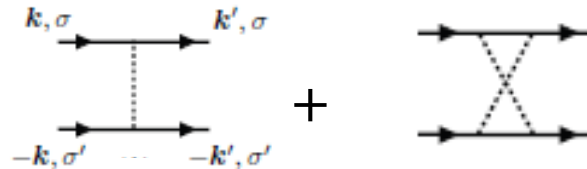
Do Kohn-Luttinger analysis:

As before, consider Hubbard U

To first order in U, $g_4 = g_3 = U$, and we only have a repulsive s-wave component $\Gamma_{a,0} < 0, \Gamma_{b,0} = 0$

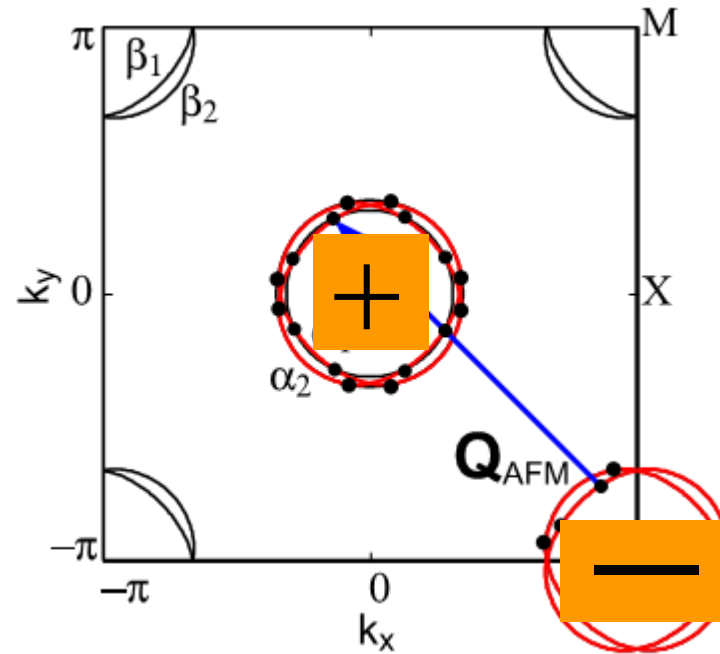
To order U^2

$$\Gamma_0 =$$



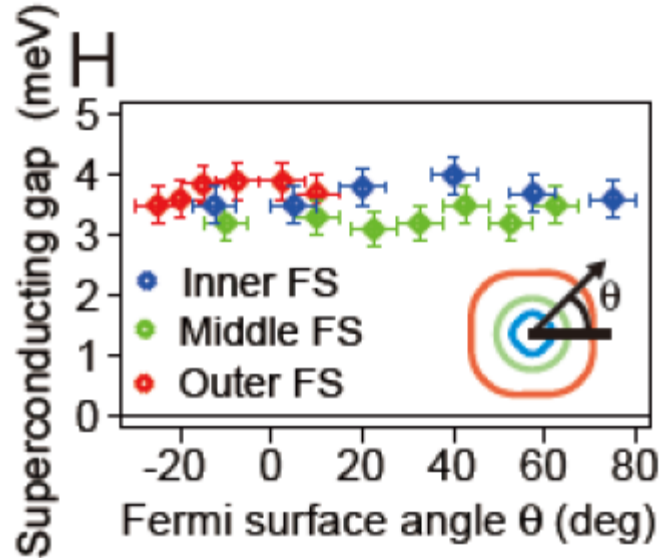
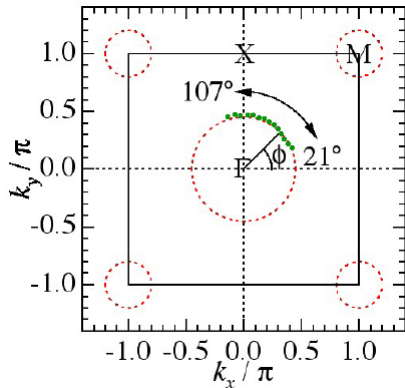
Inter-pocket repulsion g_3 exceeds intra-pocket repulsion g_4 , and $\Gamma_{b,0}$ becomes positive, i.e., the system is unstable towards a superconductivity with $\Delta = \Delta_b (1, -1)$.

Agterberg, Barzykin, Gorkov,
Mazin, Kuroki,



sign-changing s-wave gap (s^{+-})

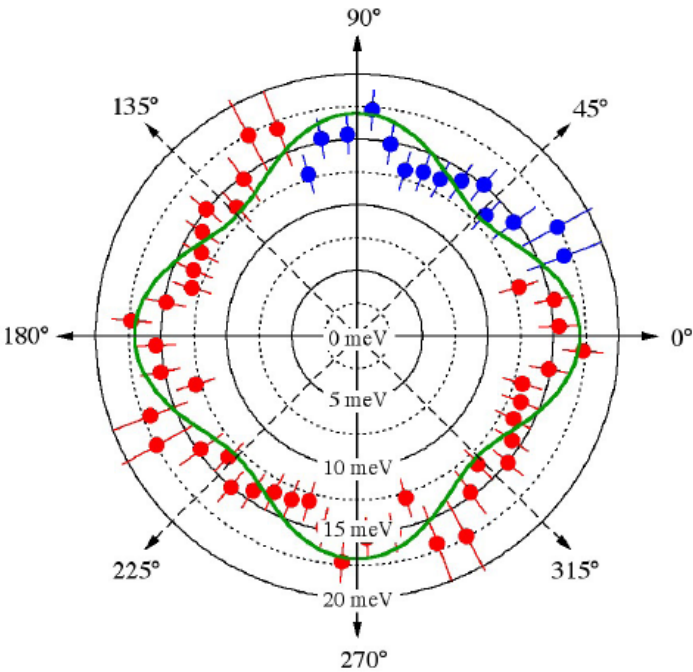
Data on the hole Fermi surfaces



laser
ARPES

T. Shimojima et al

Almost angle-independent gap
(consistent with s-wave)

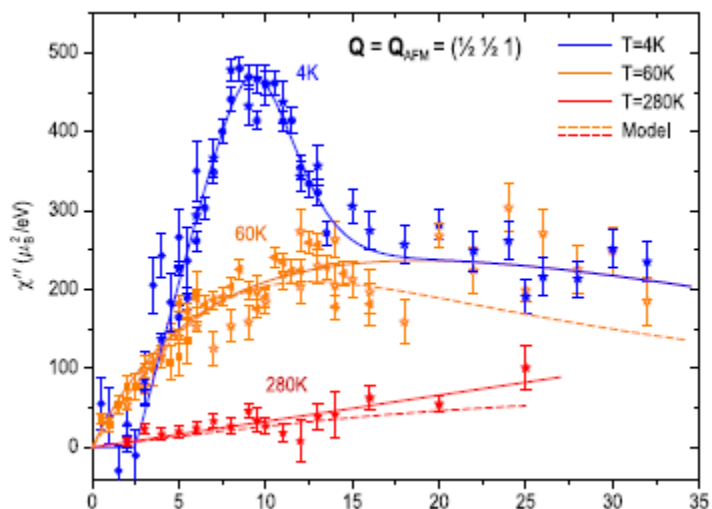


T. Kondo et al.

s₊₋ gap

Neutron scattering - resonance peak below 2D

BaFe_{1.85}Co_{0.15}As₂ ($T_c = 25$ K)

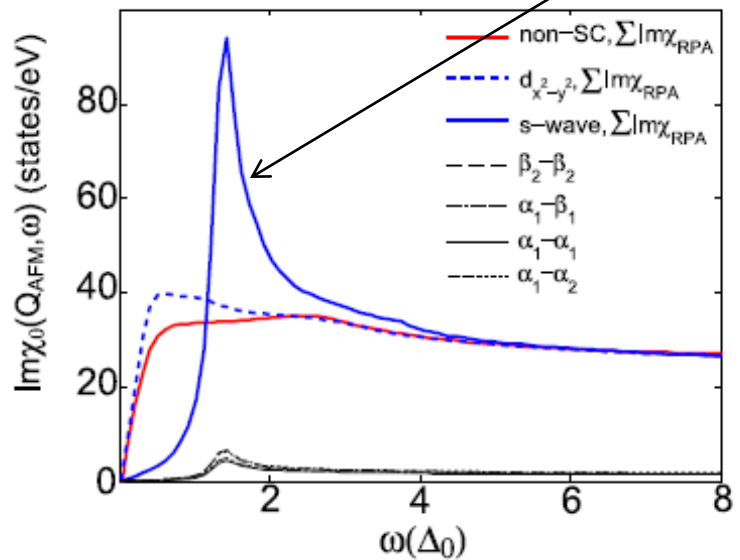


D. Inosov et al

s₊₋ gap

Theorists say :
one needs $\Delta_{\mathbf{k}+\pi} = -\Delta_{\mathbf{k}}$

The “plus-minus” gap
is the best candidate



Eremin &
Korshunov
Scalapino &
Maier...

Summary of Kohn-Luttinger physics:

At weak coupling, a fermionic system may undergo a superconducting instability, despite that the interaction is repulsive. The instability is never an ordinary s-wave

d-wave ($d_{x^2-y^2}$) pairing in the cuprates
d+id ($d_{x^2-y^2} + d_{xy}$) in doped graphene
s+- in Fe-pnictides

This story is a little bit too good to be true.

In all three cases, we assumed that bare interaction is a Hubbard U , in which case, in a relevant channel $\Gamma = 0$ to order U and becomes positive (attractive) to order U^2

In reality, to order U , $\Gamma = -U_{\text{small}} + U_{\text{large}}$ small (large) is a momentum transfer

For any realistic interaction, $U_{\text{small}} > U_{\text{large}}$

Then bare $\Gamma < 0$, and the second order term has to overcome it

Houston, we have a problem



**One possibility is to abandon weak coupling
(next lecture – spin fluctuation induced pairing)**

**Another is to keep couplings as weak, but see
whether we can additionally enhance KL terms
(this is what we will do now)**

The idea is that, if superconductivity competes with other potential instabilities, like SDW or CDW, there may be additional enhancement of the pairing interaction at large momentum transfer, and simultaneous reduction (and even sign change) of the pairing interaction at a small momentum transfer

$$\Gamma_{a,0} = -g_3 - g_4,$$

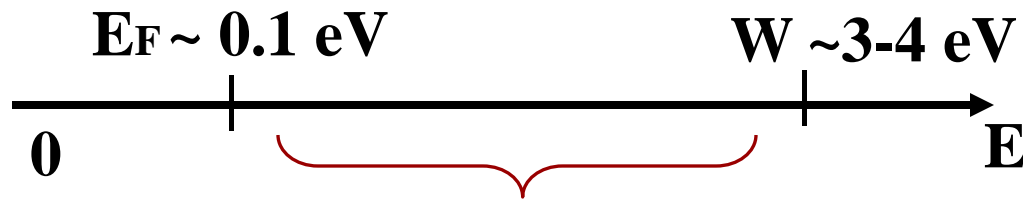
$$\Gamma_{b,0} = g_3 - g_4,$$

$\Gamma > 0$ is needed for SC

Consider Fe-pnictides as an example

g_3 and g_4 are bare interactions, at energies of a bandwidth

For SC we need interactions at energies smaller than the Fermi energy



Couplings flow due to renormalizations in particle-particle and particle-hole channels

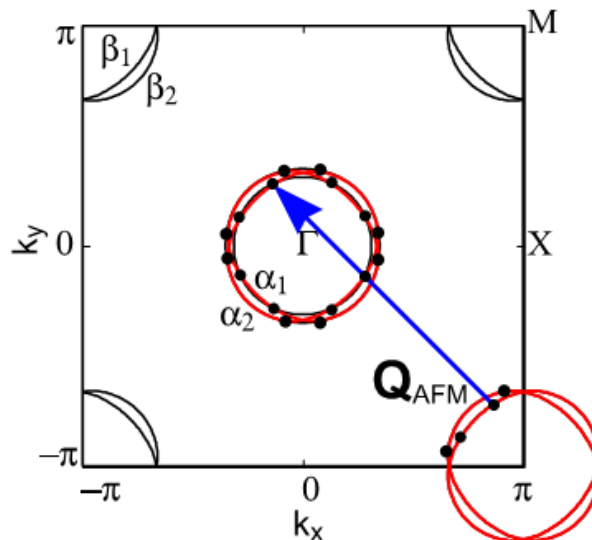
Suppose that hole and electron pockets are identical

$$\mathcal{E}_k^h = -\mathcal{E}_{k+Q}^e$$

Renormalizations in particle-particle and particle-hole channels are both logarithmically singular

particle-particle channel – Cooper logarithm

particle-hole channel – logarithm due to nesting

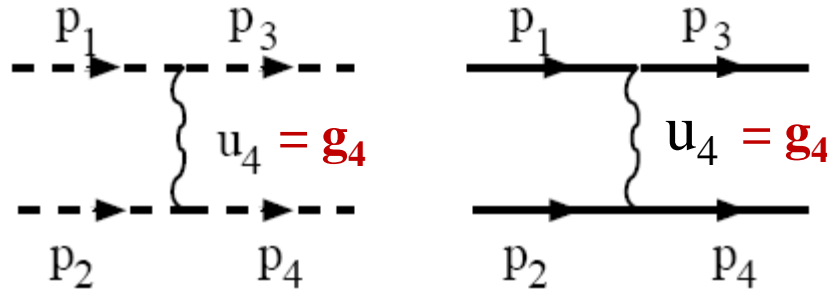


$$= \iint_T \frac{d\omega d\epsilon_k}{\omega^2 + \epsilon_k^2} = \log \frac{E_F}{T}$$

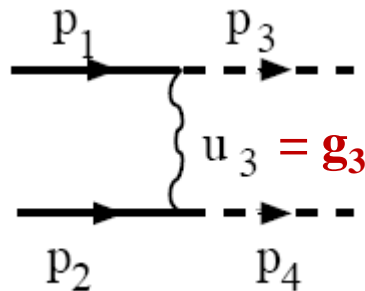
Then we have to treat particle-particle (SC) and particle-hole channels on equal footings

Introduce all relevant couplings between low-energy fermions

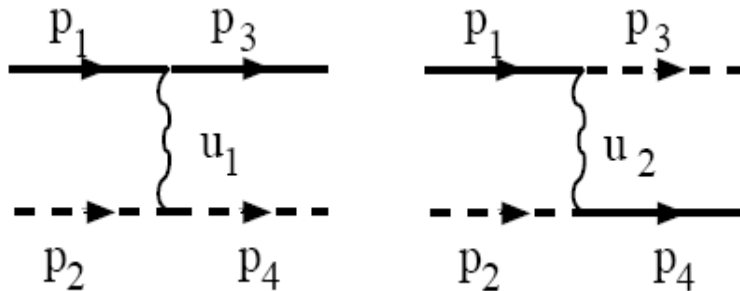
With apologies, I will label interactions as u instead of g



Intra-pocket repulsion



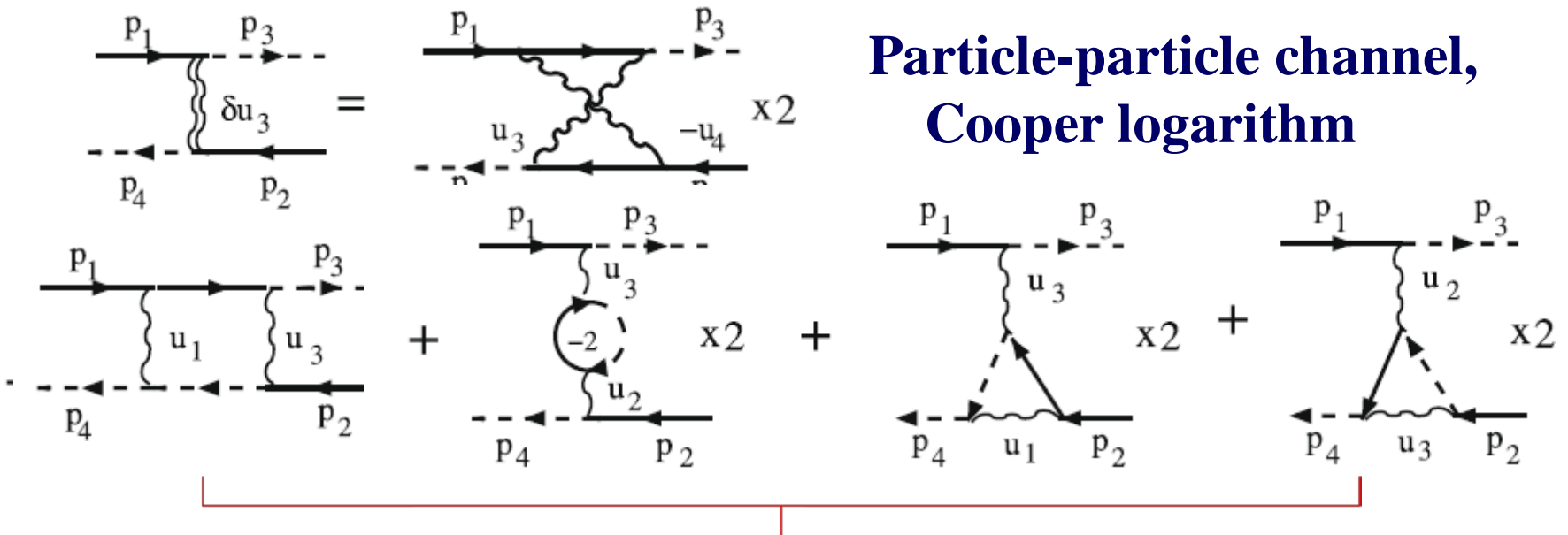
Inter-pocket repulsion



Inter-pocket forward and backward scattering

We need enhancement of u_3 relative to u_4 for superconductivity

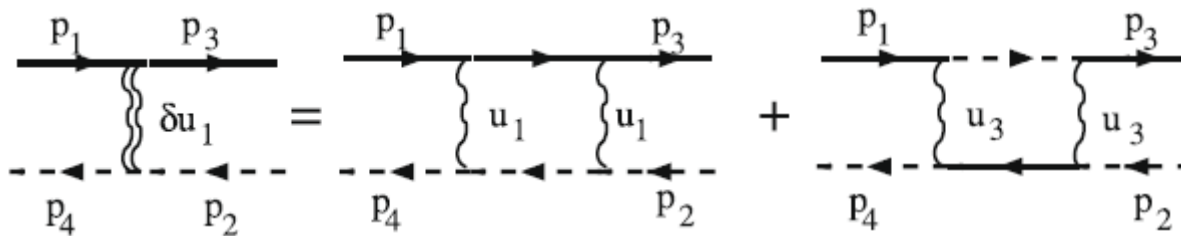
Renormalization of u_3



Particle-particle channel,
Cooper logarithm

Kohn-Luttinger diagrams, “nesting logarithms”

Renormalization of u_1



Also contains “nesting logarithms”

$$\dot{u}_1 = u_1^2 + u_3^2$$

$$\dot{u}_2 = 2u_2(u_1 - u_2)$$

$$\dot{u}_3 = u_3(4u_1 - 2u_2 - 2u_4)$$

$$\dot{u}_4 = -u_3^2 - u_4^2$$

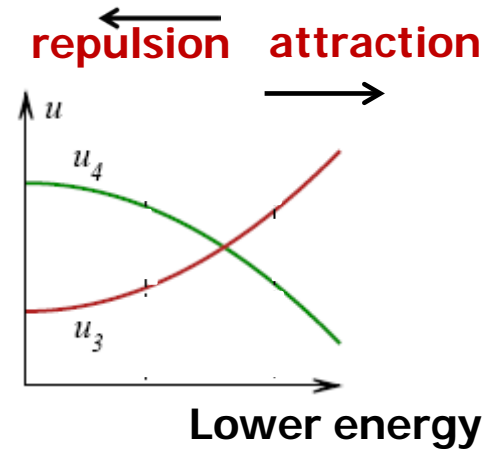
One-loop parquet RG

$$\dot{u}_1 = u_1^2 + u_3^2$$

$$\dot{u}_2 = 2u_2(u_1 - u_2)$$

$$\dot{u}_3 = 2u_3(2u_1 - u_2 - u_4)$$

$$\dot{u}_4 = -u_3^2 - u_4^2$$



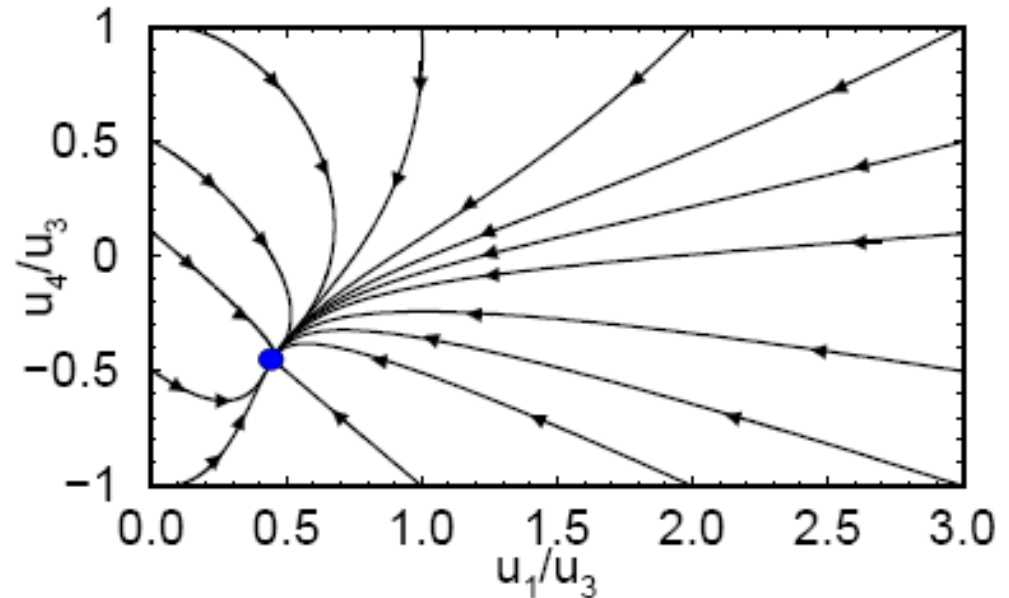
One-loop parquet RG

$$\dot{u}_1 = u_1^2 + u_3^2$$

$$\dot{u}_2 = 2u_2(u_1 - u_2)$$

$$\dot{u}_3 = 2u_3(2u_1 - u_2 - u_4)$$

$$\dot{u}_4 = -u_3^2 - u_4^2$$



The fixed point: the pair hopping term u_3 is the largest

$$u_1 = -u_4 = \frac{|u_3|}{\sqrt{5}}, u_2 \propto |u_3|^{1/3}$$

Over-screening: intraband interaction u_4 changes sign and becomes attractive below some scale.

We can re-write parquet RG equations as equations for density-wave and superconducting vertices

Super-conductivity

$$\Delta_{sc}^f \begin{array}{c} \nearrow \\ \searrow \end{array} = \Delta_{sc}^f \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} u_4 + \Delta_{sc}^c \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} u_3$$

Spin-density wave

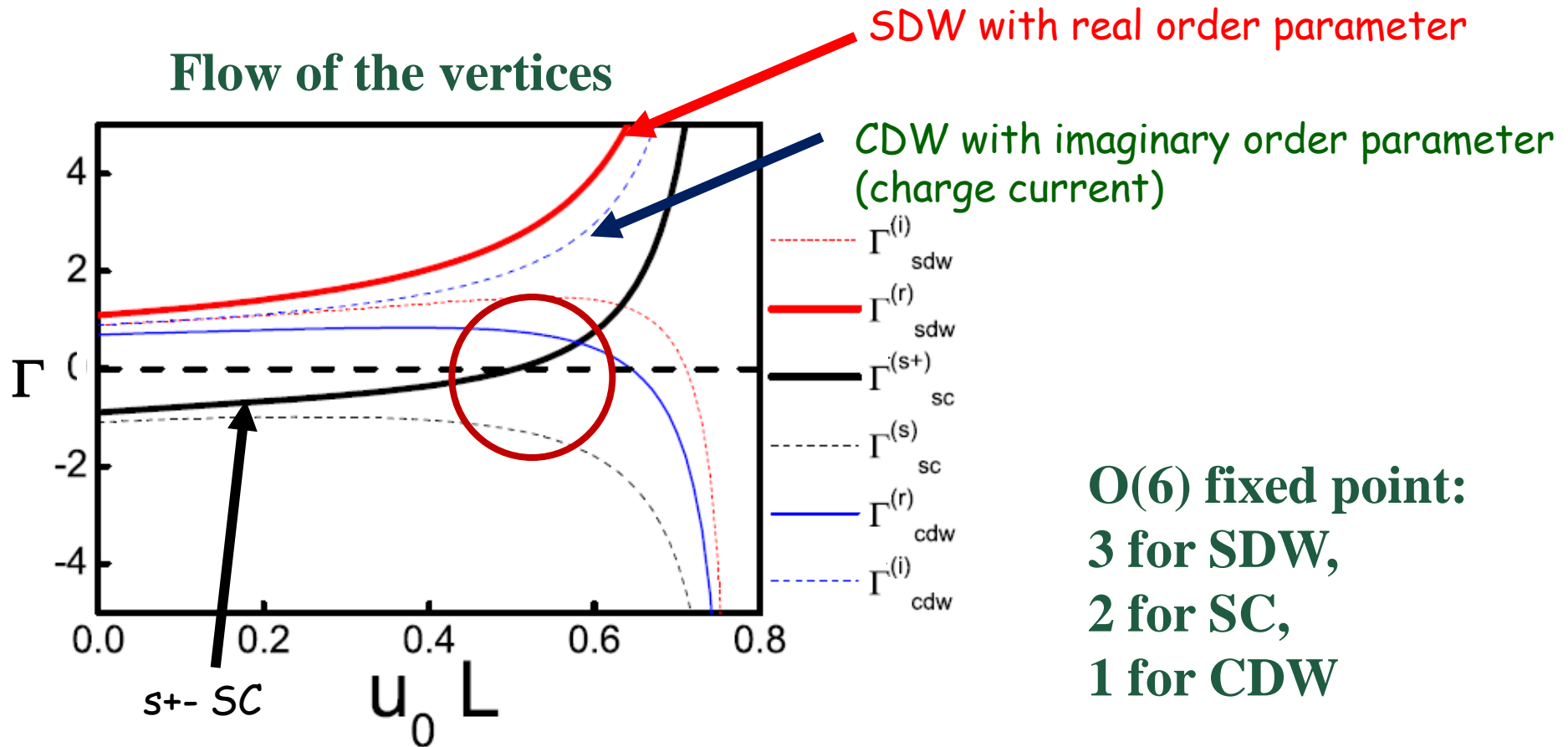
$$\Delta_{SDW} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} \beta \\ \sigma_{\alpha\beta}^i \\ \alpha \end{array} = \Delta_{SDW} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} \beta \\ \sigma_{\alpha\beta}^i \\ \alpha \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} u_1 + \Delta_{SDW}^* \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} \beta \\ \sigma_{\alpha\beta}^i \\ \alpha \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} u_3$$

Charge-density wave

$$\Delta_{CDW} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} \beta \\ \delta_{\alpha\beta} \\ \alpha \end{array} = \Delta_{CDW} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} \beta \\ \delta_{\alpha\beta} \\ \alpha \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} u_1 + \Delta_{CDW} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} u_2 \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \\ \Delta_{CDW}^* \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} u_3 + \Delta_{CDW}^* \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} u_3 \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array}$$

One-loop RG Flow - all channels

Flow of the vertices



At some scale, generated by the system, $s+- SC$ vertex changes sign and becomes attractive

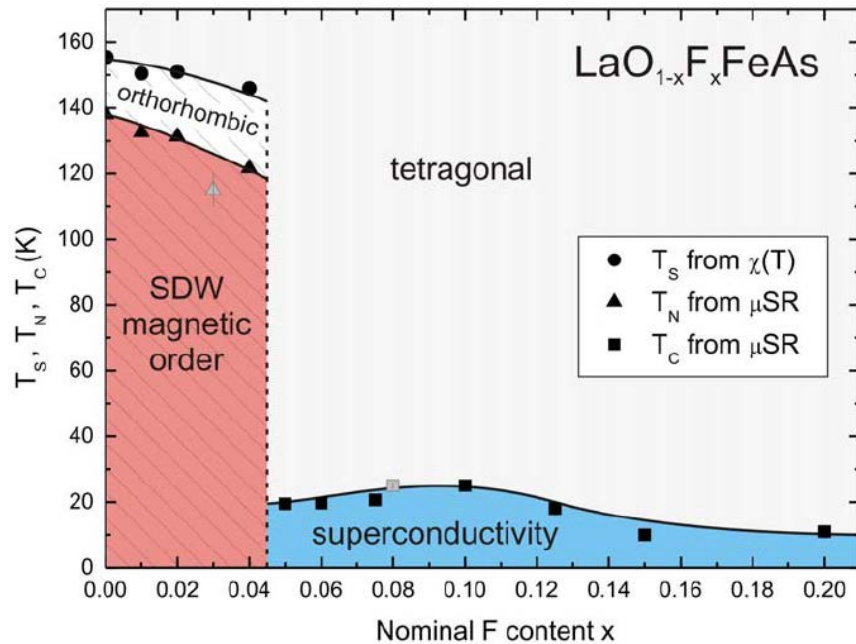
Lower boundary for parquet RG is the Fermi energy, E_F

Below E_F – decoupling between SDW and SC channels

$$\frac{d\Gamma_j}{dL} = \Gamma_j^2$$

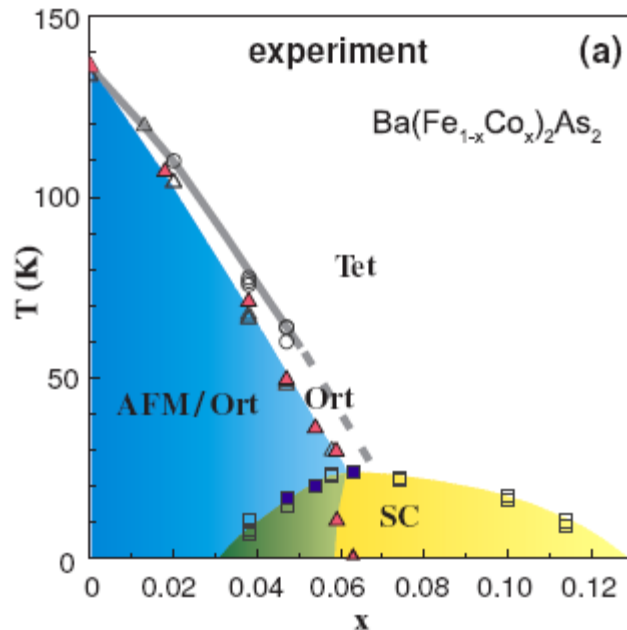
Boundary values : $u_i (E \sim E_F) = u_i^R$
 $\Gamma_{SDW} = u_1^R + u_3^R$, $\Gamma_{SC} = u_3^R - u_4^R$

Whichever vertex is the larger by magnitude at E_F , wins



**Perfect nesting –
SDW wins**

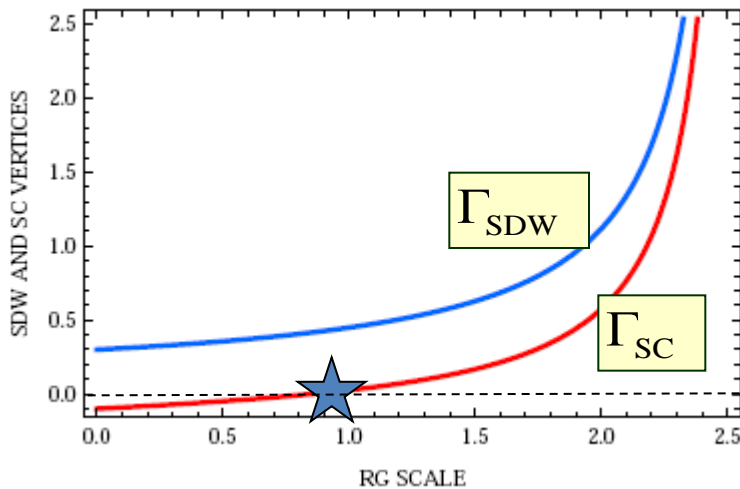
**Non-perfect nesting –SDW
vertex remains the strongest,
but the SDW instability is
cut, and s⁺ SC wins**



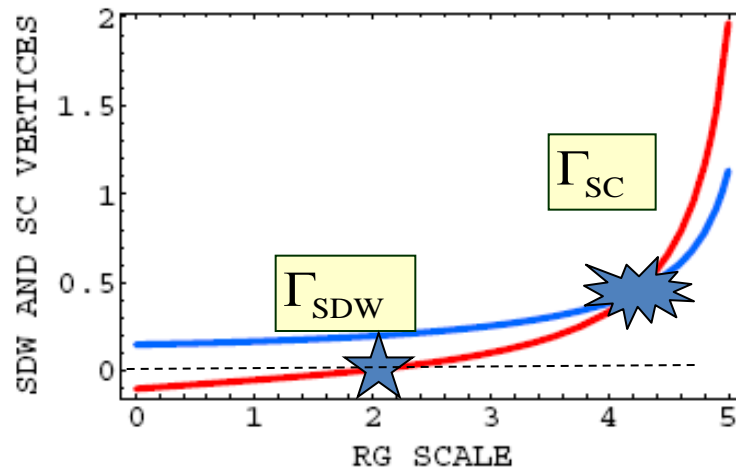
**It is essential that Γ_{SC} is
already attractive**

In real systems, there are 2-3 hole and 2 electron Fermi surfaces

1 hole and 1 electron FSs

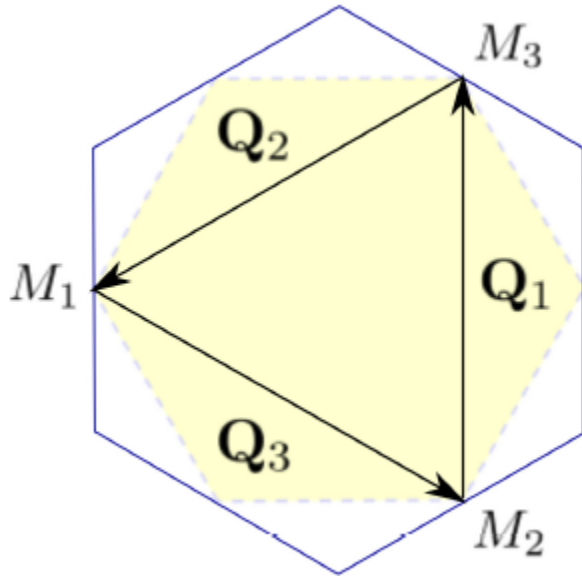


2 hole and 2 electron FSs



**SC vertex can overshoot SDW vertex,
in which case SC becomes the leading instability**

A very similar behavior in doped graphene



Because of van-Hove points

- superconducting susceptibility gets an extra boost:

$$\Pi_{\text{pp}}(0) \propto \log^2 \frac{\Lambda}{T}$$

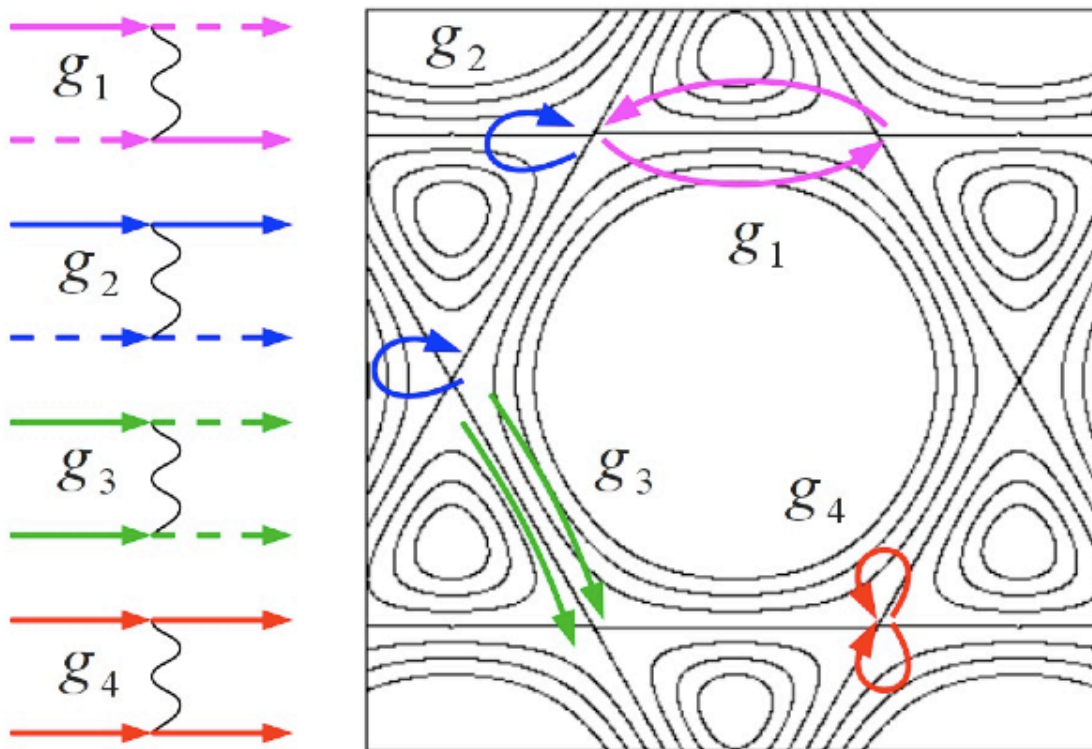
Because of nesting and van-Hove points

- density-wave susceptibilities at Q_i become equivalent to SC susceptibility

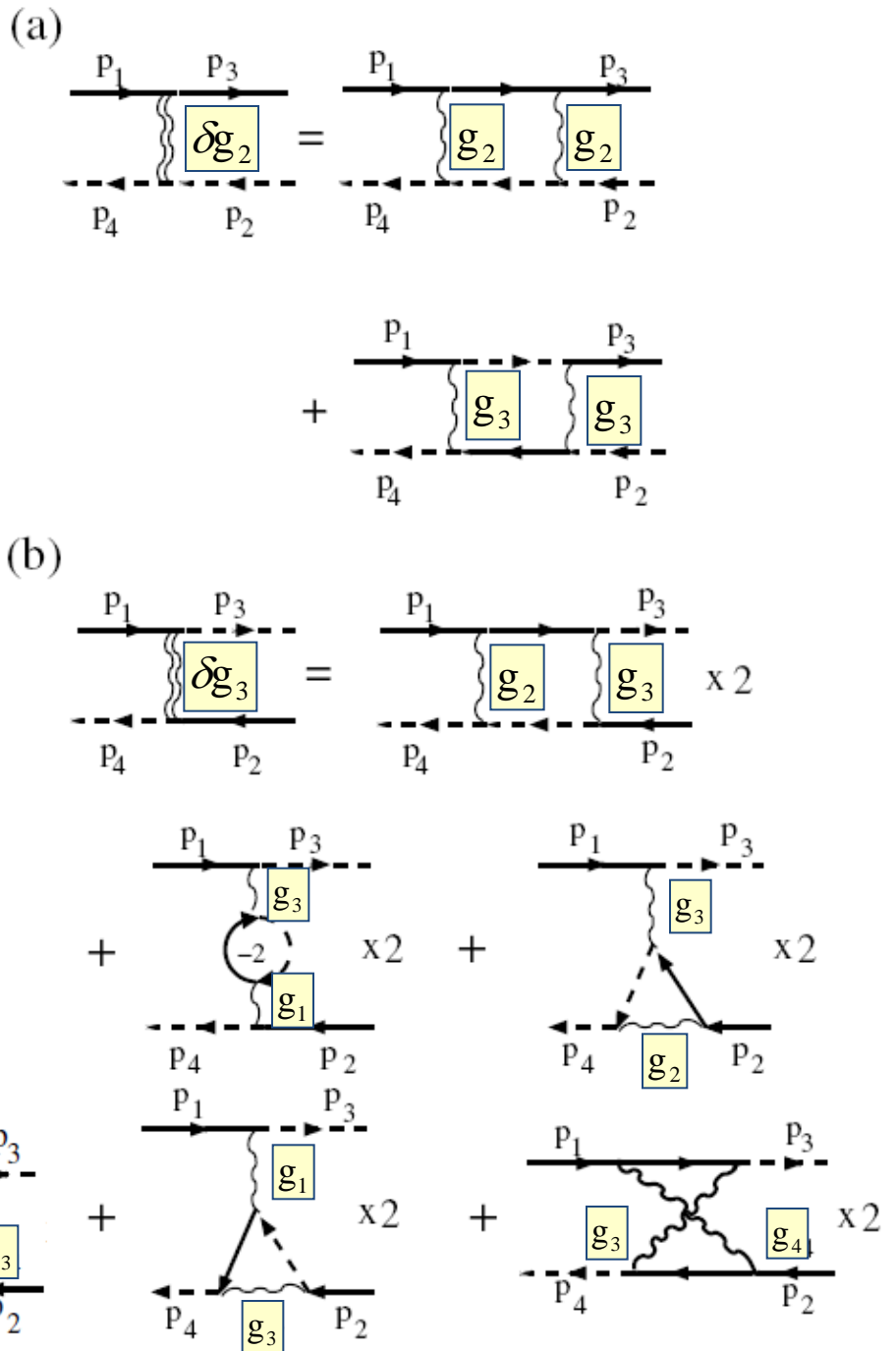
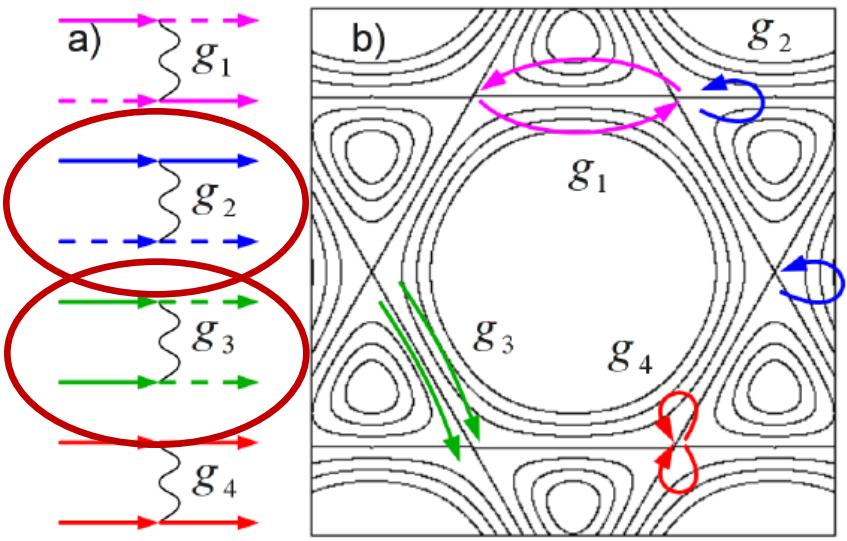
$$\Pi_{\text{ph}}(Q_i) \propto \log^2 \frac{\Lambda}{T}$$

Like before, we introduce all possible interactions between low-energy fermions

$$H_{two-particle} = \sum_{\alpha, \beta=1}^3 \frac{g_1}{2} \psi_{\alpha}^{\dagger} \psi_{\beta}^{\dagger} \psi_{\alpha} \psi_{\beta} + \frac{g_2}{2} \psi_{\alpha}^{\dagger} \psi_{\beta}^{\dagger} \psi_{\beta} \psi_{\alpha} + \frac{g_3}{2} \psi_{\alpha}^{\dagger} \psi_{\alpha}^{\dagger} \psi_{\beta} \psi_{\beta} + \sum_{\alpha=1}^3 \frac{g_4}{2} \psi_{\alpha}^{\dagger} \psi_{\alpha}^{\dagger} \psi_{\alpha} \psi_{\alpha}$$



RG equations (perfect nesting)

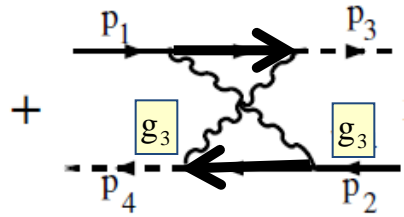


$$\dot{g}_2 = g_2^2 + g_3^2 \quad \dot{g} = dg/d(\log(\Lambda/E))^2$$

$$\dot{g}_3 = g_3(4g_2 - 2g_1 - 2g_4 - g_3^2)$$

$$\dot{g}_1 = 2g_1(g_2 - g_1)$$

$$\dot{g}_4 = -2g_3^2 - g_4^2$$



all 3 patches are involved

General RG equations

$$y = \Pi_{\text{pp}}(\mathbf{k} = 0, E) = \frac{\nu_0}{4} \ln^2 \frac{\Lambda}{E}$$

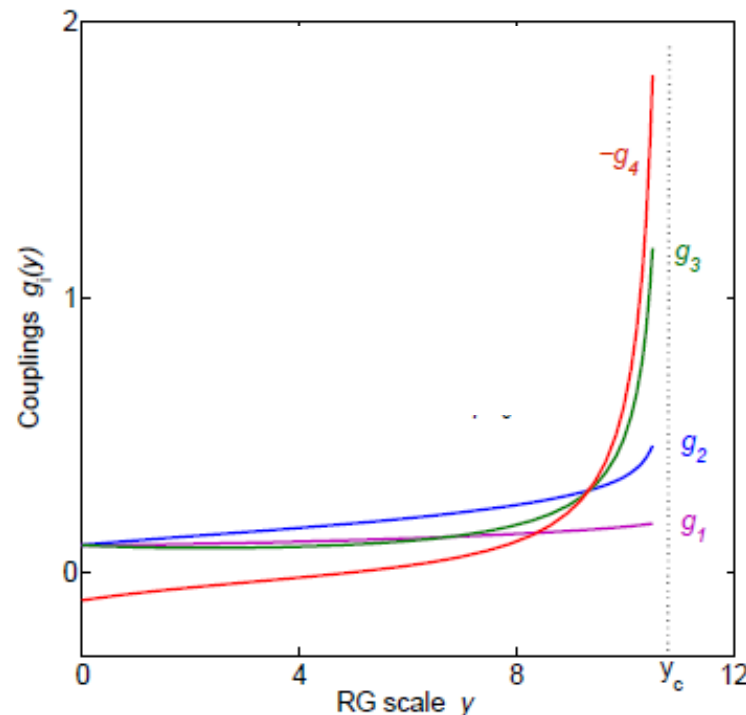
$$\frac{dg_1}{dy} = 2 - g_1(g_2 - g_1),$$

$$\frac{dg_2}{dy} = -(g_2^2 + g_3^2),$$

$$\frac{dg_3}{dy} = -(n-2)g_3^2 - 2g_3g_4 + 2 - g_3(2g_2 - g_1),$$

$$\frac{dg_4}{dy} = -(n-1)g_3^2 - g_4^2.$$

n=3 is the # of patches (n=2 for the cuprates)



Inter-patch pairing interaction g_3 again becomes the largest one

SDW, CDW, and SC vertices

$$\Delta_{\text{sc}} \begin{array}{c} \nearrow \\ \searrow \end{array} = \Delta_{\text{sc}} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \text{g}_4 + \Delta_{\text{sc}} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \text{g}_3$$

$$\Delta_{\text{SDW}} \begin{array}{c} \beta \\ \nearrow \\ \sigma_{\alpha\beta}^i \\ \searrow \\ \alpha \end{array} = \Delta_{\text{SDW}} \begin{array}{c} \beta \\ \nearrow \\ \sigma_{\alpha\beta}^i \\ \searrow \\ \alpha \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \text{g}_2 + \Delta_{\text{SDW}}^* \begin{array}{c} \beta \\ \nearrow \\ \sigma_{\alpha\beta}^i \\ \searrow \\ \alpha \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \text{g}_3$$

$$\Delta_{\text{CDW}} \begin{array}{c} \beta \\ \nearrow \\ \delta_{\alpha\beta} \\ \searrow \\ \alpha \end{array} = \Delta_{\text{CDW}} \begin{array}{c} \beta \\ \nearrow \\ \delta_{\alpha\beta} \\ \searrow \\ \alpha \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \text{g}_2 + \Delta_{\text{CDW}} \begin{array}{c} \beta \\ \nearrow \\ \delta_{\alpha\beta} \\ \searrow \\ \alpha \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \text{g}_1$$

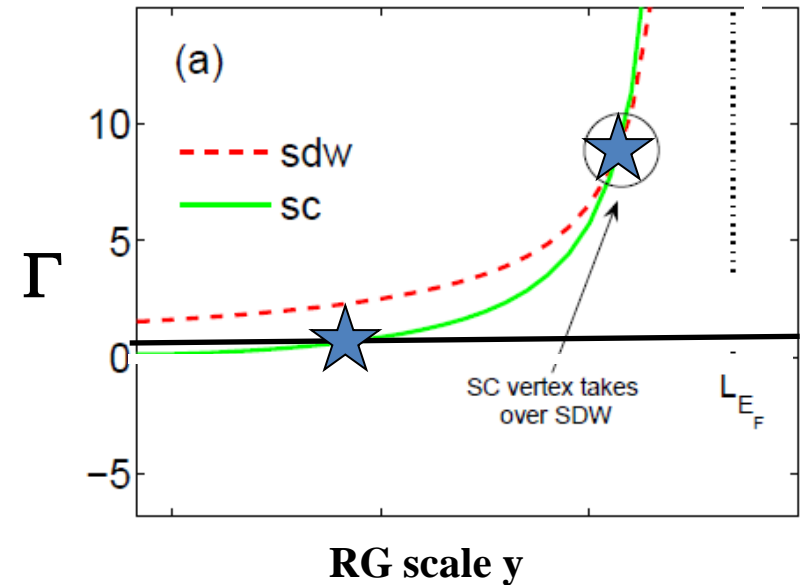
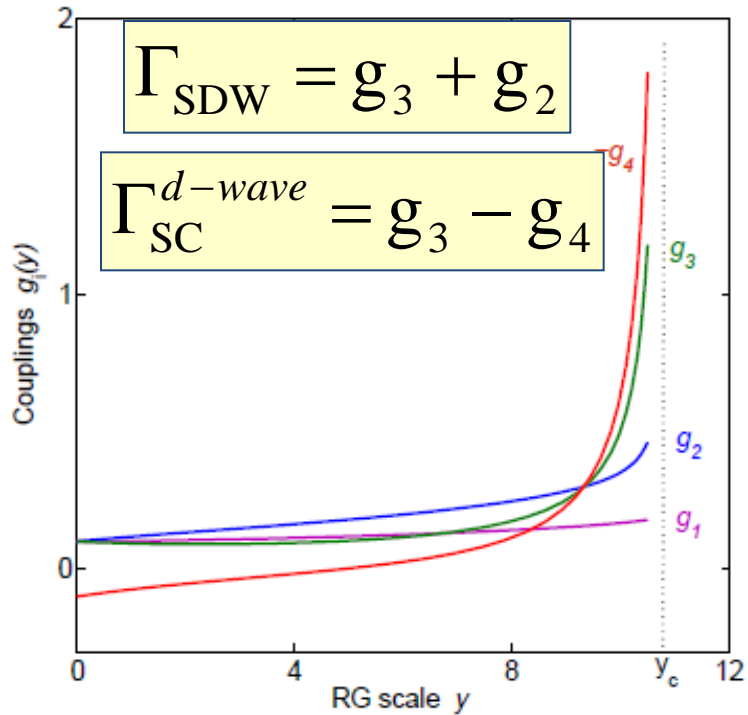
$$\Delta_{\text{CDW}}^* \begin{array}{c} \beta \\ \nearrow \\ \delta_{\alpha\beta} \\ \searrow \\ \alpha \end{array} = \Delta_{\text{CDW}}^* \begin{array}{c} \beta \\ \nearrow \\ \delta_{\alpha\beta} \\ \searrow \\ \alpha \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \text{g}_3 + \Delta_{\text{CDW}}^* \begin{array}{c} \beta \\ \nearrow \\ \delta_{\alpha\beta} \\ \searrow \\ \alpha \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \text{g}_3$$

$$\Delta_j = \Delta_j^0 \left(1 - \Gamma_j \log^2 \frac{\Lambda}{E} \right)$$

$$\Gamma_{\text{SDW}} = \text{g}_3 + \text{g}_2$$

$$\Gamma_{\text{SC}}^{d\text{-wave}} = \text{g}_3 - \text{g}_4$$

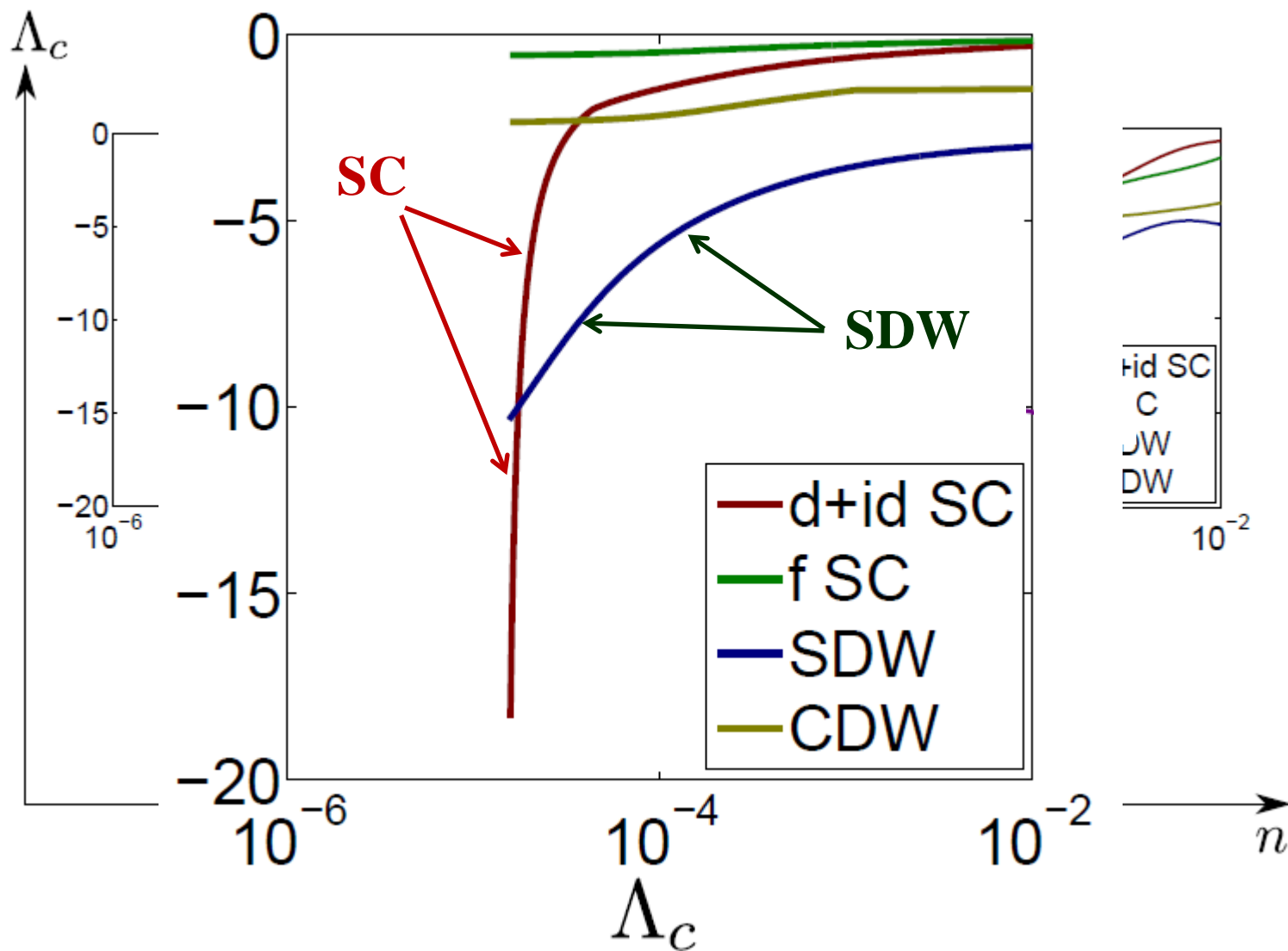
Need $\Gamma > 0$ for instability



- The SDW vertex is the largest one at intermediate energies
- Interaction with SDW channel pushes SC vertex up, and Γ_{SC}^{d-wave} changes sign and becomes attractive
- The superconducting vertex eventually takes over and becomes the leading instability at low energies

Functional RG – the same result

Thomale et al



Conclusions:

The issue is the pairing by electron-electron interaction

I. Kohn-Luttinger mechanism:

For on-site Hubbard interaction

p-wave pairing for isotropic dispersion

d-wave ($d_{x^2-y^2}$) pairing in the cuprates

d+id ($d_{x^2-y^2} + d_{xy}$) in doped graphene

s+- in Fe-pnictides

II. If first-order (bare) interaction in these channels is repulsive, SC is still possible when fluctuations in the density-wave channel are comparable to SC fluctuations (SC vertex is pushed up due to interaction with SDW)

THANK YOU