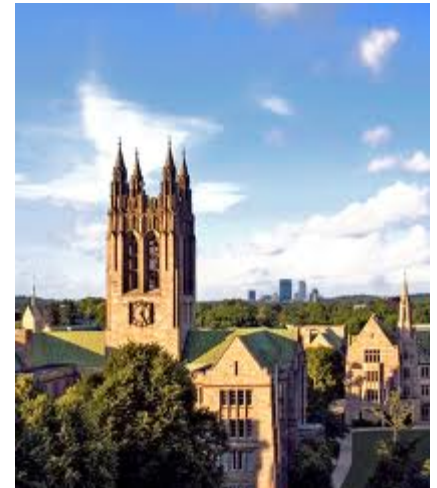


Symmetric Topological Phases (II)

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Boston College



Jan. 2014, Theory Winter School - National High Magnetic Field Laboratory

Plan:

- Yesterday:

The introduction of symmetry fractionalization:

(1) AKLT chain

(2) Generalized symmetry fractionalizations for:

topological defects (dislocations in topological insulators)

topological excitations in topologically ordered phases.

- Today:

(1) Quantum spin liquid phases in frustrated magnets, and related experiments in materials

(2) Parton constructions of quantum spin liquids, and symmetry fractionalization

Emergent gauge dynamics

- I was talking about symmetry fractionalized gauge charge/flux excitations, say Z_2 charge/fluxes. But is that just math?

Where does gauge field come from?

In materials, we start from electrons with interactions.

Emergent gauge dynamics

- I was talking about symmetry fractionalized gauge charge/flux excitations, say Z_2 charge/fluxes. But is that just math?
Where does gauge field come from?
In materials, we start from electrons with interactions.
- But even starting from electronic degrees of freedom, which only carry E&M gauge charge, the low energy dynamics of a system could show emergent intrinsic gauge dynamics.

Example: FQHE

- Quantum spin liquids are quantum phases with such emergent gauge fields.

Basic magnetism

- Mott Insulators – Coulomb repulsion localizes electrons to atomic sites. Only spin degree of freedom.

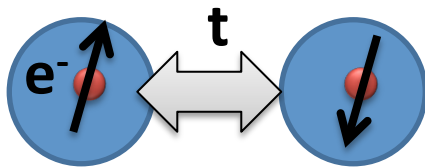
$$H = -t \sum_{\langle ij \rangle} c_i^\dagger c_j + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Mott Insulator: $U \gg t$

1 H																	2 He
3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne
11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
55 Cs	56 Ba	57-71	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
87 Fr	88 Ra	89-103	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Uut	114 Fl	115 Uup	116 Lv	117 Uus	118 Uuo
			57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu
			89 Ac	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr

Basic magnetism

- Only spin degree of freedom. Simplest quantum many body system!

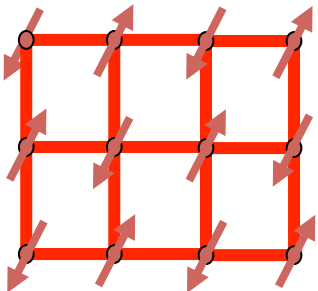


Opposite Spins gain by virtual hopping:
 $J \approx t^2/U$; $H = J \mathbf{S}_1 \cdot \mathbf{S}_2$

$$H = -t \sum_{\langle ij \rangle} c_i^\dagger c_j + U \sum_i n_{i\uparrow} n_{i\downarrow} \Rightarrow H = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

Hubbard \longrightarrow Heisenberg

- Example: La_2CuO_4 (parent compound of cuprates)

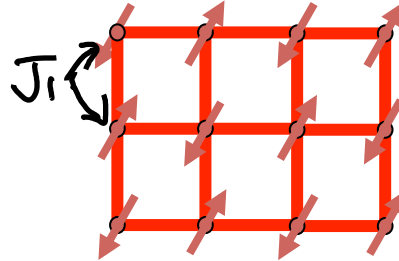


$S=1/2$ square lattice AntiFerromagnet
 $J \approx 1,000\text{Kelvin}$; $t, U \approx 10,000\text{ Kelvin}$

Neel temperature $T_N \sim 250\text{Kelvin}$

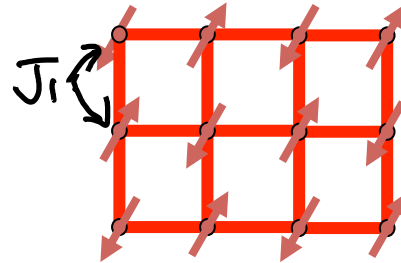
Frustrated magnetism

- Even in the presence of quantum fluctuations, an antiferromagnetic Heisenberg-like system often has “obviously” favorable classical magnetic order pattern:



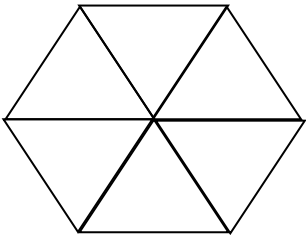
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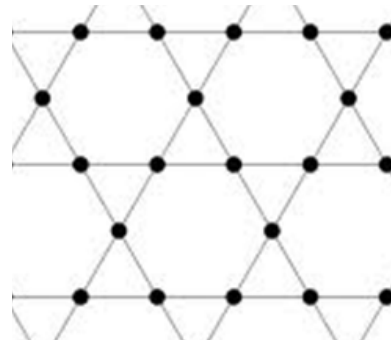


- Geometric frustration \rightarrow no “obviously” favorable order pattern:

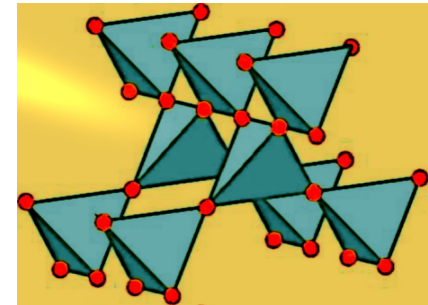
Triangular Lattice:



Kagome Lattice:



Pyrochlore Lattice:



Absence of magnetic ordering at $T \rightarrow 0$?

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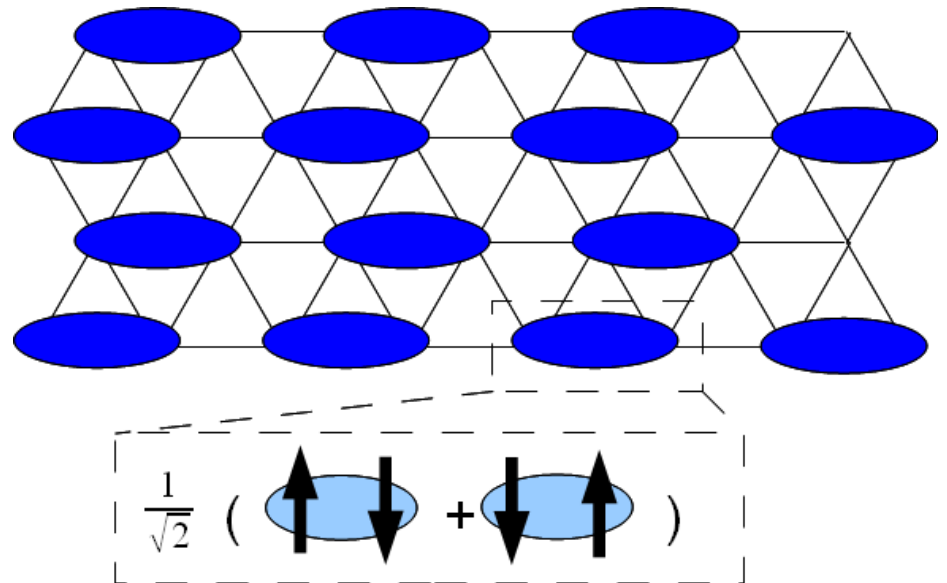
- If there is such a system, what is the ground state?

Absence of magnetic ordering at $T \rightarrow 0$?

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Consider **spin-1/2** system:
(half-filled)

One possibility:
valence bond solid (VBS)



Non-magnetic ground state

But breaks translational symmetry

The unit cell is doubled \rightarrow can be viewed as a trivial band insulator

Absence of magnetic ordering at $T \rightarrow 0$?

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Consider **spin-1/2** system:

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Is it possible to have a non-magnetic, fully symmetric ground states?

--- quantum spin liquid

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In higher dimensions, in fact, **spin liquids are guaranteed to be exotic phases.**

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In higher dimensions, in fact, **spin liquids are guaranteed to be exotic phases.**

First of all, they are certainly NOT band insulators. (violate Luttinger's theorem)

In addition: A no-go theorem

- Hastings (2004)

Consider a translational symmetric (periodic boundary condition) spin-1/2 system in d -spatial dimensions with finite ranged interactions, with one spin per unit cell.

Theorem: in such a system the ground state is separated from the first excited state by an energy gap that vanishes in the thermodynamic limit:

$$E_1 - E_0 < \text{Log}(L)/L, \text{ for a system of linear size } L.$$

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Interpretation:

If the ground state breaks symmetry, this is not surprising. (Goldstone mode...)

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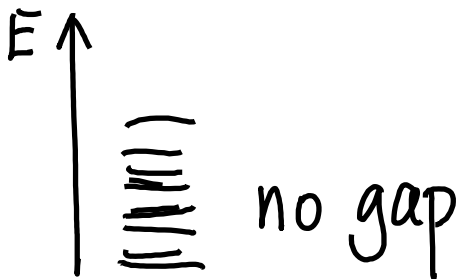
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Interpretation:

However if the ground state is a spin liquid (no symmetry breaking), this theorem indicates only two possibilities:

(1) Gapless QSL



(2) Gapped QSL with degeneracy on torus



Quantum spin liquid is a new state of matter

- Gapless QSL

What protects the gapless modes?

In conventional phases, the only two possible ways leading to gapless phases:

- (1) Goldstone modes (free boson)
- (2) Fermi liquid (free fermion)

But in gapless QSL, none of these mechanism holds.

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What is protecting the ground state degeneracy on torus?

In the absence of symmetry breaking, the only mechanism we know is:

Topological order (e.g., emergent gauge field...)

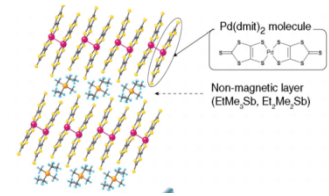
for example:

Laughlin's $\nu=1/3$ state has three-fold ground state deg. on torus.

Quantum spin liquid materials

- In the past decade, a few strong candidate materials are found:
($J \geq 100\text{K}$, no magnetic order down to $\leq 50\text{mK}$)
- Spin-1/2 Triangular lattice near Mott transition
organic salts: $\cdot\text{-(BEDT-TTF)}_2\text{Cu}_2(\text{CN})_3$ (Kanoda's group)
d-mit

--- gapless QSL with metallic-like thermal transport!



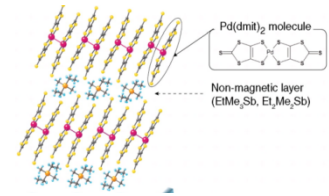
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(Incomplete list)

Quantum spin liquid materials

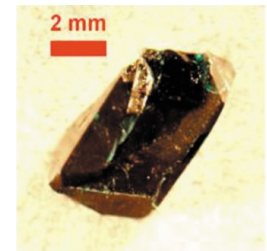
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- Spin-1/2 Kagome lattice Heisenberg system
Herbertsmithite: $\text{ZnCu}_3(\text{OH})_6\text{Cl}_2$ (Y. Lee's group ...)
--- gapless or small gapped QSL



Herbertsmithite

- Hyperkagome Iridate: $\text{Na}_4\text{Ir}_3\text{O}_8$ (Takagi's group...)
--- gapless QSL?

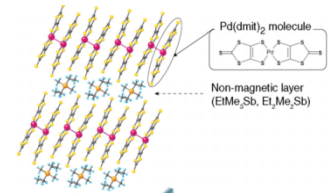
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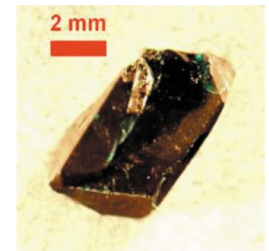
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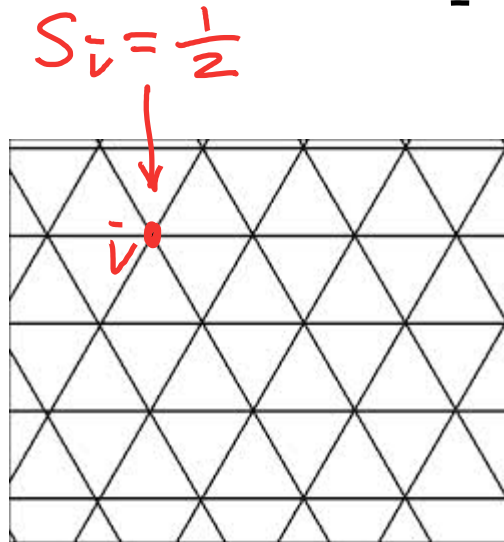
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(Incomplete list)

How to describe a QSL?

- In history, we know that explicitly writing down the wavefunction helps a lot!
- Can we write down a QSL wavefunction?

Let's consider triangular spin-1/2 lattice as an example.



How to describe a QSL?

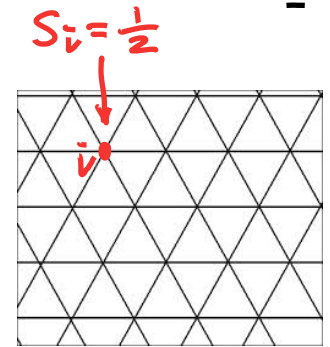
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The usual way of writing down a spin wavefunction:

$$|\Psi\rangle = |S_1, S_2, \dots, S_N\rangle$$

Intrinsically biased towards magnetic order.



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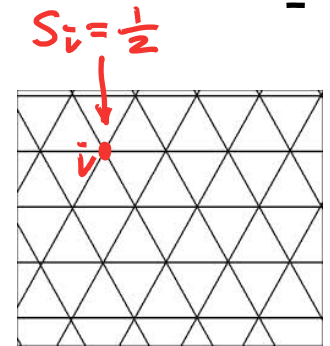
The parton construction: (Schwinger-boson method)

- (1) Enlarge hilbert space:

Split the spin in to partons:

$$\vec{S}_i = \frac{1}{2} b_{i\alpha}^\dagger (\vec{\sigma})_{\alpha\beta} b_{i\beta}$$

$\alpha = \uparrow, \downarrow$, $b_{i\uparrow}$, $b_{i\downarrow}$: spin- $\frac{1}{2}$ bosons.



How to describe a QSL?

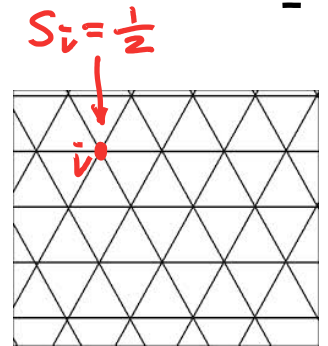
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physical fl:

Enlarged fl:

\uparrow \downarrow

\uparrow \downarrow \circ $\uparrow\uparrow$ $\downarrow\downarrow$ $\uparrow\downarrow$ \dots

unphysical

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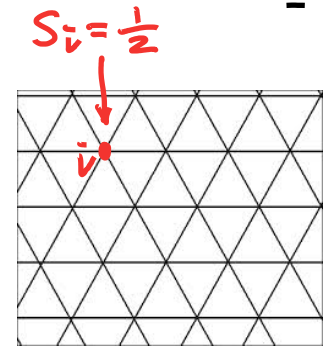
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Why would we do this?

--- Similar to the AKLT-model, these auxillary Schwinger-bosons helps us to compactly writing down an interesting wavefunction.



How to describe a QSL?

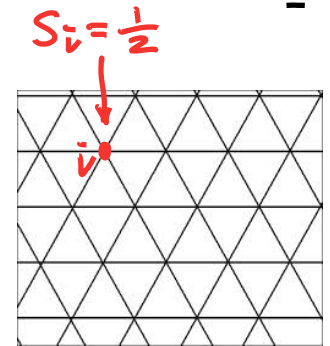
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- (2) Write down a spin-rotation sym. boson mean-field state:

$$H_{MF} = \sum_{\langle ij \rangle} B_{ij} b_{i\alpha}^\dagger b_{j\alpha} + \sum_{\langle ij \rangle} A_{ij} (b_{i\alpha}^\dagger b_{j\beta}^\dagger \Sigma_{\alpha\beta} + h.c.)$$

$$\Rightarrow |GS\rangle_{MF}$$

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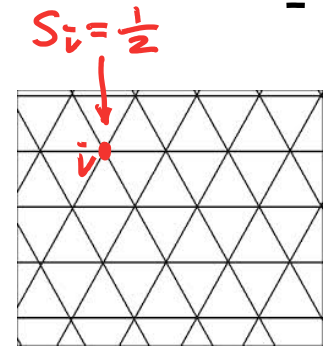
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$$\Rightarrow |GS\rangle_{MF}$$

- (3) Project mean-field state back to physical hilbert space:

$$|spin\rangle \equiv P_G |GS\rangle_{MF} \quad P_G: \text{projection}$$

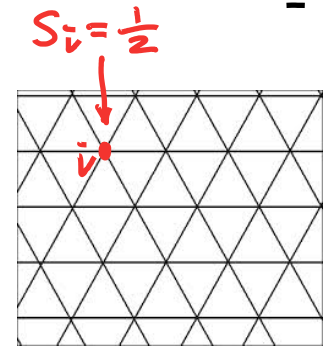
Quantum Spin liquid wavefunction

$$\vec{S}_i = \frac{1}{2} b_{i\alpha}^\dagger (\vec{\sigma})_{\alpha\beta} b_{i\beta}$$

$$H_{MF} = \sum_{ij} B_{ij} b_{i\alpha}^\dagger b_{j\alpha} + \sum_{ij} A_{ij} (b_{i\alpha}^\dagger b_{j\beta}^\dagger \Sigma_{\alpha\beta} + \text{h.c.})$$

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- To respect lattice space group symmetry, A_{ij}/B_{ij} cannot be chosen arbitrarily. (will come back on this shortly)

Intuition \rightarrow $|A_{ij}|$ all same, $|B_{ij}|$ all same for NN bonds.

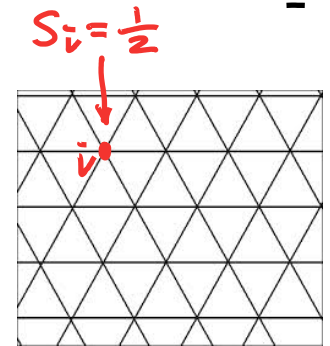
But what about the U(1) phases? (will come back.)

Quantum Spin liquid wavefunction

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- Imagine using $|\text{spin}\rangle$ as variational wavefunction for some model.

Energetically:

Does the optimal $|GS\rangle_{MF}$ have Schwinger boson condensation ?

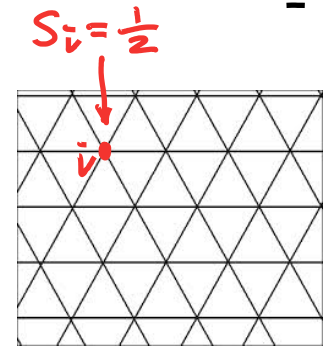
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If YES: magnetically ordered state

If NO: gapped QSL state!

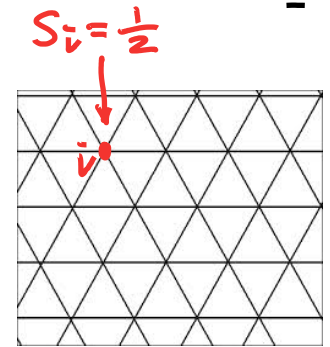
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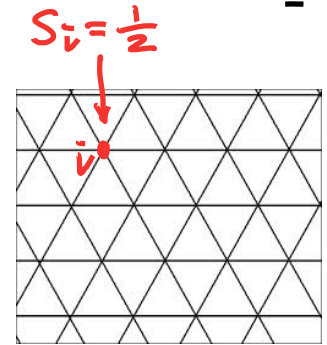
Let's assume this for the moment

→ If NO: gapped QSL state!

Triangular lattice example

- A_{ij} term (singlet pairing) is favored by AF coupling.

For simplicity, let's consider a mean-field state setting $B_{ij}=0$.

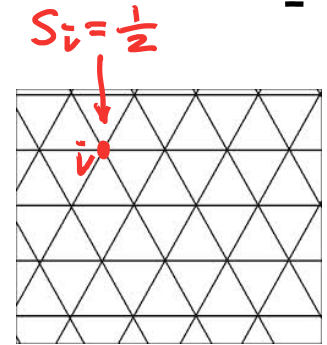


What's the condition for A_{ij} such that lattice symmetry is respected?

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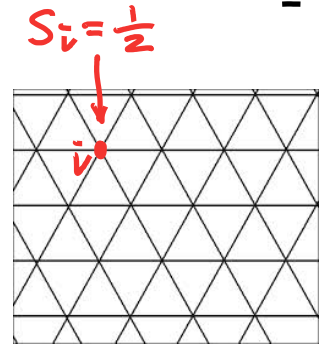
Note:

$$\begin{aligned} & A_{ij} (b_{i\uparrow}^\dagger b_{j\downarrow}^\dagger - b_{i\downarrow}^\dagger b_{j\uparrow}^\dagger) \\ &= -A_{ij} (b_{j\uparrow}^\dagger b_{i\downarrow}^\dagger - b_{j\downarrow}^\dagger b_{i\uparrow}^\dagger) \\ &\Rightarrow A_{ij} = -A_{j\bar{i}} \quad (A \text{ is directional}) \end{aligned}$$

Naively \rightarrow A_{ij} always break lattice symmetry?!

The gauge structure of QSL wavefunction

$$|\text{spin}\rangle \equiv P_G |\text{GS}\rangle_{\text{MF}} \quad P_G: \text{projection}$$



- Although $|\text{GS}\rangle_{\text{MF}}$ breaks lattice symmetry, $|\text{spin}\rangle$ may restore it.

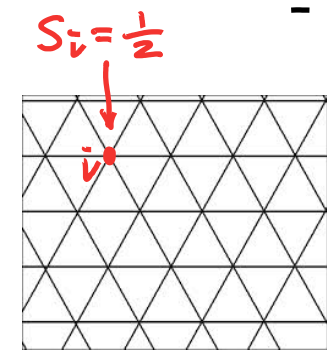
Consider two MF states:

$$H_{\text{MF}}^1(\{A_{ij}\}) = \sum_{ij} A_{ij} (b_{i\alpha}^\dagger b_{j\beta}^\dagger \Sigma_{\alpha\beta} + \text{h.c.})$$

$$H_{\text{MF}}^2(\{A_{ij} e^{i\theta_i + i\theta_j}\}) = \sum_{ij} A_{ij} (e^{i\theta_i} b_{i\alpha}^\dagger e^{i\theta_j} b_{j\beta}^\dagger \Sigma_{\alpha\beta} + \text{h.c.})$$

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$$H_{\text{MF}}^1(\{A_{ij}\}) = \sum_{ij} A_{ij} (b_{i\alpha}^\dagger b_{j\beta}^\dagger \sum_{\alpha\beta} + \text{h.c.})$$

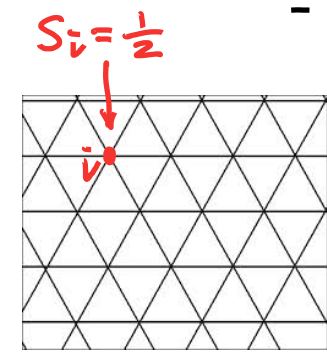
$$H_{\text{MF}}^2(\{A_{ij} e^{i\theta_i + i\theta_j}\}) = \sum_{ij} A_{ij} (e^{i\theta_i} b_{i\alpha}^\dagger e^{i\theta_j} b_{j\beta}^\dagger \sum_{\alpha\beta} + \text{h.c.})$$

$$\Rightarrow \langle 0 | b_{1\uparrow} b_{2\uparrow} b_{3\downarrow} \dots b_{N\uparrow} | \text{GS} \rangle_{\text{MF}}^1$$

$$= \langle 0 | b_{1\uparrow} b_{2\uparrow} b_{3\downarrow} \dots b_{N\uparrow} | \text{GS} \rangle_{\text{MF}}^2 \left[e^{-i \sum_{\text{sites}} \theta_i} \right] \leftarrow \text{overall phase}$$

The gauge structure of QSL wavefunction

$$|spin\rangle \equiv P_G |GS\rangle_{MF} \quad P_G: \text{projection}$$



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The gauge structure of QSL wavefunction

$$|\text{spin}(\{A_{ij}\})\rangle, \quad |\text{spin}(\{A_{ij} e^{i\theta_i + i\theta_j}\})\rangle$$

label the same quantum state!

- Many to one labeling --- the definition of gauge theory.
(gauge “symmetry” is NOT physical symmetry)

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- Imagine we use $|\text{spin}(A_{ij})\rangle$ as variational wavefunctions,
The effective Hamiltonian must be U(1) gauge invariant.

$$H(\{A_{ij}\}) = H(\{A_{ij} e^{i\theta_i + i\theta_j}\})$$

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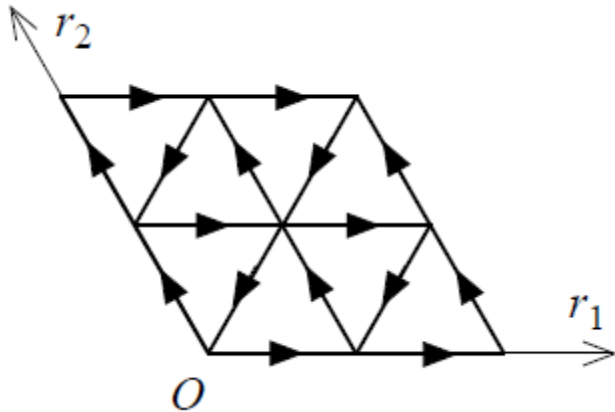
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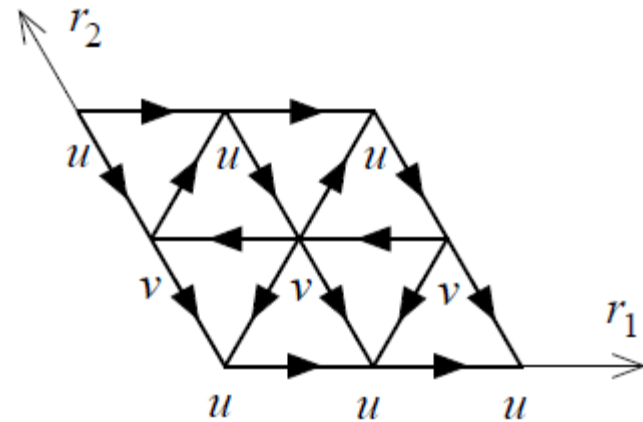
Examples:

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(Sachdev 1992, Wang, Vishwanath, 2006)

(A_{ij} are all real positive)



“Zero-flux state”



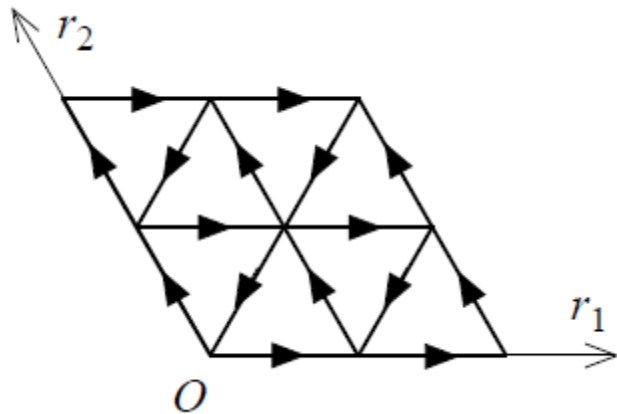
“pi-flux state”

Both states can describe QSL.

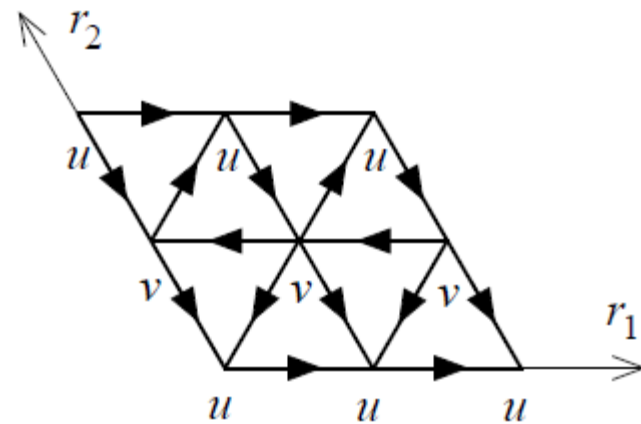
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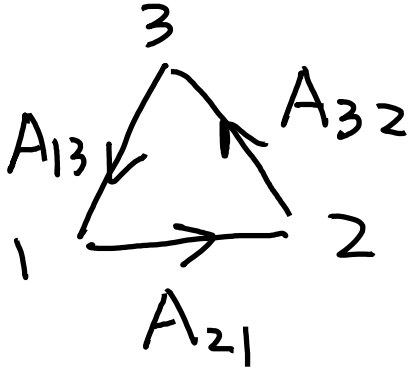
Are these two states different?
(will come back to this shortly)

Low energy excitations?

Low energy excitations

- Higgs mechanism:

The non-zero A_{ij} breaks $U(1)$ gauge redundancy down to Z_2 :



consider:

$$P_1 \equiv A_{13} A_{32}^* A_{21}$$

gauge transformation: $P_1 \rightarrow P_1 e^{i2\theta_1}$

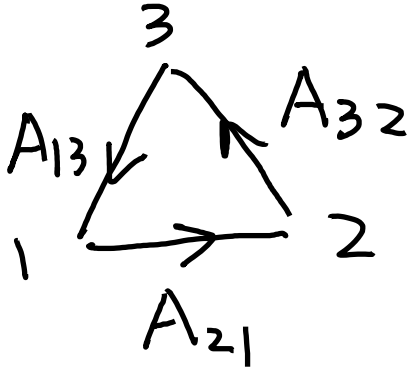
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- Low energy excitations:

gauge charge-1: Schwinger boson (spinon) + pi-gauge-flux: vison

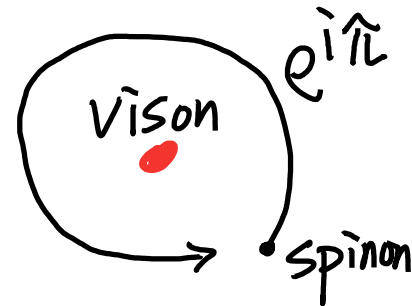
Summary of discussion so far

- By attempting to construct symmetric QSL, we are **forced** to consider the gauge structure of wavefunctions.
- On triangular lattice, the states we discussed has emergent Z_2 gauge dynamics. (gapped QSL)

gauge charge: spin-1/2 boson (spinon)

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These are anyons!



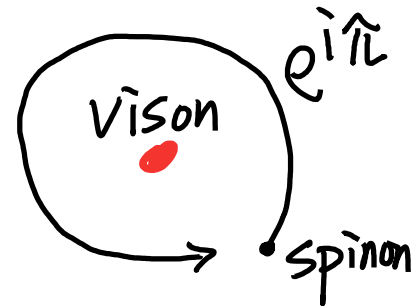
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This is striking: another example of symmetry fractionalization.

Physical spectrum only contains integer spin excitations,

but quasiparticle (gauge charge) can be spin-1/2.

(Fractionalization of spin-rotation symmetry.)

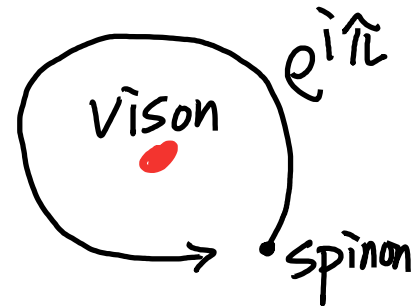
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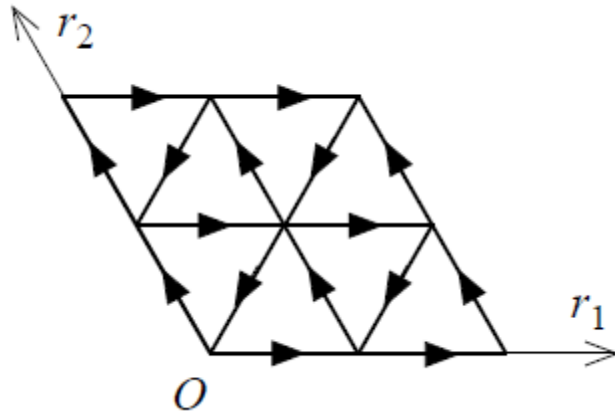
- Consistent with “no-go theorem”: QSL has to be exotic

Coming back: Examples:

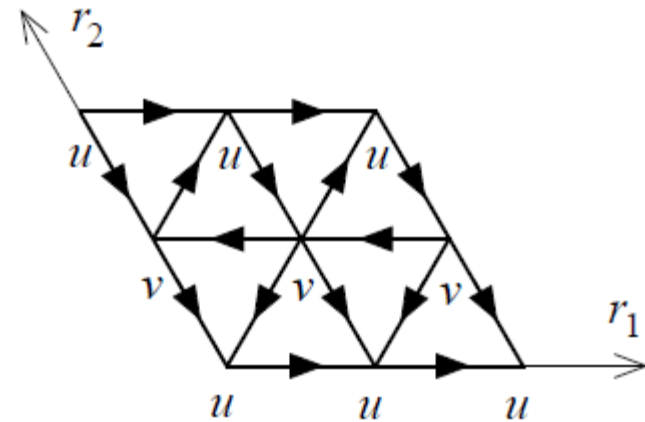
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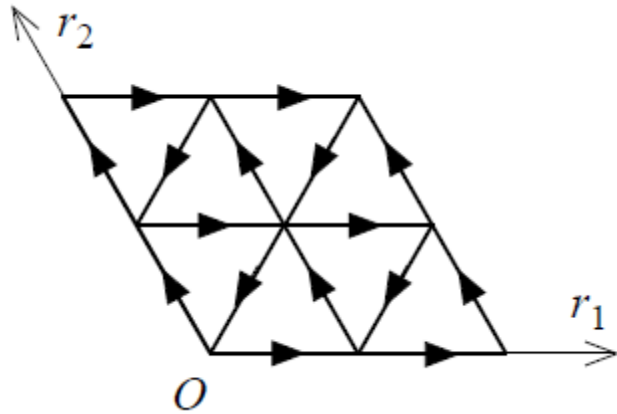
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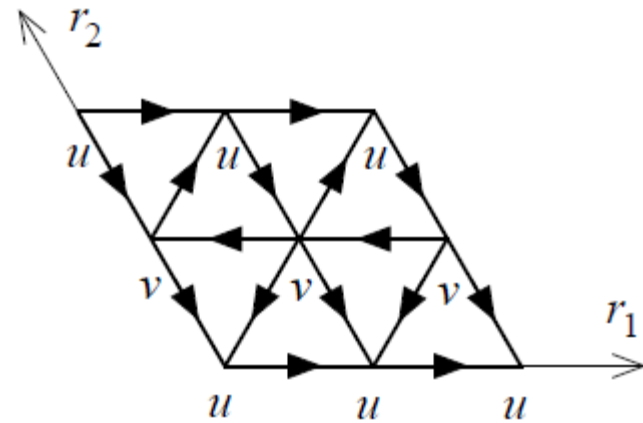
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They are different, no way to adiabatically connect.

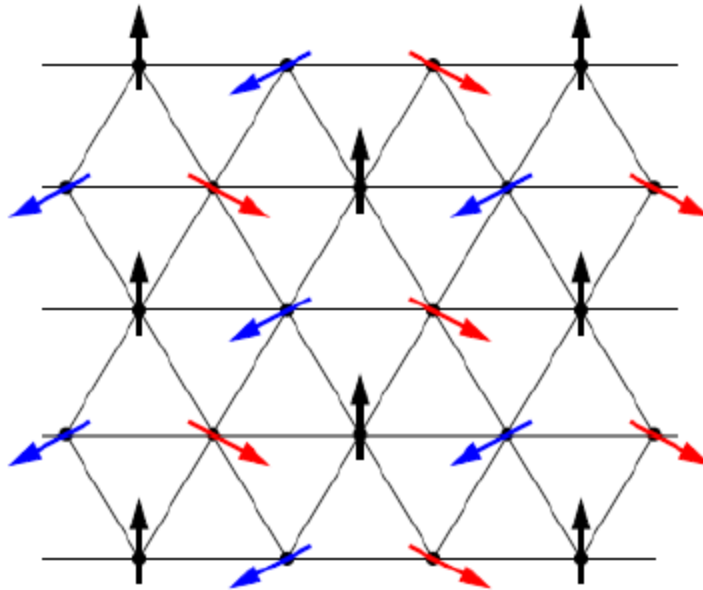
Deep reason: These two states represent two inequivalent fractionalizations of lattice sym!

Spinons (gauge charge) can form projective representations of lattice symmetry.

In fact, also generally described by $H^2(\text{lattice } SG, \mathbb{Z}_2)$ (Wen, Hermele,...)

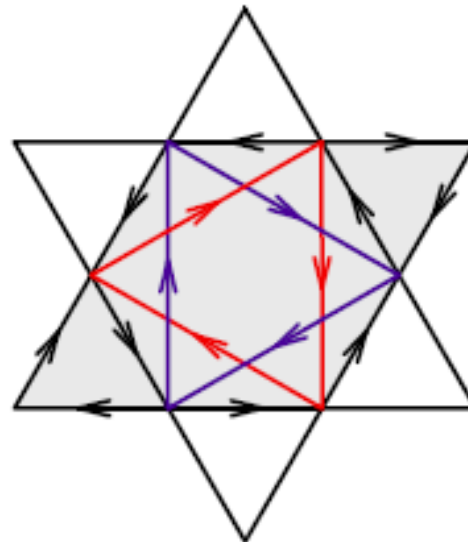
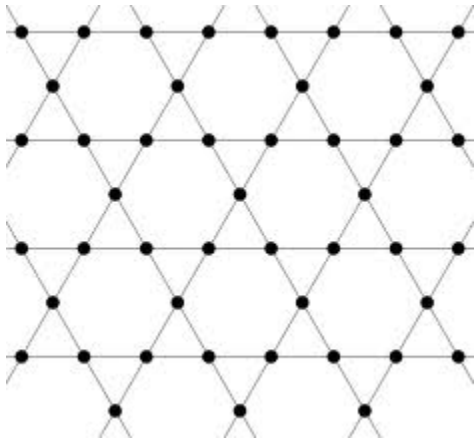
Energetics

- I was assuming that energetically optimal $|GS\rangle_{MF}$ does not have Schwinger-boson condensation.
- For triangular lattice spin-1/2 Heisenberg model, this is NOT true. In fact the optimal state has boson condensation exactly describing the 120-degree magnetic order:



Energetics

- I was assuming that energetically optimal $|GS\rangle_{MF}$ does not have Schwinger-boson condensation.
- But for Kagome spin-1/2 J1-J2 Heisenberg model, the optimal state is found to be fully gapped QSL .



(a) $q = 0$ SB ansatz

(Tay, Motrunich 2011)

(Sachdev 1992, Wang & Vishwanath 2006)

Kagome spin-1/2 system

- For NN only Heisenberg interaction:

Some numerical works (incomplete list):

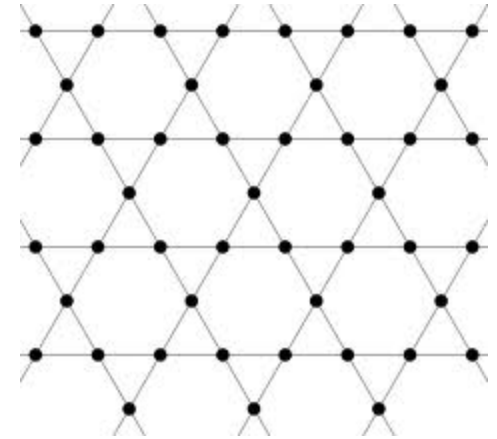
Series expansion: VBS (Singh, Huse, 2008)

MERA: VBS (Evenbly, Vidal, 2010)

DMRG: gapped QSL (Yan, Huse, White 2011.....)

Variational Monte Carlo: U(1)-Dirac gapless QSL

(YR, Hermele, Lee, Wen Iqbal, Poilblanc, Becca,.....)



Experiment signatures of QSL?

- Apart from no magnetic ordering:

(1) If gapless \rightarrow metallic thermal transport in an insulator

(2) If gapped, more difficult to prove by usual probes.

But at least spin excitations should form continuum without quasiparticle peaks.

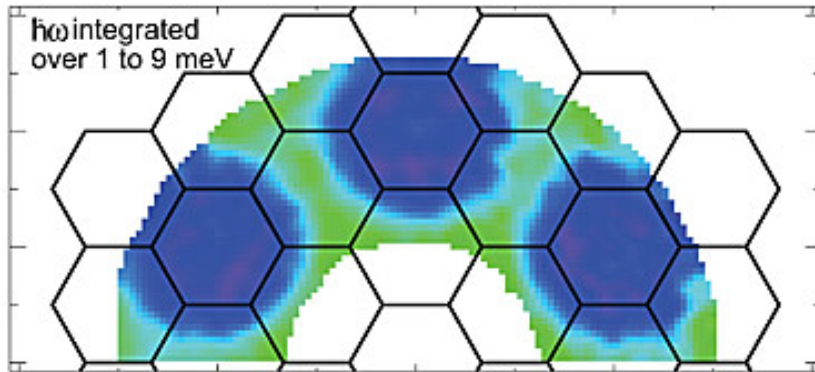
- Spin-1/2 Kagome lattice Heisenberg system

Herbertsmithite: $\text{ZnCu}_3(\text{OH})_6\text{Cl}_2$

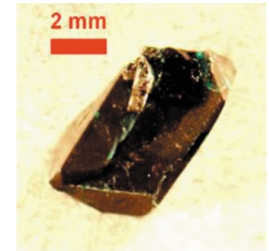
$J \sim 200\text{K}$, no ordering down to 50mK ,

- Experiments support gapless QSL:

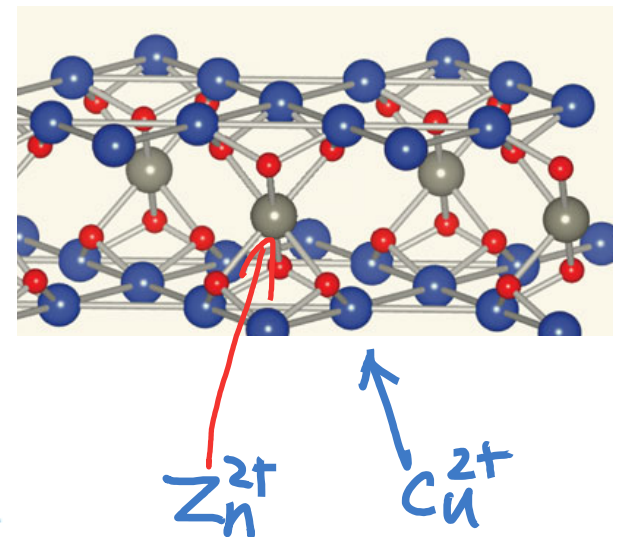
e.g, Han et.al, 2012: Inelastic neutron scattering.



A central question for classification of the ground state of herbertsmithite is whether a spin gap exists. One surprising aspect of our data is that the spin excitations seem to be gapless over a wide range of Q positions, at least down to $\hbar\omega = 0.25\text{ meV}$. This observation is difficult



Herbertsmithite



Summary

- In frustrated magnets, QSLs may be realized as ground states.
- By constructing QSL wavefunctions, we are **forced** to realize:
QSL hosts emergent gauge dynamics.
- Gapped QSL is “topologically ordered”.
topological dynamical excitations: gauge charge/flux (anyons)
- Symmetry fractionalization occurs for gauge charge/flux excitations:
local symmetries (spin rotation),
and spatial symmetries (lattice symmetry).

- Thank you!