

# Ferromagnetism and on the Edges of Graphene Ribbons

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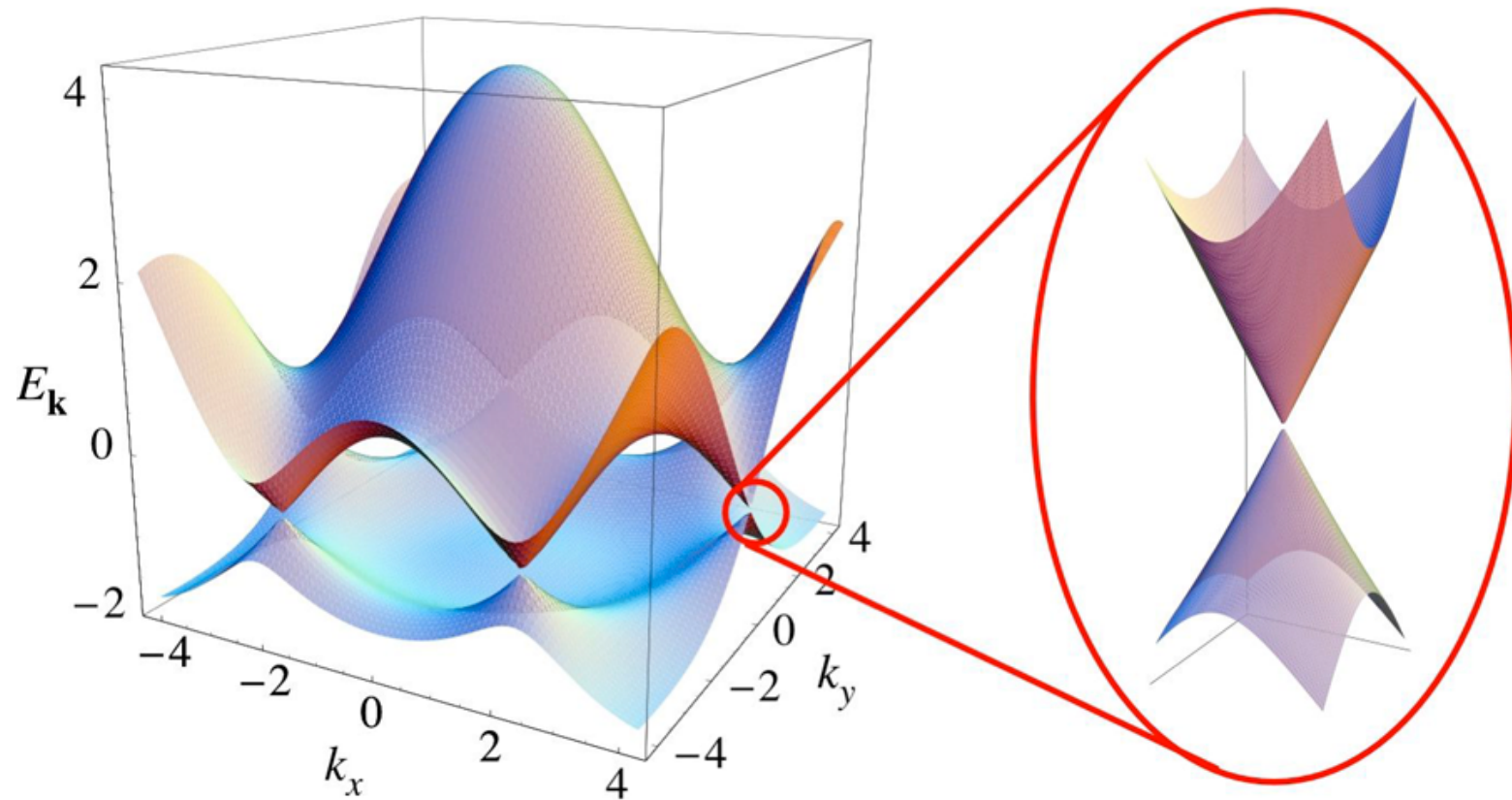


# Outline

- Introduction, edge modes
- Including interactions
- Rigorous proof of ferromagnetism in 1D model
- Excitations: single particle and excitons
- More realistic models
- Edge-bulk interactions
- Conclusions
- Open Questions

# Introduction

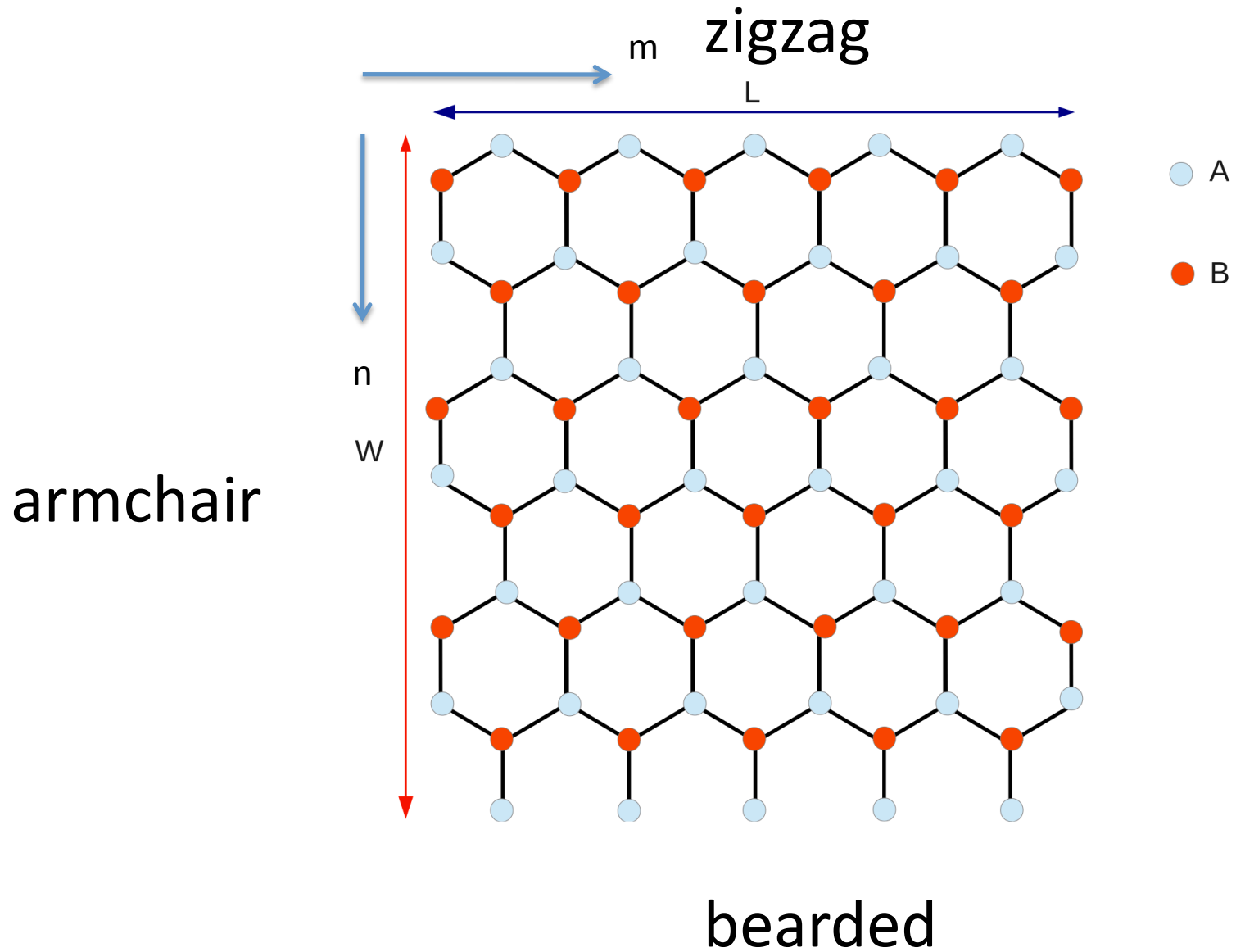
- Graphene is a single layer of carbon atoms
- Half-filled  $\pi$ -orbitals give simple honeycomb lattice tight-binding band structure



2 inequivalent Dirac points in Brillouin zone, where

$$E(\vec{k}) \approx \pm v_F \left| \vec{k} - \vec{K}_i \right| \quad (i=1,2)$$

# Simple types of edges of ribbons:

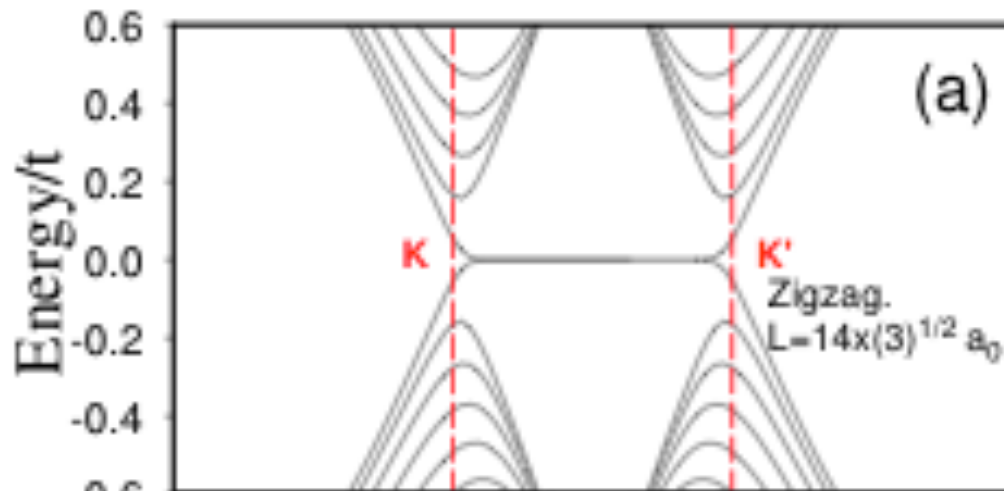


For non-interacting semi-infinite system with zigzag edge there are exact zero energy states localized near edge:

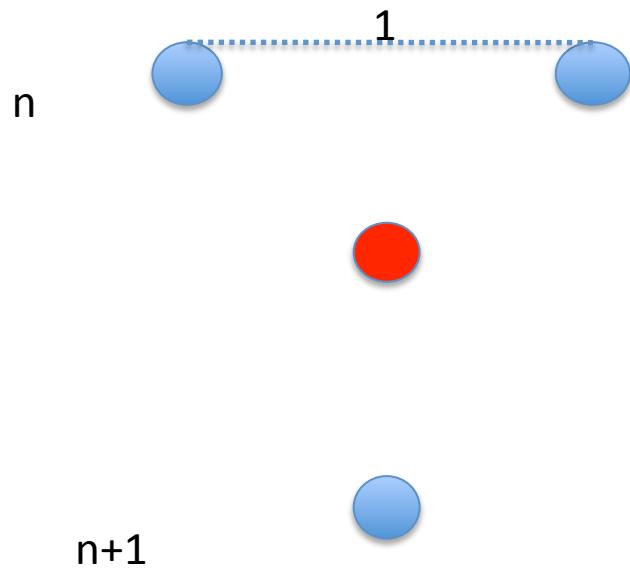
$$\phi(m,n) \propto \exp(ik_x m) [-2 \cos(k_x/2)]^{-n}$$

$n=0,1,2,\dots$  for  $|k| > 2\pi/3$ .

N.B.  $k = \pm 2\pi/3$  are Dirac points



## Proof: Wave-function only non-zero on A-sites



$$\left( e^{ik/2} + e^{-ik/2} \right) \phi(n) + \phi(n+1) = 0$$

## Including Interactions

- weak Hubbard interactions have little effect, *with no boundaries* even at half-filling, since 4-Fermi interactions are irrelevant in (2+1) dimensional Dirac theory ( $\psi$  has  $d=1$ )
- Dirac liquid phase stable up to  $U_c \sim 4t$
- But they have a large effect on flat edge bands which have effectively infinite interaction strength
- Mean field theory and numerical methods indicate ferromagnetic ordering on each edge
- Antiferromagnetic order between edges in ZZ case at half-filling



Actually, screening of long range Coulomb interaction is poor in graphene, especially with chemical potential at Dirac points. Should treat actual Coulomb interaction. This is marginal. Dimensionless coupling constant:

$$\alpha_{eff} = \frac{e^2}{\hbar v_F \epsilon} \approx 1$$

since  $c/v_F \approx 100$ .

# Projected 1D Hamiltonian

$$H = \frac{U}{2} \sum_{k,k',q} \Gamma(k,k',q) [c_{k+q,\sigma}^+ c_{k,\sigma} - \delta_{q,0}] [c_{k'-q,\sigma'}^+ c_{k',\sigma'} - \delta_{q,0}]$$

$$\Gamma(k,k',q) \equiv \sum_{n=0}^{\infty} g_n(k) g_n(k') g_n(k+q) g_n(k'-q)$$

Schmidt & Loss (repeated spin indices summed)  
Here  $g_n(k)$  is the wave-function of the edge state of momentum  $k$  at distance  $n$  from the edge:

$$g_n(k) = \theta(\pi/3 - |k - \pi|) [2 \cos(k/2)]^n \sqrt{1 - (2 \cos(k/2))^2}$$

Due to restricted range of  $k$  this geometric series converges exponentially

- We can simply prove exact ground state of  $H_{1D}$  is fully polarized ferromagnet
- This follows because we can write it as a sum of non-negative terms:

$$H = \frac{1}{2} \sum_{n,q} O_n^+(q) O_n(q), \quad [O_n^+(q) = O_{-n}(q)]$$

$$O_n(q) \equiv \sum_{k,\sigma} g_n(k) g_n(k+q) [c_{k+q,\sigma}^+ c_{k,\sigma} - \delta_{q,0}]$$

- The fully polarized state is annihilated by all  $O_n(q)$  operators
- Can prove this is unique ground state (up to spin rotation)

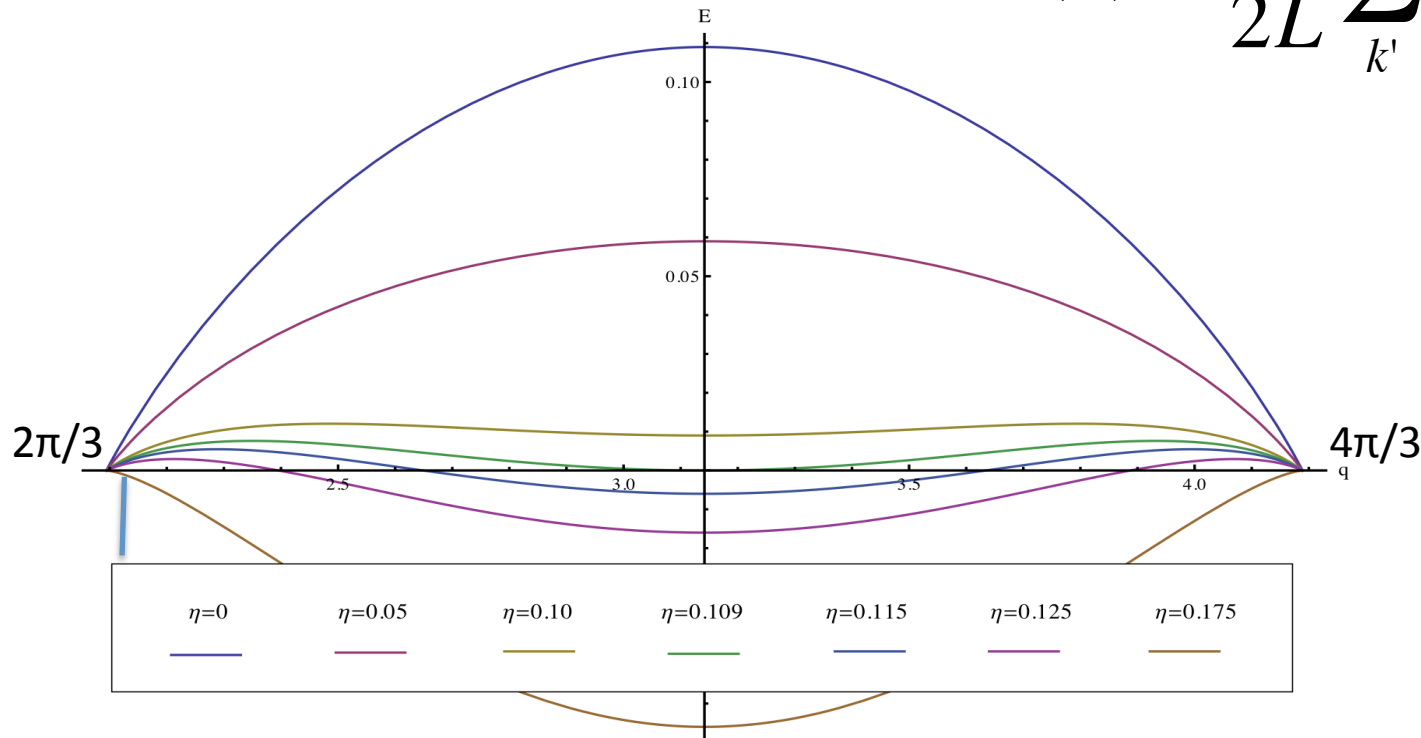
Uniqueness of ground state follows from observing that  $O_n(q)|\psi\rangle=0$  for all  $n$  implies

$$[c_{k+q,\sigma}^+ c_{k,\sigma} + c_{-k,\sigma}^+ c_{-k-q,\sigma} - 2\delta_{q,0}]|\Psi\rangle=0, \quad \forall k$$

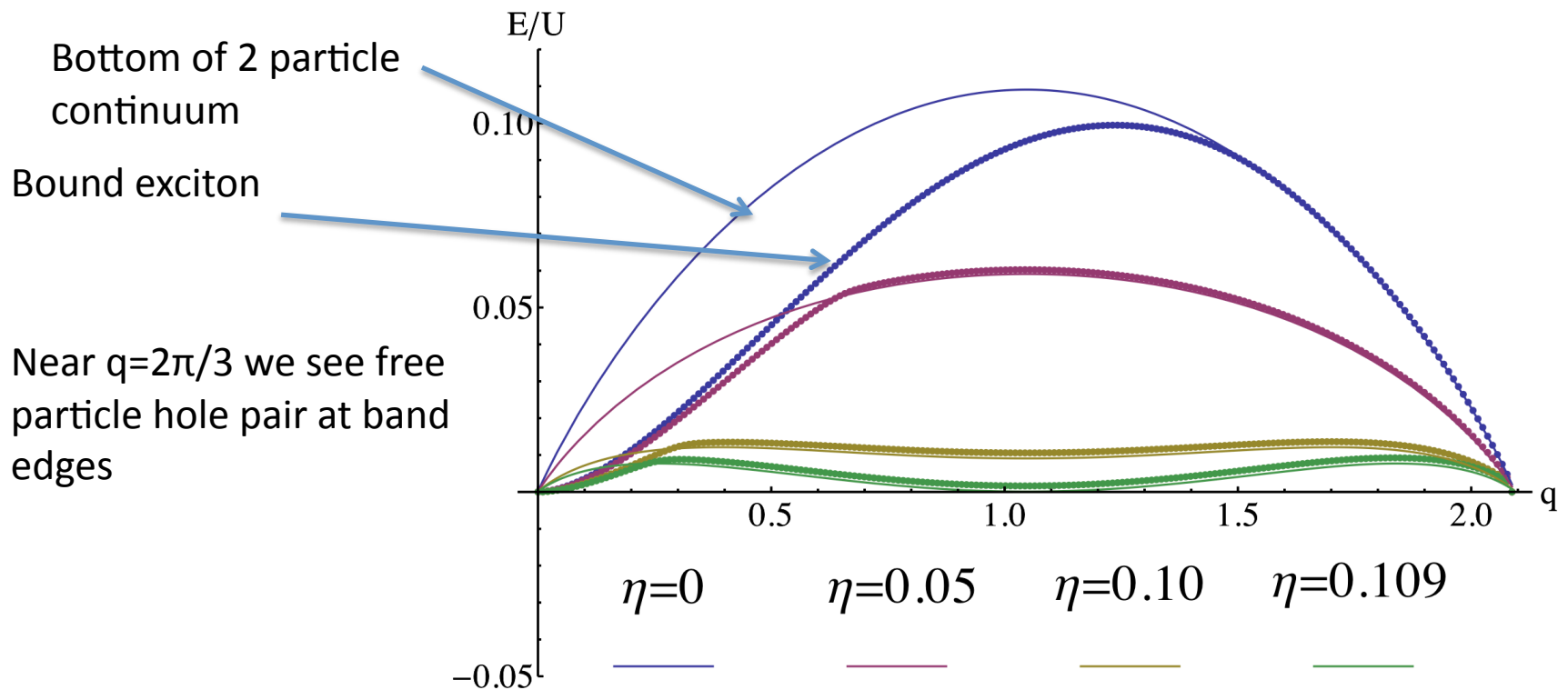
We can then prove ferromagnetic states are only ones to satisfy these conditions for all  $k,q$

- N.B.-unusual particle-hole symmetry:  $c_k \leftrightarrow c_k^+$
- Interaction energy and dispersion are both  $O(U)$
- Energy to add ( $\downarrow$ ) or remove ( $\uparrow$ ) particle relative to fully polarized spin  $\uparrow$  state:

$$E(k) = \frac{U}{2L} \sum_{k'} \Gamma(k, k', 0)$$



Since it is only a 2-body problem, it is feasible to study  $\Delta M=-1$  exciton numerically despite complicated interactions ( $L < 602$ )



- Graphene has 2<sup>nd</sup> neighbour hopping:  $t_2/t \sim .1$  ?
- We might expect a potential acting near edge,  $V_e$
- For  $U, t_2, V_e \ll t$ , modification to edge Hamiltonian is:

$$\delta(H - \varepsilon_F N) = \frac{\Delta}{L} \sum_{k,\alpha} (2 \cos k + 1) e_{k\alpha}^+ e_{k\alpha}, \quad \Delta = t_2 - V_e$$

- Here we assume  $\varepsilon_F$  is held at energy of Dirac points,  $\varepsilon_F = 3t_2$
- This breaks particle-hole symmetry

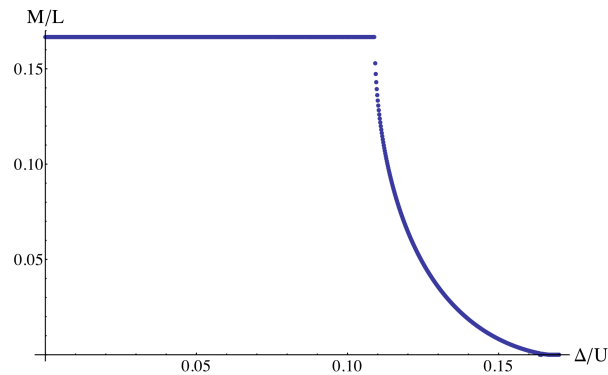
For  $\Delta > 0$ , energy to add a spin down electron is decreased near  $k = \pi$  or for  $\Delta < 0$ , energy to remove a spin up electron is decreased near  $k = \pi$



- Increasing  $\Delta$  causes the exciton to become unbound (except close to  $q=0$ )
- For  $|\Delta| > \Delta_c \sim .109 U$  the edge starts to become doped at  $k$  near  $\pi$  (while  $\varepsilon_F$  is maintained at energy of Dirac points)
- Since exciton is unbound it is plausible that we get a non-interacting state with no spin down electrons for  $\Delta < 0$  or filled band of spin up electrons,  $\Delta > 0$

- We confirmed this by looking at  $\Delta M = -2$  states near  $\Delta = \Delta_c$  numerically ( $L \leq 74$ )
  - no bi-exiton bound states
- State with no spin down electrons (or no spin up holes) is non-interacting for our projected on-site Hubbard model since particles of same spin don't interact with each other

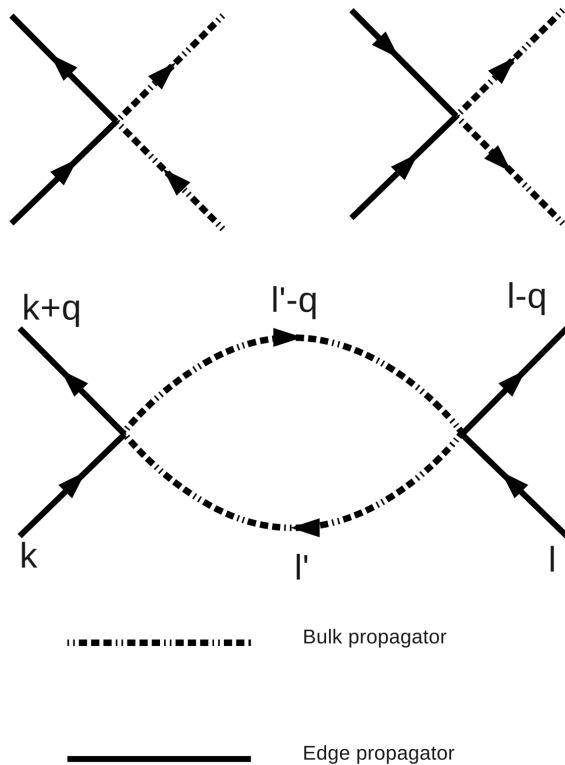
- Gives simple magnetization curve



2<sup>nd</sup> neighbor extended Hubbard interactions  
(must couple A to A sites)  
would turn this into a (one or two component)  
Luttinger liquid state

# Effect of Edge-Bulk Interactions

- Decay of edge states into bulk states is forbidden by energy-momentum conservation
- But integrating out bulk electrons induces interactions between edge modes



We may calculate induced interactions for small  $1/W$ ,  $q$  and  $\omega$  using Dirac propagators with correct boundary conditions (ignoring bulk interactions)

- Most important interactions involve spin operators of edge states  $\mathbf{S}_{U/L}(q, \omega)$  on upper and lower edges – like RKKY

- At energy scales  $\ll v_F/W$ , inter-edge interactions is simply

$$H_{\text{inter}} = J_{\text{inter}} \vec{S}_U \cdot \vec{S}_L, \quad J_{\text{inter}} = \pm .2 \frac{U^2}{tW^2}$$

- Ferromagnetic for zigzag-bearded ribbon or antiferromagnetic for zigzag-zigzag case

- Consistent with  $S=(1/2)L$  or 0 for zigzag-bearded or zigzag-zigzag ribbon, respectively (Lieb's Theorem)

Lieb's Theorem:

Spin of ground state is  $|N_A - N_B|/2$  for  $U > 0$  Hubbard model at half-filling with hopping between A and B sites only.

Ribbon with zigzag-bearded edges has  $N_A - N_B = L$ .

Ribbon with zigzag-zigzag edges has  $N_A - N_B = 0$ .

- Intra-edge interaction induced by exchanging bulk electrons is long range and retarded but this effect is reduced for Dirac liquid compared to Fermi liquid
- Example: exciton dispersion gets a correction:

$$E(q) \approx .36Uq^2 - \sqrt{3}(4 - \pi)(U^2 / t)q^2 \ln q^2$$

- $O(U^2)$  term *increases* energy of a spin flip, thus further stabilizing ferromagnetic state



- To investigate effects of edge-bulk interactions more systematically, I hope to develop a Renormalization Group method
  - A type of boundary critical phenomenon in (2+1) dimensions:
    - Gapless (2+1) D Dirac fermions interacting with spin polarized semi-metallic edge states
- Like a Kondo or Anderson model in one higher dimension: Kondo: 0D impurity, interacting with 1D Dirac fermions
- Graphene: “impurity” is now 1D edge, interacting with 2D Dirac fermions

# Conclusions

- Small  $U/t$  limit is a tractable starting point for studying graphene edge magnetism
  - Rigorous result on 1D edge Hamiltonian indicate full polarization in simplest model
  - $t_2$  and edge potential lead to edge doping but ground state may remain free for Hubbard model
  - Edge-bulk interactions stabilize inter-edge magnetic ground state and introduce long range retarded interactions
- (H. Karimi and I.A., Phys Rev B, 2012)

# Open Questions

- can higher orders in  $U/t$  be controlled?  
(Can we develop a renormalization group approach?)
  - does ferromagnetism survive with:
    - long range Coulomb interactions
    - bulk doping away from Dirac points
    - chiral (rather than zigzag) edges
- [M. Schmidt, M. Golor, T. Lang, S. Wessel, PRB 87, 245431 (2013)]
- disorder?
  - will ferromagnetism be seen experimentally?
  - will it be useful for spintronics?